TPC Benchmark ^{TM} E
Full Disclosure Report
DELL PowerEdge 2900 III
Using
Microsoft SQL Server 2008 Enterprise Edition x64
On
Microsoft Windows Server 2008 Enterprise x64

First Edition

Submitted for Review

April 8, 2008

Dell, Inc. PowerEdge 2900 III Server with Microsoft SQL Server 2008 Enterprise Edition x64 on Microsoft Windows Server 2008 Enterprise x64

Abstract

This report documents the methodology and results of the TPC Benchmark E test conducted on a PowerEdge 2900 III Server using SQL Server 2008 database in conformance with the requirements of the TPC-E Benchmark Specification. The operating system used for the server was Microsoft Windows Server 2008 Enterprise Edition x64. The operating system on the client was Microsoft Windows Server 2003 Enterprise Edition x64 SP2.
All tests were done in compliance with Revision 1.2.0 of the Transaction Processing Council's TPC Benchmark™ E Standard Specification. The standard TPC Benchmark™ E metrics, transactions per second (tpsE), price per tpsE ($/tpsE) and the availability date are reported and referred to in this document.

The results from the tests are summarized below:

Hardware	Software	Total System Cost	tpsE	$/tpsE	Availability Date
Dell PowerEdge 2900 III	Microsoft Windows 2008 Enterprise Ed. x64 SQL Server 2008 Enterprise Ed. x64	$204,940	295.27	$694.08	April 8, 2008

Additional copies of this Full Disclosure Report can be obtained from either the Transaction Processing Performance Council or Dell at the following address:

Transaction Processing Performance Council (TPC)
c/o Adminstrator, TPC
Presidio of San Francisco
Bldg 572B Ruger St.
San Francisco, CA 94129-0920
Phone: (415) 561-6272, fax 415-561 6120
www.tpc.org

or

Dell
One Dell Way
Round Rock, TX 78682
Attention: Mike Molloy

Auditor

In order to verify compliance to the TPC-E benchmark specification, Lorna Livingtree, Performance Metrics, Inc., audited the benchmark configuration, environment and methodology used to produce and validate the test results, and the pricing model used to calculate the price/performance.

	PowerEdge™ 2900 III Server	TPC-E 1.5.0 TPC Pricing 1.3 Report Date: April 8, 2008

TPC-E Throughput	Price/Performance	Availability Date	Total System Cost
295.27 tpsE	**$694.08 USD per tpsE**	**April 8, 2008**	**$204,940 USD**

Database Server Configuration			
Operating System	Database Manager	Processors/Cores/ Threads	Memory
Microsoft Windows Server 2008 Enterprise x64 Edition™	**SQL Server 2008 Enterprise x64 Edition™**	**2/8/8**	**48GB**

1 Driver System

Tier A Client

Tier B Database Server

Client
1 PowerEdge SC 1430
2 Quad Core Intel
5140,4MB/2.33GHz,1333FSB
1GB Memory
2 Broadcom Internet Adapter
1 80GB SATA 7.2K Disk

Server
1 PowerEdge III PE2900
2 X5460 Quad Core Intel Xeon
  2x6MB cache, 3.16GHz,
  1333MHz FSB
48GB 667MHz(12x4GB), Dual
  Ranked Fully Buffered DIMM
2 Broadcom NetExtreme II Gigabit
Enternet NICs
1 PERC5i SAS RAID Controller
4 MegaRAID SAS 8888ELP Cntrs
8 73GB 15k SAS Disks

Storage

Storage
16 PowerVault MD1000
  SAS Enclosures
224 73GB 15k 3GBPS
SAS Disks

Initial Database Size **1,666GB**	Redundancy Level: **One**	Storage **8 x 73GB** **224 x 73GB**

					TPC-E 1.5.0	
PowerEdge 2900 III					**TPC Pricing 1.3**	
					Report Date	
					April 8, 2008	
					Revision Date	
					April 8, 2008	
					Availability Date	
					April 8, 2008	

Description	Part Number	Price Source	Unit Price	Qty	Extended Price	3 yr. Maint. Price
Server Hardware						
PE2900,QC XEON X5460,12MB,3.16GHz	223-4504	1	1,803.00	1	$1,803.00	$320.00
& 2 Broadcom NICs						
X5460,2X6MB/3.16GHZ,1333FSB 2nd Proc	311-7937	1	1,449.00	1	$1,449.00	
48GB 667MHz(12x4GB),2R	311-6328	1	4,498.00	1	$4,498.00	
PERC5/i,Integrated	341-3018	1	$299.00	1	$299.00	
MegaRAID SAS 8888ELP, 2X4 EXTERNAL	LS-8888ELP	3	$798.25	6	$4,789.50	
73GB,3GBPS,SAS,3.5IN,15K	341-2818	1	$299.00	8	$2,392.00	
DELL E157FP,15 IN,15.0 VIS	320-5090	1	$189.00	1	$189.00	
				Subtotal	$15,419.50	$320.00
Server Storage						
PV MD1000,RACK,3U,15 BAY,LBZL	222-2299	1	2,480.00	16	$39,680.00	$26,368.00
SINGLE ENCL MGT MODULE, SAS ONLY	420-5927	1	$345.83	16	$5,533.28	
73GB,3GBPS,SAS,3.5IN,15K	341-2818	1	$299.00	224	$66,976.00	
RACK-111/24U/Dell	A0213544	1	$479.95	2	$959.90	
				Subtotal	$113,149.18	$26,368.00
Server Software						
SQL Server 2008 Enterprise x64 Edition **		2	$23,911.00	2	$47,822.00	
Windows Server 2008 Enterprise x64 Server **	P72-03195	2	$2,357.00	1	$2,357.00	
Professional Support (1 Incident)	N/A		$245.00	1		$245.00
				Subtotal	$50,179.00	$245.00
Client Hardware						
Dell PowerEdge SC 1430, 2.33GHZ/4MB,1333 FSB	223-3196	1S	910.00	1	$910.00	$320.00
Additional processor, E5345,2X4MB,2.33GHz,1333FSB	311-7774	1S	749.00	1	$749.00	
4GB,667MHz,(2X2GB),2R,FBD	311-6254	1	$448.00	1	$448.00	
80GB,SATA,1IN,7.2K RPM,HD ,7.2K	341-3757	1	$99.00	1	$99.00	
BCOM NetX 5721,Gb,ETHERNET,NIC	430-1496	1	$59.00	1	$59.00	
				Subtotal	$2,265.00	$320.00
Client Software						
Windows Server 2003 Enterprise x64 Server **	P72-01684	2	$2,334.00	1	$2,334.00	
				Subtotal	$2,334.00	$0.00
Infastructure						
PowerConnect 2216, 16port Switch	222-2259	1	$69.00	1	$69.00	
1M SAS Cable MegaRAID SAS 8888ELP	HI-MS-1MSB	3	$34.10	8	$272.80	
2M SAS Cable, MD1000	310-7083	1	$40.00	8	$320.00	
				Subtotal	$661.80	$0.00
				Other Discounts*	($6,321.66)	
				Total	$177,686.82	$27,253.00

Notes:

One or more components of the measured configuration have been substituted in the

Priced Configuration. See the FDR for details.

*All hardware from Dell(1) is discounted 5% based on total dollar volume of this config.

** All Microsoft maintenance is covered by the maint. costs of Microsoft SQL Server

Price Source: 1=Dell, 2=Microsoft, 3=eWiz, NIO = Not Immediately Orderable

Pricing may be verified by calling 1-800-BUY-DELL and referencing quote # 423605499

as a complex quote.

Audited by Lorna Livingtree, Performance Metrics Inc.

Three-Year Cost of Ownership:	$204,940 USD
TPC-E Throughput:	295.27 tpsE
Price/Performance:	$694.08 tpsE/USD

Prices used in TPC benchmarks reflect the actual prices a customer would pay for a one–time purchase of the stated components. Individually negotiated discounts are not permitted. Special prices based on assumptions about past or future purchases are not permitted. All discounts reflect standard pricing policies for the listed components. For complete details, see the pricing sections of the TPC benchmark specifications. If you find that the stated prices are not available according to these items, please inform the TPC at pricing@tpc.org.

Numerical Quantities Summary				
Reported Throughput: 295.27 tpsE	**Configured Customers: 150,000**			
Response Times (in seconds)	**Minimum**	**Average**	**90th%tile**	**Maximum**
Broker-Volume	0.01	0.03	0.06	1.12
Customer-Position	0.00	0.03	0.05	0.78
Market-Feed	0.00	0.03	0.08	0.39
Market-Watch	0.00	0.02	0.05	0.35
Security-Detail	0.00	0.01	0.03	1.70
Trade-Lookup	0.01	0.49	0.64	1.15
Trade-Order	0.00	0.08	0.13	0.62
Trade-Result	0.00	0.08	0.13	0.58
Trade-Status	0.00	0.02	0.04	0.56
Trade-Update	0.04	0.58	0.69	1.20
Data-Maintenance	0.01	0.11		2.12
Transaction Mix			**Transaction Count**	**Mix %**
Broker-Volume			1,041,650	4.900%
Customer-Position			2,763,668	13.000%
Market-Feed			212,602	1.000%
Market-Watch			3,826,747	18.000%
Security-Detail			2,976,392	14.000%
Trade-Lookup			1,700,573	7.999%
Trade-Order			2,147,222	10.100%
Trade-Result			2,125,992	10.000%
Trade-Status			4,039,405	19.001%
Trade-Update			425,197	2.000%
Data-Maintenance			120	
Test Duration and Timings				
Ramp-up Time (hh:mm:ss)			00:19:59	
Measurement Interval (hh:mm:ss)			02:00:00	
Business Recovery Time (hh:mm:ss)			01:23:44	
Total number of Transactions Completed in Measurement Interval			21,259,448	

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Introduction

Document Structure

The TPC Benchmark^{TM} E Standard Specification requires test sponsors to publish, submit to the TPC, and make available to the public, a full disclosure report (FDR) for any result to be considered compliant with the specification. The required contents of the full disclosure report are specified in Clause 9. This report is submitted to satisfy the specification's requirement for full disclosure. It documents the compliance of the benchmark implementation and execution reported for the Dell PE2900 server using Microsoft SQL Server 2008 Enterprise Edition (x64) on Microsoft Windows Server 2008 Enterprise Edition (x64).

Benchmark Overview

The Transaction Processing Performance Council (TPC) developed The TPC Benchmark^{TM} E Standard Specification Revision 1.2.0.
TPC Benchmark^{TM} E (TPC-E) is an Online Transaction Processing (OLTP) workload. It is a mixture of read-only and update intensive transactions that simulate the activities found in complex OLTP application environments. The benchmark exercises a breadth of system components associated with such environments, which are characterized by:

• The simultaneous execution of multiple transaction types that span a breadth of complexity;
• Moderate system and application execution time;
• A balanced mixture of disk input/output and processor usage;
• Transaction integrity (ACID properties);
• A mixture of uniform and non-uniform data access through primary and secondary keys;
• Databases consisting of many tables with a wide variety of sizes, attributes, and relationships with realistic content;
• Contention on data access and update.

The TPC-E benchmark simulates the OLTP workload of a brokerage firm. The focus of the benchmark is the central database that exercises transactions related to the firm's customer accounts. In keeping with the goal of measuring the performance characteristics of the database system, the benchmark does not attempt to measure the complex flow of data between multiple application systems that would exist in a real environment.

The mixture and variety of transactions being executed on the benchmark system is designed to capture the characteristic components of a complex system. Different transaction types are defined to simulate the interactions of the firm with its customers as well as its business partners. Different transaction types have varying run-time requirements.

Clause 1: General Items

1.1: Order and Titles

The order and titles of sections in the Report and Supporting Files must correspond with the order and titles of sections from the TPC-E Standard Specification (i.e., this document). The intent is to make it as easy as possible for readers to compare and contrast material in different Reports.(9.1.1.1)

The order and titles in this report correspond to those in the specification.

1.2: Executive Summary Statement

The TPC Executive Summary Statement must be included near the beginning of the Report (9.2).

The Executive summary has been included near the beginning of this FDR.

1.3: Test Sponsor

A statement identifying the benchmark sponsor(s) and other participating companies must be provided (9.3.1.1).

Dell is the sponsor of this TPC Benchmark™ E result.

1.4: Configuration Diagram

Diagrams of both measured and Priced Configurations must be reported in the Report, accompanied by a description of the differences.(9.3.1.2)

The System Under Test (SUT) is depicted in the next diagram. The difference between the priced and measured system was as shown in Table 1

Table 1: Difference between priced and measured configuration

	Priced	**Measured**
Client Server	PE SC 1430	PE SC 1420
- FSB	1333MHz	800MHz
- Processors	Intel Quad-core Xeon 2.33 GHz/2x4MB-L2	Intel Xeon 3.2GHz/2x2MB-L2
- Memory	3GB	3GB
- OS drives	1x80GB	1x80GB

Measured Configuration

The measured and priced configurations have different client systems.

Figure 1: Measured Configuration

1 Driver System

**Tier A
Client**

**Tier B
Database Server**

16x PV MD1000
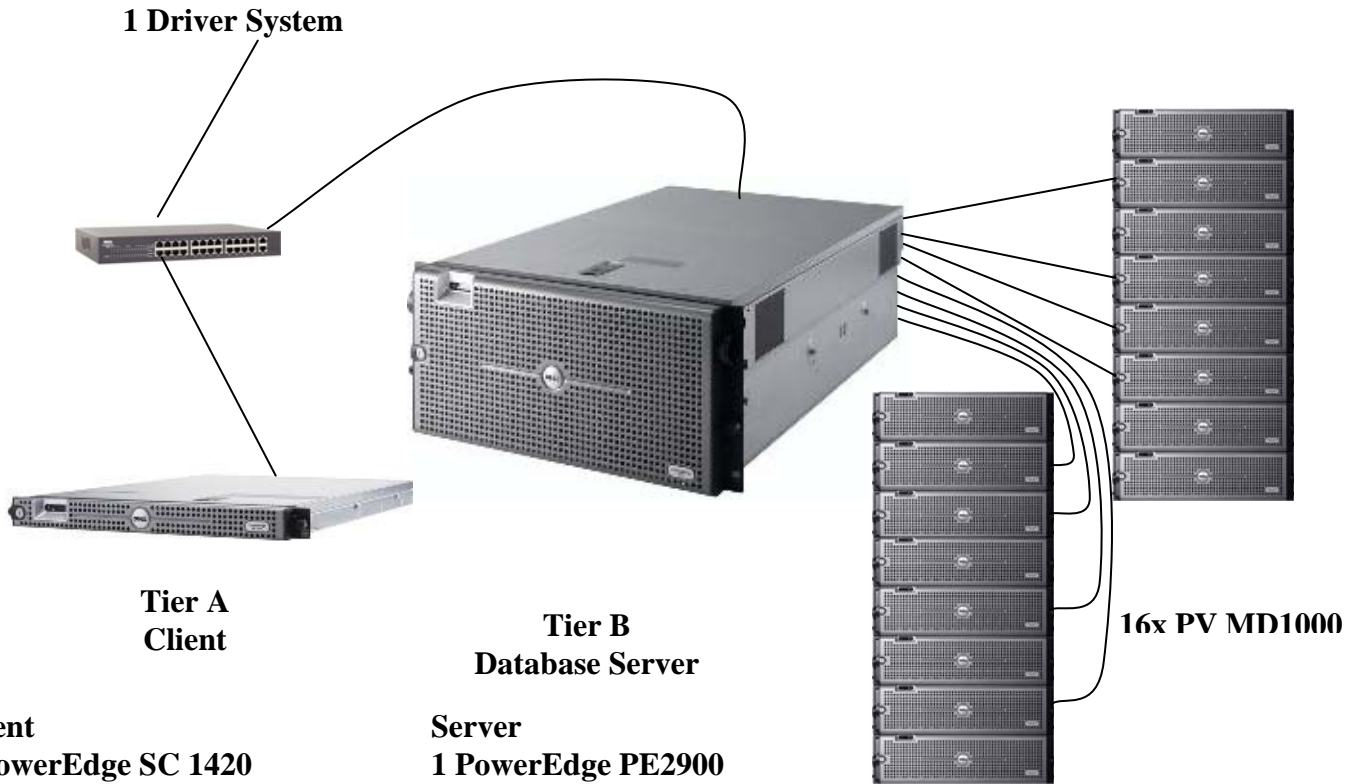
Client
1 PowerEdge SC 1420
2 Intel Xeon
2MB/3.2GHz,800FSB
1GB Memory
1 Intel Internet Adapter
1 80GB SATA 7.2K Disk

Server
1 PowerEdge PE2900
2 X5460 Quad Core Intel Xeon
 2x4MB cache, 3.16GHz,
 1333MHz FSB
48GB 667MHz(12x4GB), Dual
 Ranked Fully Buffered DIMM
2 Broadcom NetExtreme II
Gigabit Enternet NICs
1 PERC5i SAS RAID Controller
4 MegaRAID SAS 8888ELP
Controllers
8 73GB 15k SAS Disks

Storage

16 PowerVault MD1000
224 73GB 15k SAS Disks

Priced Configuration

Figure 2: *Priced Configuration*

1 Driver System

**Tier A
Client**

**Tier B
Database Server**

16x PV MD1000

Client
1 PowerEdge SC 1430
2 Quad Core Intel
5140,4MB/2.33GHz,1333FSB
1GB Memory
2 Broadcom Internet Adapter
1 80GB SATA 7.2K Disk

Server
1 PowerEdge PE2900
2 X5460 Quad Core Intel Xeon
  2x4MB cache, 3.16GHz,
  1333MHz FSB
48GB 667MHz(12x4GB), Dual
  Ranked Fully Buffered DIMM
2 Broadcom NetExtreme II
Gigabit Enternet NICs
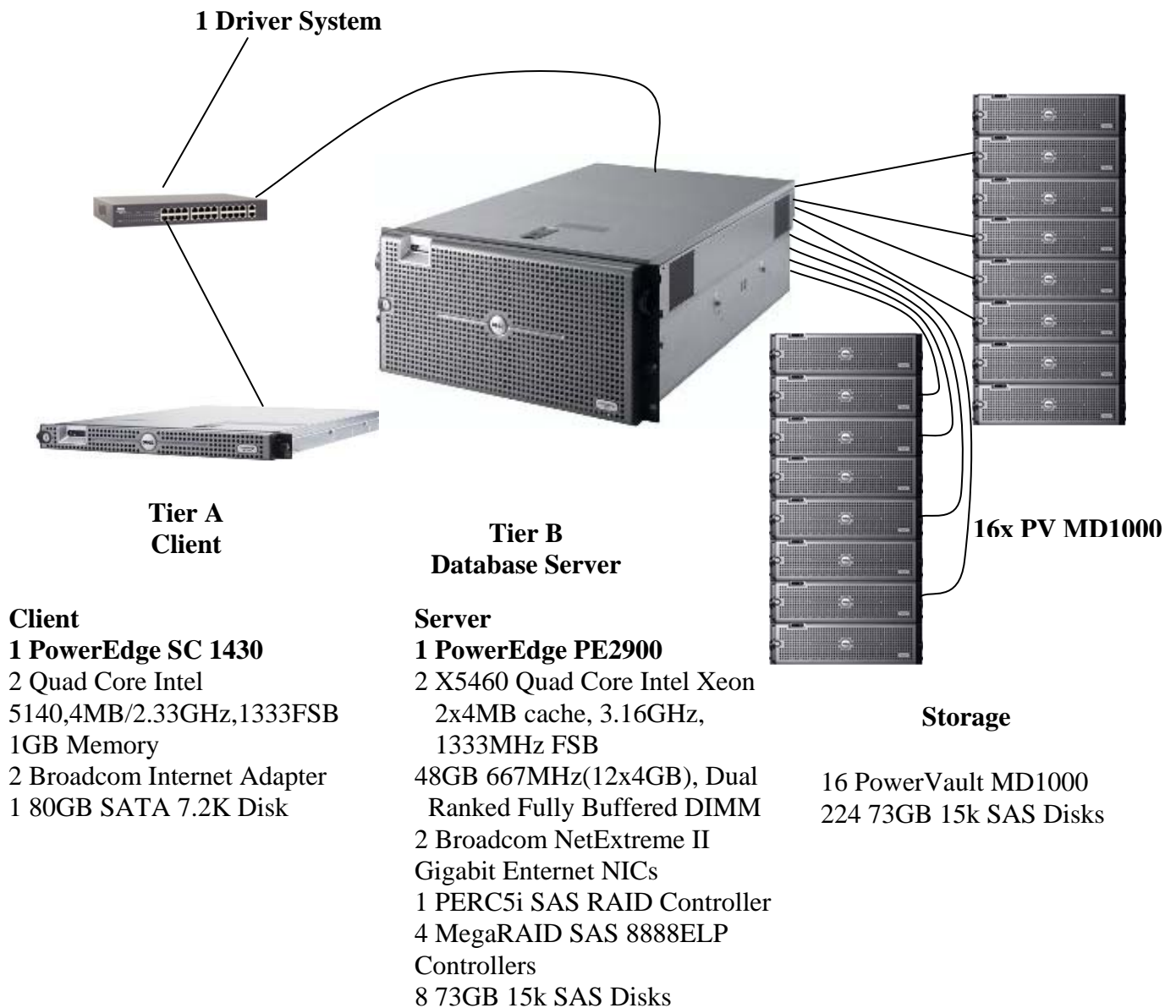1 PERC5i SAS RAID Controller
4 MegaRAID SAS 8888ELP
Controllers
8 73GB 15k SAS Disks

Storage

16 PowerVault MD1000
224 73GB 15k SAS Disks

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1.5: Hardware configuration

A description of the steps taken to configure all of the hardware must be reported in the Report. Any and all configuration scripts or step by step GUI instructions are reported in the Supporting Files (see Clause 9.4.1.1). The description, scripts and GUI instructions must be sufficient such that a reader knowledgeable of computer systems and the TPC-E specification could recreate the hardware environment. (9.3.1.4)

The file **PE2900_HardwareConfiguration.pdf** in the *SupportingFiles* Directory ("Introduction") contains the hardware configuration used in running these TPC-E tests. The directory also contains the storage subsystem configuration in the file **Storage_Hardware_config.pdf** in the DiskSubsystem directory.

The hardware configuration used in this TPC-E test is a Dell PowerEdge 2900 III server (tier B) driven by one Dell PowerEdge SC 1420 (tierA) client. The clients and server are networked together via a Dell PowerConnect 2216 10/100/1000 BaseT switch. One Dell PowerEdge 1600 server was the driver system that emulated 200 users executing the standard TPC-E workload. The driver system is connected to the client via the Dell Powerconnect network switch. Microsoft Windows 2008 Enterprise Server x64 was the operating system used on the server. Microsoft Windows 2003 Enterprise Server x64 SP2 was the operating system used on the client system. Microsoft SQL Server 2008 Enterprise Edition x64 was the database management system on the server machine.

The PowerEdge 2900 III motherboard uses the Intel 8501 chipset and can hold up to two quad-core Intel Xeon DP processors (3.16 GHz with 12MB L2 cache each). The system has 4 PCI-e and 3 PCI-x I/O slots. The measured configuration used 48Gbytes of DDR RAM, which was achieved by using 12 4096Mbyte DIMMs.

The PowerEdge 2900 III has an integrated PERC SAS controller to which was attached eight 73GB disk drives containing the operating system and databse logs. In addition, 4 MegaRAID SAS 8888ELP controllers were installed in 4 PCI-e slots and connected to 16 MD 1000 disk pods, which can hold 15 disks each. Each of the 4 controllers managed 4 RAID 10 LUNs. Each LUN had 14 physical drives. The total number of physical drives used for the database was 224 SAS disks. There were 3 empty PCI-X slots. Hyperthreading was not enabled on this server.

The PE sc 1430 client server has two Intel Quad-core Xeon processor with 2x4MB of L2 cache and a FSB rated at 1333MHz. The system had 3 Gbytes of RAM, two 73 GB hard disk, 2 intergrated Ethernet ports. The client connected to the driver machine and the DB server through a powerconnect switch. Hyperthreading was not enabled on this server.

1.6: Software Configuration

A description of the steps taken to configure all software must be reported in the Report. Any and all configuration scripts or step by step GUI instructions are reported in the Supporting Files (see Clause 9.4.1.2). The description, scripts and GUI instructions must be sufficient such that a reader knowledgeable of computer systems and the TPC-E specification could recreate the software environment. (9.3.1.5)

The file **SoftwareConfiguration.pdf** in the *SupportingFiles* Directory ("Introduction") contains the configuration and system parameters used in running these tests.

Clause 2: Database Design Scaling and Population

2.1: Physical Database Organization

The physical organization of tables and indices, within the database, must be reported in the Report. (9.3.2.1)

The **SupportingFiles/Clause2** folder contains the SQL definitions of all the required filegroups, tables and indexes.
The database tables and their indexes were divided into 3 file groups : Broker, Customer, market as shown in the table below :

Table 2: Physical database organization

Broker File Group	Customer File Group	Market File Group
BROKER	ACCOUNT_PERMISSION	COMPANY
CASH_TRANSACTION	CUSTOMER	COMPANY_COMPETITOR
CHARGE	CUSTOMER_ACCOUNT	DAILY_MARKET
COMMISSION_RATE	CUSTOMER_TAXRATE	EXCHANGE
SETTLEMENT	HOLDING	FINANCIAL
TRADE	HOLDING_HISTORY	INDUSTRY
TRADE_HISTORY	HOLDING_SUMMARY	LAST_TRADE
TRADE_REQUEST	WATCH_ITEM	NEWS_ITEM
TRADE_TYPE	WATCH_LIST	NEWS_XREF
ADDRESS		SECTOR
TAXRATE		SECURITY
ZIP_CODE		STATUS_TYPE

2.2: Table and Row Partitioning

While few restrictions are placed upon horizontal or vertical partitioning of tables and rows in the TPC-E benchmark (see Clause 2.3.3), any such partitioning must be reported in the Report.(9.3.2.2)

No partitioning implemented in this configuration.

2.3: Replications, Duplications and Additions

Replication of tables, if used, must be reported in the Report (9.3.2.3)

No replication implemented in this configuration.

Additional and/or duplicated attributes in any table must be reported in the Report along with a statement on the impact on performance (9.3.2.4)

No additional or duplicated attributes.

2.4: Initial Cardinality of Tables

The cardinality (e.g. the number of rows) of each table, as it existed after database load (see Clause 2.6), must be reported in the Report.(9.3.2.5)

The database was configured for 150,000 customers. The cardinality of the tables is as shown in table 2.2 below:

Table 3: Table Cardinality

Table	Cardinality after database load
Account_Permission	1065476
Address	225004
Broker	1500
Cash_Transaction	2384619546
Charge	15
Commission_Rate	240
Company	75000
Company_Competitor	225000
Customer	150000
Customer_Account	750000
Customer_Taxrate	300000
Daily_Market	134088750
Exchange	4
Financial	1500000
Holding	132621026
Holding_History	3473782012
Holding_Summary	7462452
Industry	102
Last_Trade	102750
News_Item	150000
News_Xref	150000
Sector	12
Security	102750
Settlement	2592000000
Status_Type	5
Taxrate	320
Trade	2592000000
Trade_History	6220794737
Trade_Request	0
Trade_Type	5
Watch_Item	15005112
Watch_List	150000
Zip_Code	14741

2.5: Disk Configuration Data

The distribution of tables, partitions and logs across all media must be explicitly depicted for the measured and Priced Configurations. (9.3.2.6)

The Storage subsystem was configured as shown in Table 4. All database files were located on NTFS file systems. Backup devices were setup up on NTFS filesystems. Junction points were used to map to the NTFS partitions that contained the backup devices. The OS (C:\)drive was formatted for NTFS.

Table 4: Disk Configuration

HBA#	Slot#	Disk#	Drives Enclosure model RAID level	OS Partition	Size	Use
0	internal	0	8x73GB,15K,SAS onboard RAID10	C:\	20GB	OS
		1	8x73GB,15K,SAS onboard RAID10	E:\	120GB	Logs
1	1	2	14x73GB,15K,SAS MD1000 RAID10	F:\	111GB	Broker1
				C:\A\F	5GB	Customer1
				C:\B\F	23GB	Market1
				C:\C\F	335.25GB	Backup1
		3	14x73GB,15K,SAS MD1000 RAID10	G:\	111GB	Broker2
				C:\A\G	5GB	Customer2
				C:\B\G	23GB	Market2
				C:\C\G	335.25GB	Backup2
		4	14x73GB,15K,SAS MD1000 RAID10	H:\	111GB	Broker3
				C:\A\H	5GB	Customer3
				C:\B\G	23GB	Market3
				C:\C\G	335.25GB	Backup3
		5	14x73GB,15K,SAS MD1000 RAID10	I:\	111GB	Broker4
				C:\A\I	5GB	Customer4
				C:\B\I	23GB	Market4
				C:\C\I	335.25GB	Backup4
2	2	6	14x73GB,15K,SAS MD1000 RAID10	J:\	111GB	Broker5
				C:\A\J	5GB	Customer5
				C:\B\J	23GB	Market5
				C:\C\J	335.25GB	Backup5
		7	14x73GB,15K,SAS MD1000 RAID10	K:\	111GB	Broker6
				C:\A\K	5GB	Customer6
				C:\B\K	23GB	Market6
				C:\C\K	335.25GB	Backup6

		8	14x73GB,15K,SAS MD1000 RAID10	L:\	111GB	Broker7
				C:\A\L	5GB	Customer7
				C:\B\L	23GB	Market7
				C:\C\L	335.25GB	Backup7
		9	14x73GB,15K,SAS MD1000 RAID10	M:\	111GB	Broker8
				C:\A\M	5GB	Customer8
				C:\B\M	23GB	Market8
				C:\C\M	335.25GB	Backup8
3	3	2	14x73GB,15K,SAS MD1000 RAID10	N:\	111GB	Broker9
				C:\A\N	5GB	Customer9
				C:\B\N	23GB	Market9
				C:\C\N	335.25GB	Backup9
		3	14x73GB,15K,SAS MD1000 RAID10	O:\	111GB	Broker10
				C:\A\O	5GB	Customer10
				C:\B\O	23GB	Market10
				C:\C\O	335.25GB	Backup10
		4	14x73GB,15K,SAS MD1000 RAID10	P:\	111GB	Broker11
				C:\A\P	5GB	Customer11
				C:\B\P	23GB	Market11
				C:\C\P	335.25GB	Backup11
		5	14x73GB,15K,SAS MD1000 RAID10	Q:\	111GB	Broker12
				C:\A\Q	5GB	Customer12
				C:\B\Q	23GB	Market12
				C:\C\Q	335.25GB	Backup12
4	4	6	14x73GB,15K,SAS MD1000 RAID10	R:\	111GB	Broker13
				C:\A\R	5GB	Customer13
				C:\B\R	23GB	Market13
				C:\C\R	335.25GB	Backup13
		7	14x73GB,15K,SAS MD1000 RAID10	S:\	111GB	Broker14
				C:\A\S	5GB	Customer14
				C:\B\S	23GB	Market14
				C:\C\S	335.25GB	Backup14
		8	14x73GB,15K,SAS MD1000 RAID10	T:\	111GB	Broker15
				C:\A\T	5GB	Customer15
				C:\B\T	23GB	Market15
				C:\C\T	335.25GB	Backup15
		9	14x73GB,15K,SAS MD1000 RAID10	U:\	111GB	Broker16
				C:\A\U	5GB	Customer16
				C:\B\U	23GB	Market16
				C:\C\U	335.25GB	Backup16

C:\..\back1[2] **- C:\backup\back1**
C:\..\back2[2] **- C:\backup\back2**
C:\..\back3[2] **- C:\backup\back3**
C:\..\back4[2] **- C:\backup\back4**
C:\..\back5[2] **- C:\backup\back5**
C:\..\back6[2] **- C:\backup\back6**
C:\..\back7[2] **- C:\backup\back7**
C:\..\back8[2] **- C:\backup\back8**
C:\..\back9[2] **- C:\backup\back9**
C:\..\back10[2] **- C:\backup\back10**

2.6: Database Interface

A statement must be provided in the Report that describes:
The Database Interface (e.g., embedded, call level) and access language (e.g., SQL, COBOL read/write) used to implement the TPC-E Transactions. If more than one interface / access language is used to implement TPC-E, each interface / access language must be described and a list of which interface /access language is used with which Transaction type must be reported. The data model implemented by the DBMS (e.g., relational, network, hierarchical). (9.3.2.7)
The methodology used to load the database must be reported in the Report. (9.3.2.8)

This test deployed Microsft SQL Server 2008 which is a relational database.
The client software interfaced to SQL Server via Stored Procedures invoked through ODBC calls driven by the C++ application code.
The methodology used to load the database is described in **Clause2** of the *SupportingFiles* directory (***MSTPCE Database Setup Reference.pdf***)

Clause 3: Transaction Items

3.1: Code Functionality

A statement that vendor-supplied code is functionally equivalent to Pseudo-code in the specification (see Clause 3.2.1.6) must be reported in the Report.(9.3.3.1)

The vendor supplied code is functionally equivalent to the pseudo-code.

3.2: Database Requirements

A statement that the database footprint requirements (as described in Clause 3.3) were met must be reported in the Report.(9.3.3.2)

Database footprint requirements were met as described in the specification.

Clause 4: SUT, Driver and Network

4.1: EGenDriver Items

The number of EGenDriverMEE and EGenDriverCE instances used in the benchmark must be reported in the Report (9.3.4.1)

There was 1 instance of EGenDriverMEE and 1 instance of EGenDriverCE

4.2: Network Configuration

The Network configurations of both the measured and Priced Configurations must be described and reported in the Report. This includes the mandatory Network between the Driver and Tier A (see Clause 4.2.2) and any optional Database Server interface networks (9.3.4.2)

Figure 1 and Figure 2 show the network connections of the configuration. The PE2900 III server has an inbuilt network Ethernet controller with 2 1000MB/s ports. One of the ports is used to connect to the client (tier A) system via a Dell PowerConnect switch. The Client system also has an inbuilt network controller with 2 1000MB/s ports. One of these ports is connected to the driver system via the PowerConnect switch and satisfies the requirement for a mandatory network between tier A and the driver system.

Clause 5: EGen Items

5.1: EGen Version

The version of EGen used in the benchmark must be reported (9.3.5.1)

The EGen version used was 1.5.0

5.2: EGen Code

A statement that all required TPC-provided EGen code was used in the benchmark must be reported (9.3.5.2)

All the required TPC-provided code was used in the benchmark.

5.3: EGen Modifications

If the Test Sponsor modified EGen, a statement EGen has been modified must be reported in the Report. All formal waivers from the TPC documenting the allowed changes to EGen must also be reported in the Report (see Clause 5.3.7.1). If any of the changes to EGen do not have a formal waiver that must also be reported (9.3.5.3)

There were no modifications to the EGen other than the fix required by version 1.5.0.

5.4: EGen Loader Extension Code

If the Test Sponsor extended EGenLoader (as described in Appendix A.6), the use of the extended EGenLoader and the audit of the extension code by an Auditor must be reported (9.3.5.4)

There was no use and no implementation of the EGenloader extension code.

Clause 6: Performance Metrics and Response time

6.1: Measured Throughput (tpsE)

The Measured Throughput must be reported (9.3.6.1)

The measured tpsE was 295.27

6.2: Test Run times

A Test Run Graph of throughput versus elapsed wall clock time must be reported in the Report for the Trade-Result Transaction (see Clause 6.7.2). (9.3.6.2)

The transaction step report for the performance run was evaluated and drawn as shown in Figure 3.

Figure 3: Steady State graph
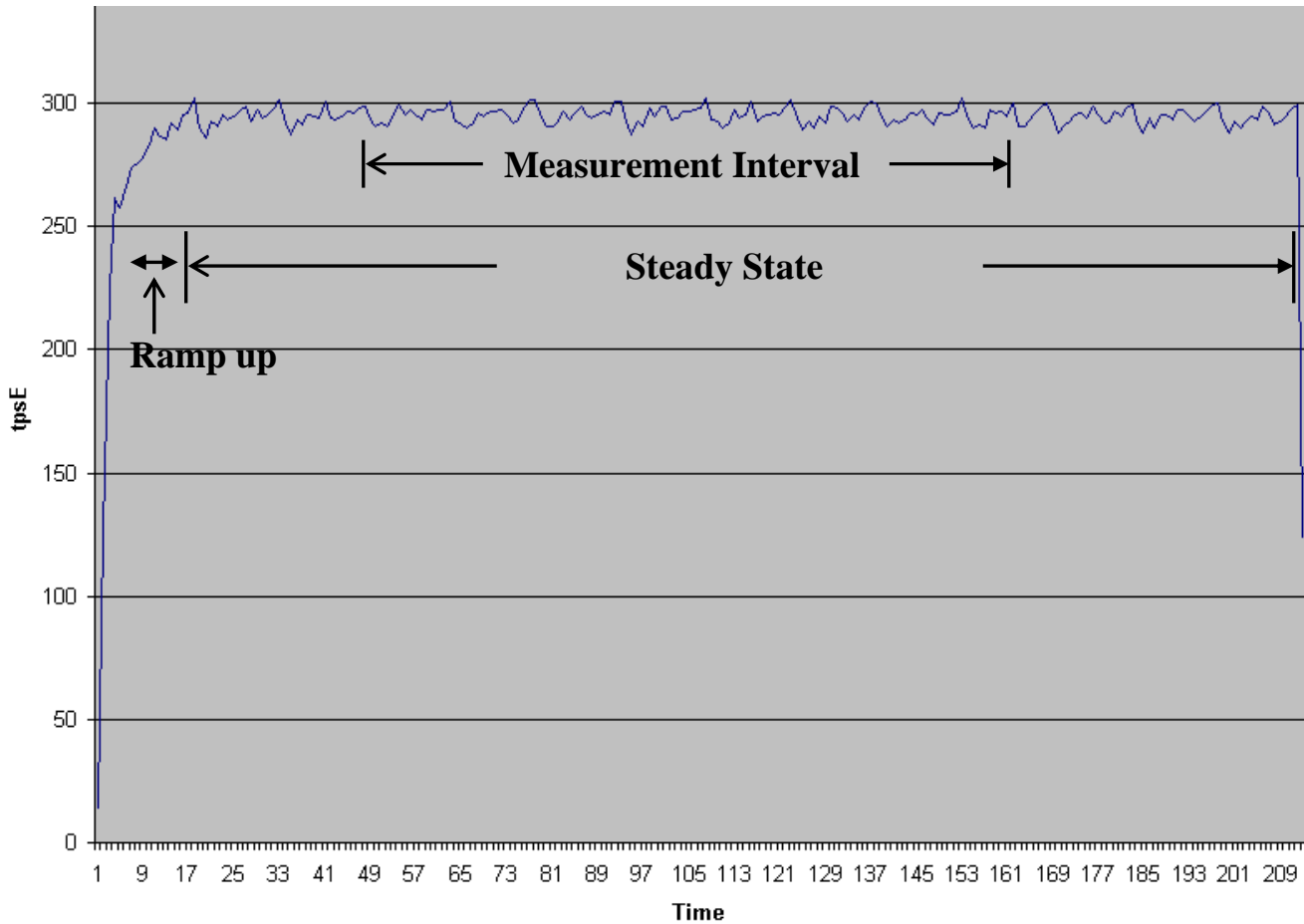
6.3: Steady State Measurement

The method used to determine that the SUT had reached a Steady State prior to commencing the Measurement Interval must be reported. (9.3.6.3)

It can be seen that after ramp-up a steady state was maintained through outt the measurement interval and until the run was stopped.
A 1 hour window sliding by 10 mins in steady state was evaluated and was found to vary by 0.31%. A 10 min window sliding by 1 min was found to vary by 1.39%.

6.4: Work Measurements during Test Run

A description of how the work normally performed during a Test Run, actually occurred during the Measurement Interval must be reported in the Report (for example checkpointing, writing Undo/Redo Log records, etc.). (9.3.6.4)

The driver generated the required transactions and their input data. This data was timestamped. Response for the requested transaction was verified and time-stamped in the driver log files. Log file contents are consolidated for the reports.
The driver engine accessed the application processes running on the client system via an Ethernet network connection. The client application processes handled all requests to the database on the server. The applications communicated with the database server over an Ethernet connection using SQL Server ODBC library and RPC calls.
To perform checkpoints at specific intervals, the SQL Server recovery interval was set to 32767. Continuous checkpoints every 7.5 minutes were performed during steady state before and during the measurement interval by the driver engine. SQL Server was started with trace flag 3502, which caused it to log the occurrence of the checkpoints. This information was used to verify that the checkpoints occurred at the appropriate times during the test run.

6.5: Transaction Averages

The recorded averages over the Measurement Interval for each of the Transaction input parameters specified by clause 6.4.1 must be reported. (9.3.6.5)

The transaction averages were recorded as shown in Table 5.

***Table 5**: Transaction Averages*

Transaction	Overall	Parameter	Value	Range Check	Acceptable Range Min Max	
Customer Position	Ok	By Tax ID	49.96%	Ok	48.00%	52.00%
		Get history	49.99%	Ok	48.00%	52.00%
Trade Lookup	Ok	Frame 1	30.02%	Ok	28.50%	31.50%
		Frame 2	30.00%	Ok	28.50%	31.50%
		Frame 3	30.00%	Ok	28.50%	31.50%
		Frame 4	9.98%	Ok	9.50%	10.50%
Market Watch	Ok	By Watch List	60.01%	Ok	57.00%	63.00%

		By Customer Account	34.99%	**Ok**	33.00%	37.00%
		By Industry	5.00%	**Ok**	4.50%	5.50%
Trade Update	Ok	Frame 1	33.07%	**Ok**	31.00%	35.00%
		Frame 2	33.00%	**Ok**	31.00%	35.00%
		Frame 3	33.92%	**Ok**	32.00%	36.00%
Security Detail	Ok	Access LOB	1.01%	**Ok**	0.90%	1.10%
Trade Order	Ok	By Non-Owner	10.00%	**Ok**	9.50%	10.50%
		By Company Name	40.03%	**Ok**	38.00%	42.00%
		Buy on Margin	8.02%	**Ok**	7.50%	8.50%
		Rollback	0.99%	**Ok**	0.94%	1.04%
		LIFO	35.02%	**Ok**	33.00%	37.00%
		Trade Quantity 100	24.92%	**Ok**	24.00%	26.00%
		Trade Quantity 200	25.01%	**Ok**	24.00%	26.00%
		Trade Quantity 400	24.99%	**Ok**	24.00%	26.00%
		Trade Quantity 800	25.09%	**Ok**	24.00%	26.00%
		Market Buy	30.01%	**Ok**	29.70%	30.30%
		Market Sell	29.97%	**Ok**	29.70%	30.30%
		Limit buy	20.05%	**Ok**	19.80%	20.20%
		Limit sell	9.98%	**Ok**	9.90%	10.10%
		Stop Loss	9.99%	**Ok**	9.90%	10.10%

Clause 7: Transaction and System Properties

7.1 : Transaction Properties (ACID)

The results of the ACID tests must be reported in the Report along with a description of how the ACID requirements were met, and how the ACID tests were run. (9.3.7.1)

The benchmark specification requires that a system under test (SUT) must support a set of properties during the execution of the benchmark. Those properties are ACID and Redundancy.
This section defines each of these properties, describes the steps taken to ensure that they were present during the test and describes a series of tests done to demonstrate compliance with the specification. See file **MSTPCE ACID Procedures.pdf** in the *SupportingFiles* directory (Clause 7).

7.2: Redundancy Level

The Test Sponsor must report in the Report the Redundancy Level (see Clause 7.5.7.1) and describe the test(s) used to demonstrate compliance. (9.3.7.2)

Redundancy level 1 was used for all storage systems.

7.3: Data Accessibility Tests

A description of the Data Accessibility tests run and the Redundancy Level they were demonstrating must be reported. (9.3.7.3)

1. A restore was executed to yield a fresh database.
2. The rows in the Settlement table were counted to determine the initial count of completed trades present in the database (count-before).
3. A performance run was started with the same number of configured customers and driver load used for the measurement interval.
4. The test ramped up, and executed at or above 95% of the Reported Throughput for 30 mins.
5. After 30mins, a log disk drive was pulled from the disk pod.
6. The driver continued running normally for 5 mins.
7. After an additional 5mins, a data disk drive was pulled from the disk pod.
8. The drivers continued running normally with no errors logged in the SQL errorlog and OS logs.
9. After an additional 30mins the driver was stopped gracefully.
10. A transaction report for the test was generated and the number of Trade_Result transactions recorded during the run was noted.
11. The faulty log and data disk drives were replaced by spare disks of similar characteristics.
12. The Database was allowed to recover normally

13. Step 2 was repeated to determine the total number of completed trades present in the database (count-after)
14. count-after minus count-before was verified to be equal to the number of successful Trade-Result transaction records in the driver log file.
15. Consistency tests were run to ensure that the database was in a consistent state.

7.4: Data Accessibility Test Graph

A Data Accessibility Graph for each run demonstrating a Redundancy Level must be reported (9.3.7.4)

Figure 4: Data Accessibility Graph
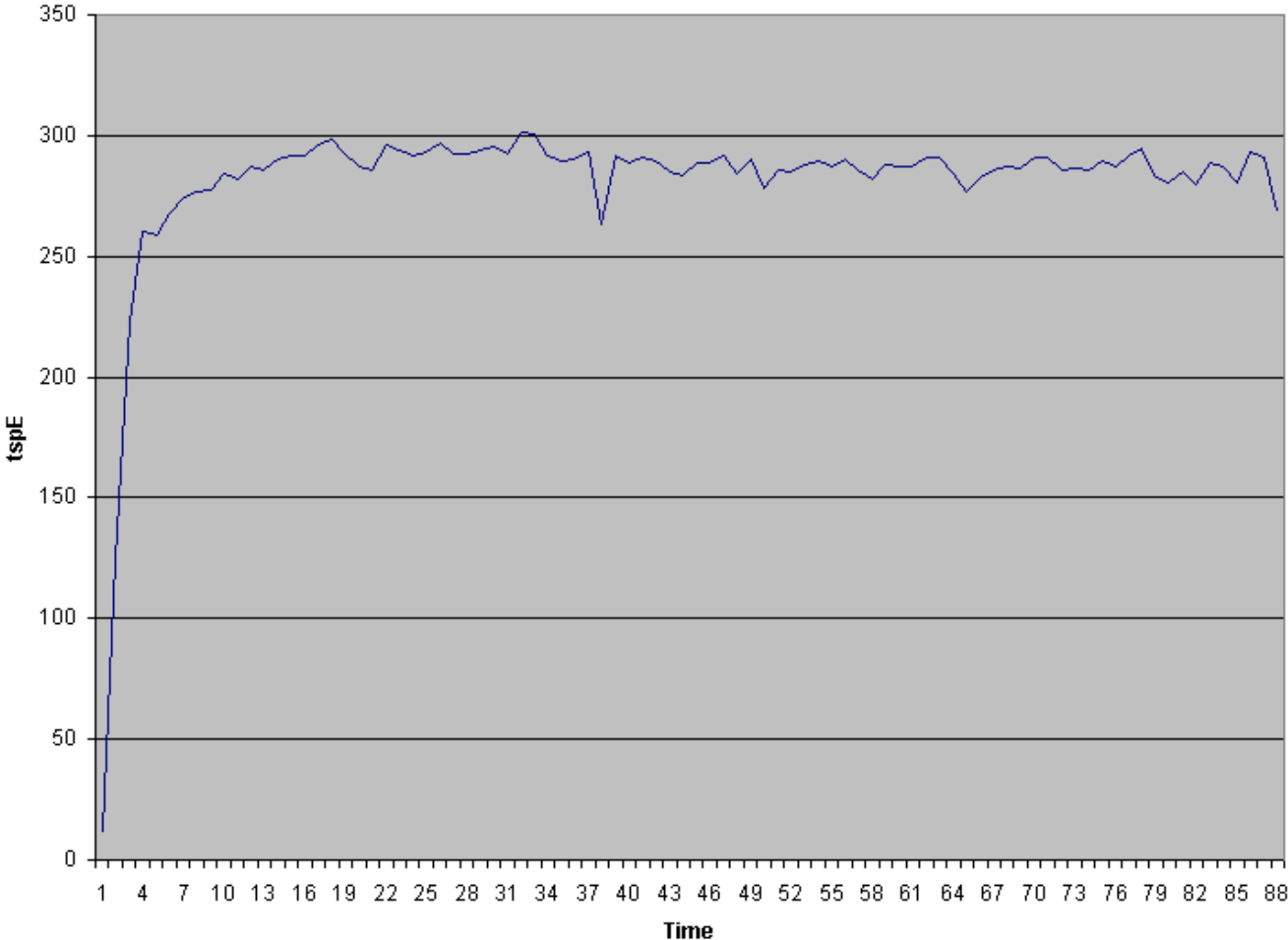
7.5: Business Recovery Tests

The Test Sponsor must describe in the Report the test(s) used to demonstrate Business Recovery. (9.3.7.5)

Power to the SUT was removed as a way of demonstrating recovery from a system crash:
1. A restore was executed to yield a fresh database.
2. The rows in the Settlement table were counted to determine the initial count of completed trades present in the database (count-before).
3. A performance run (Run1) with the same number of configured customers and driver load was started and ramped up to steady state.
4. The test ran at 95% and above of reported throughput for 30mins.
5. Power to tier A and tier B systems was pulled.
6. After transaction failures were noted by the drivers, the drivers were stopped
7. Power to the SUT was returned.
8. Database recovery started. That marked the beginning of business recovery.
9. Database recovery was completed successfully
10. Transaction cleanup was executed on the database.
11. A performance run (Run2) was started.
12. The test ramped-up to steady state.
13. Business recovery ends when the test attains at least 95% of reported throughput and maintains that rate or above thereafter.
14. The test was allowed to run in steady-state for 2hrs 30mins.
15. The drivers were stopped gracefully.
16. Transaction reports for Run1 and Run2 were generated and the count of Trade_Results transactions for both runs were noted and summed.
17. Step 2 was repeated to determine the total number of completed trades present in the database (count-after)
18. count-after minus count-before was verified to be equal to the number of successful Trade-Result transaction (sum of Run1 and Run2) records in the driver log file.
19. Consistency tests were run to ensure that the database was in a consistent state.

7.6: Business Recovery Time

The Business Recovery Time must be reported on the Executive Summary Statement and in the report.If the failures described in clauses 7.5.2.2, 7.5.2.3, and 7.5.2.4 were not combined into one Durability Test (Usually powering off the database during the run), then the Business Recovery Time for the failure described for instantaneous interruption is the Business Recovery Time that must be reported in the Executive Summary Statement. All the Business Recovery Times for each test requiring Business Recovery must be reported in the Report. (9.3.7.6)
A Business Recovery Graph (see clause 7.5.7.4) must be reported in the Report for all Business Recovery Tests. (9.3.7.7)

The Business Recovery Time was determined to be 1 hrs 23mins 44s. This is also recorded in the Executive Summary.

Figure 5*: Business Recovery Tests Graph*
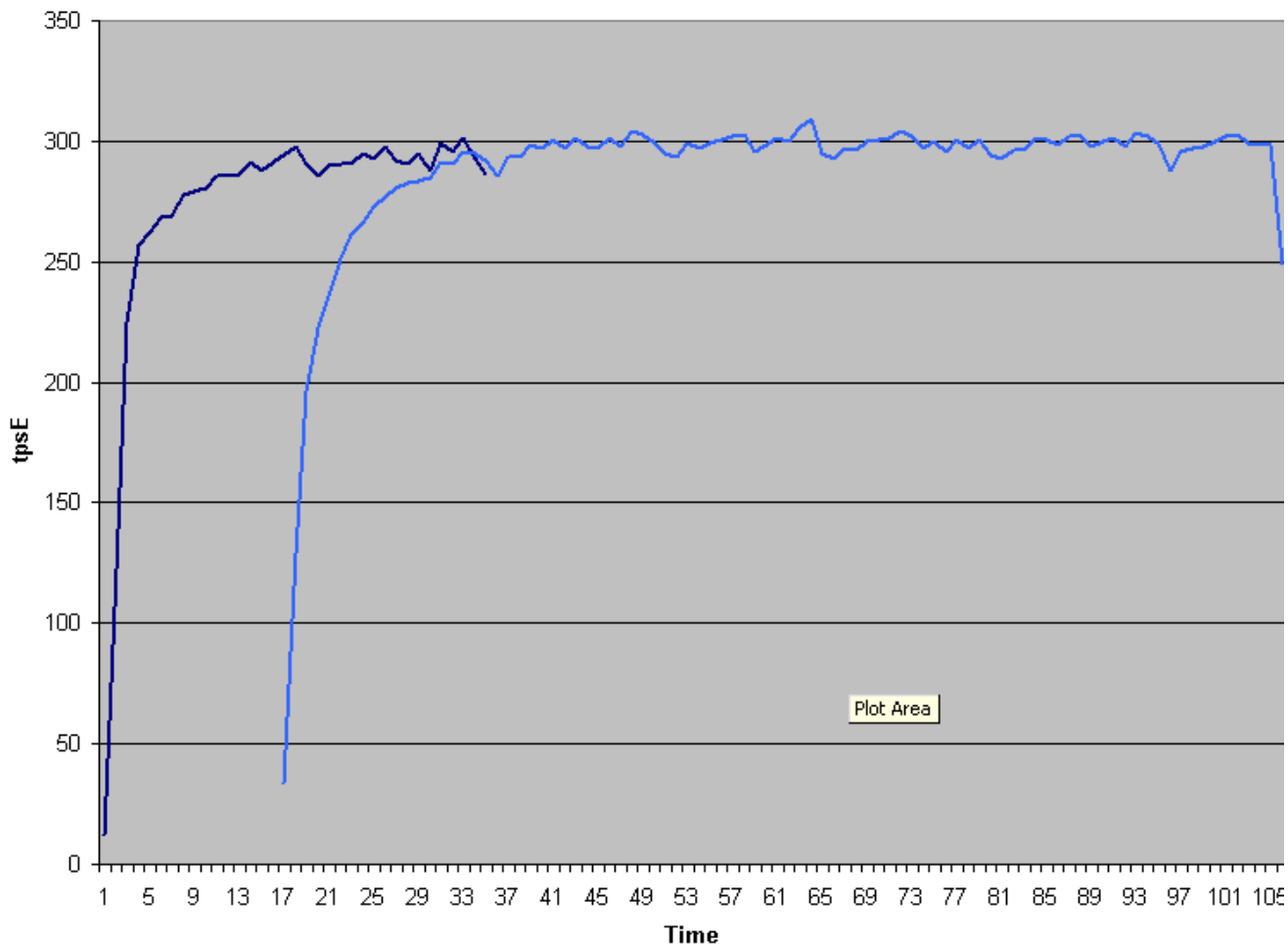
Clause 8: Pricing

8.1: 60-day space

Details of the 60-Day Space computations (see Clause 8.2.2) along with proof that the database is configured to sustain a Business Day of growth (see Clause 6.6.6.1) must be reported. (9.3.8.1)

Table 6: *Space Requirements*

8.2: Attestation Letter

The Auditor's Attestation Letter, which indicates compliance, must be included in the Report.
(9.3.8.2)

This configuration and benchmark test was audited by a TPC certified auditor Lorna Livingtree as shown by the attestation letter shown below:

April 8, 2008

Mr. Gene Purdy
Dell, Inc.
One Dell Way
Round Rock, TX 78682

I have verified the TPC Benchmark™ E for the following configuration:

Platform: Dell PE2900 III

Database Manager: Microsoft SQL Server 2008 Enterprise x64 Edition

Operating System: Microsoft Windows Sever 2008 Enterprise x64 Edition

Server (Tier B): PE2900 III			
CPU's	Memory	Disks (total)	tpsE
2 Intel Xeon quad core @ 3.16 Ghz	48 GB	232 @ 73 GB	**295.27**
Clients (Tier A): 1 PE SC 1420			
2 Intel @ 3.2 Ghz	3 GB	1 @ 80 GB	Na

In my opinion, these performance results were produced in compliance with the TPC requirements for the benchmark. The following attributes of the benchmark were given special attention:

- All EGen components were verified to be version 1.5.0.
- The database files were properly sized and populated for 150,000 customers.
- The transaction components were properly implemented.
- The required network between the driver and the transaction harness was configured.
- The ACID properties were successfully demonstrated.

- The database was verified to have no Trade-Request rows prior to the start of the test run.
- The test run met all the requirements for timing, mix, and response times.
- Input data was generated according to the specified percentages.
- One and only one Data-Maintenance process was running during the test run.
- There were no inactive load units during the test run.
- Eight hours of mirrored log space was present on the measured system.
- Eight hours of growth space was present on the measured system.
- The data for the 60 day space calculation was verified.
- There were 220 user contexts present on the system.
- The steady state portion of the test was 120 minutes.
- One checkpoint was taken after steady state and before the measured interval.
- Checkpoint interval was verified to be equal to or less than 7.5 minutes.
- The system pricing was checked for major components and maintenance.
- Third party quotes were verified for compliance.
- The FDR was reviewed and verified as required.

Auditor Notes:
The Tier A client tested was a Power Edge SC 1420 which is no longer available. A Power Edge SC 1430 has been substituted in the priced configuration. The specifications were verified and meets the substitution requirements.

Sincerely,

Lorna Livingtree

Lorna Livingtree
Auditor

Clause 9: Supporting Files

9.1: Supporting Files

An index for all files required by Clause 9.4 Supporting Files must be provided in the Report. The Supporting Files index is presented in a tabular format where the columns specify the following:

- *The first column denotes the clause in the TPC Specification*
- *The second column provides a short description of the file contents*
- *The third column contains the path name for the file starting at the SupportingFiles directory.*

If there are no Supporting Files provided then the description column must indicate that there is no supporting file and the path name column must be left blank. (9.3.9.1)

APPENDIX A: Third Party Price Quotations

Microsoft Corporation
One Microsoft Way
Redmond, WA   98052-6399

Tel 425 882 8080
Fax 425 936 7329
http://www.microsoft.com/

Microsoft

April 3, 2008

Dell
Gene Purdy
1 Dell Way
Round Rock, TX 78664

Here is the information you requested regarding pricing for several Microsoft products to be used in conjunction with your TPC-E benchmark testing.

All pricing shown is in US Dollars ($).

Part Number	Description	Unit Price	Quantity	Price
	SQL Server 2008 Enterprise x64 Edition *Per Processor License Discount Schedule: Open Program - No Level Unit Price reflects a 4% discount from the retail unit price of $24,999.*	$23,911	2	$47,822
P72-03195	**Windows Server 2008 Enterprise Edition (x64)** *Server License with 25 CALs Discount Schedule: Open Program - No Level Unit Price reflects a 41% discount from the retail unit price of $3,999.*	$2,357	1	$2,357
P72-01684	**Windows Server 2003 R2 Enterprise x64 Edition** *Server License Only - No CALs Discount Schedule: Open Program - No Level Unit Price reflects a 42% discount from the retail unit price of $3,999.*	$2,334	1	$2,334
N/A	**Microsoft Problem Resolution Services** *Professional Support (1 Incident)*	$245	1	$245

Windows Server 2008 and Windows Server 2003 are currently orderable through Microsoft's normal distribution channels. A list of Microsoft's resellers can be found at
http://www.microsoft.com/products/info/render.aspx?view=22&type=mnp&content=22/licensing

SQL Server 2008 will be orderable and available by August 30, 2008.

Defect support is included in the purchase price. Additional support is available from Microsoft PSS on an incident by incident basis at $245 per call.

This quote is valid for the next 90 days.

If we can be of any further assistance, please contact Jamie Reding at
(425) 703-0510 or jamiere@microsoft.com.

Reference ID: PEgepu0804030000002913.
Please include this Reference ID in any correspondence regarding this price quote.

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