

TPC Benchmark™ E
Full Disclosure Report
for
IBM® System x3850 M2
using
Microsoft® SQL Server 2008
Enterprise x64 Edition
and
Microsoft Windows® Server 2008
Enterprise x64 Edition

TPC-E Version 1.4.0

Submitted for Review
February 27, 2008

IBM Corporation

First Edition – February 2008

THE INFORMATION CONTAINED IN THIS DOCUMENT IS DISTRIBUTED ON AN AS IS BASIS WITHOUT ANY WARRANTY EITHER EXPRESSED OR IMPLIED. The use of this information or the implementation of any of these techniques is the customer's responsibility and depends on the customer's ability to evaluate and integrate them into the customer's operational environment. While each item has been reviewed by IBM for accuracy in a specific situation, there is no guarantee that the same or similar results will be obtained elsewhere. Customers attempting to adapt these techniques to their own environment do so at their own risk.

In this document, any references made to an IBM licensed program are not intended to state or imply that only IBM's licensed program may be used; any functionally equivalent program may be used.

This publication was produced in the United States. IBM may not offer the products, services, or features discussed in this document in other countries, and the information is subject to change without notice. Consult your local IBM representative for information on products and services available in your area.

© Copyright International Business Machines Corporation 2008. All rights reserved.

Permission is hereby granted to reproduce this document in whole or in part, provided the copyright notice as printed above is set forth in full text on the title page of each item reproduced.

U.S. Government Users - Documentation related to restricted rights: Use, duplication, or disclosure is subject to restrictions set forth in GSA ADP Schedule Contract with IBM Corp.

Trademarks

IBM, the IBM logo, System x, System Storage and TotalStorage are trademarks or registered trademarks of International Business Machines Corporation.

The following terms used in this publication are trademarks of other companies as follows: TPC Benchmark, TPC-E, tpsE, and \$/tpsE trademark of Transaction Processing Performance Council; Intel and Xeon are trademarks or registered trademarks of Intel Corporation; Microsoft and Windows are trademarks or registered trademarks of Microsoft Corporation. Other company, product, or service names, which may be denoted by two asterisks (**), may be trademarks or service marks of others.

Notes

¹ GHz and MHz only measures microprocessor internal clock speed, not application performance. Many factors affect application performance.

² When referring to hard disk capacity, GB, or gigabyte, means one thousand million bytes. Total user-accessible capacity may be less.

Abstract

IBM Corporation conducted the TPC Benchmark™ E on the IBM® System x3850 M2 configured as a client/server system with attached IBM System Storage™ DS4800. This report documents the full disclosure information required by the TPC Benchmark E Standard Specification, Revision 1.4.0, including the methodology used to achieve the reported results. All testing fully complied with this revision level.


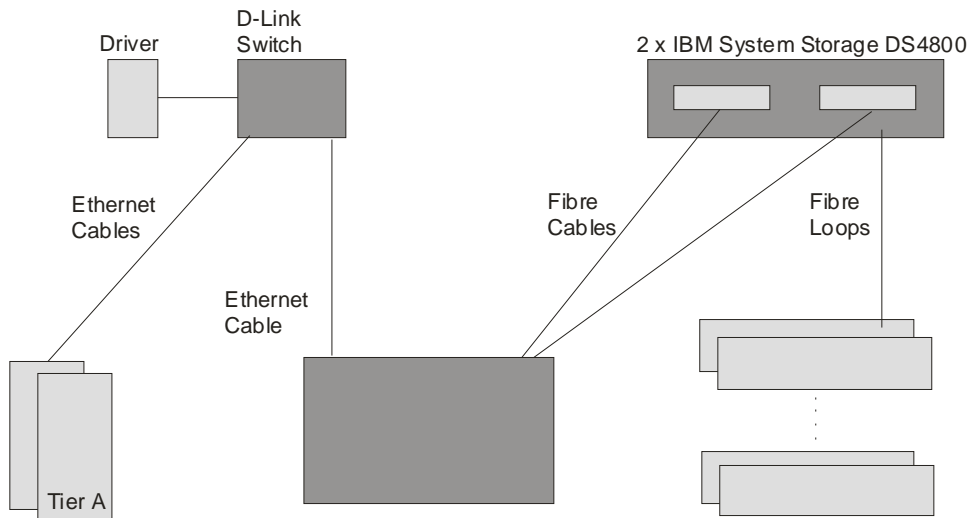
The software used on the IBM System x3850 M2 system includes Microsoft® Windows® Server 2008 Enterprise x64 Edition operating system and Microsoft SQL Server 2008 Enterprise x64 Edition.

Standard metrics, transactions per second-E (tpsE), price per tpsE (\$/tpsE) and Availability Date, are reported as required by the TPC Benchmark E Standard Specification.

The benchmark results are summarized in the following table.

Hardware	Software	Total System Cost	tpsE	\$ USD /tpsE	Total Solution Availability Date
IBM System x3850 M2	Microsoft SQL Server 2008 Enterprise x64 Edition Microsoft Windows Server 2008 Enterprise x64 Edition	\$762,992	479.51	\$ 1,591.20	August 30, 2008

The benchmark implementation and results were audited by Doug Johnson for InfoSizing (www.sizing.com). The auditor's attestation letter is contained in Section 9 of this report.

	IBM® System x™ 3850 M2 Microsoft® SQL Server 2008		TPC-E Revision 1.4.0 TPC Pricing 1.3.0
			Report Date: February 27, 2008
TPC-E Throughput 479.51 tpsE	Price/Performance \$ 1,591.20 USD per tpsE	Availability Date August 30, 2008	Total System Cost \$ 762,992 USD
Database Server Configuration			
Operating System Microsoft Windows Server 2008 Enterprise x64 Edition	Database Manager Microsoft SQL Server 2008 Enterprise x64 Edition	Processors/Cores/Threads 4/16/16	Memory 128GB
 <p>The diagram illustrates the network and storage configuration. On the left, two 'Tier A' server racks are connected to a central 'D-Link Switch' via 'Ethernet Cables'. A 'Driver' is also connected to the switch. The switch is connected to an 'IBM System x3850 M2' server rack via an 'Ethernet Cable'. This server rack is further connected to two 'IBM System Storage DS4800' enclosures via 'Fibre Cables'. The enclosures are connected to 'Fibre Loops' which are linked to '24 x IBM System Storage EXP810 Enclosure' units.</p>			
2 x IBM System x3500 Each contains: 1 x Quad-Core Intel Xeon Processor E5440 2.83GHz (1 Processor, 4 Cores, 4 Threads) 2GB of Memory 2 x 73GB SAS Drive (RAID-1 for OS, Onboard RAID Controller, Onboard Dual-Port 1 Gigabit Ethernet Controller)	IBM System x3850 M2 4 x Quad-Core Intel Xeon Processor X7350 2.93GHz (4 Processors, 16 Cores, 16 Threads) 128GB of Memory 2 x 73GB SAS Drive 4 x Emulex 8Gb FC Single-Port HBA for IBM System x 1 x IBM NetXtreme II 1000 Express Ethernet Adapter	24 x IBM System Storage EXP810 Enclosure Each Contains: 16 x 73.4GB 15K rpm Drives (Total of 384 Drives arrayed as: 1 x 16-Disk RAID-10 23 x 16-Disk RAID-5)	
Initial Database Size 1,913.10 GB	Redundancy Level: 1 RAID-10 Log + RAID-5 Data		Storage 384 x 73.4 GB 15K



IBM System x3850 M2 Microsoft SQL Server 2008

TPC-E Revision 1.4.0
TPC Pricing Spec 1.3.0

Report Date:
February 27, 2008

Availability Date:
August 30, 2008

Description	Part Number	Price Source	Unit Price	Quantity	Extended Price	3-Yr. Maint. Price
Server Hardware						
IBM System x3850 M2 (2 x Intel Xeon Processor X7350 (2.93GHz/2x4MB L2 Cache) with 4 Memory Cards and 8 x 1GB DIMM)	7141-4RU	1b	17,499	1	17,499	
Intel Xeon Processor X7350 (2.93GHz/2x4MB L2)	44E4243	1b	3,579	2	7,158	
8GB (2x4GB) PC2-5300 CL5 ECC DDR2 SDRAM	41Y2768	1b	1,699	16	27,184	
73GB 15K 2.5" Hot-Swap SAS SFF	43X0837	1b	519	2	1,038	
IBM 8Gb FC Single-Port PCIe HBA for IBM System x	42D0485	1a*	1,469	4	5,876	
NetXtreme II 1000 Express Ethernet Adapter	39Y6066	1b	279	1	279	
IBM T115 15-inch TFT Display	494215U	1a	209	1	209	
IBM Preferred Pro USB Keyboard	40K9584	1b	29	1	29	
IBM 3-Button Optical Mouse - Black - USB	40K9201	1b	19	1	19	
ServicePac for 3-Year 24x7x4 Support (x3850 M2)	96P2688	1b	3,390	1		3,390
ServicePac for 3-Year 24x7x4 Support (Display)	10N3110	1b	90	1		90
Subtotal					59,291	3,480
Server Storage						
4 Gbps SW SFP Transceiver 4 Pack	22R4897	1b	550	9	4,950	
IBM 1m LC-LC Fibre Channel Cable	39M5696	1b	79	40	3,160	
IBM 5m LC-LC Fibre Channel Cable	39M5697	1b	129	12	1,548	
IBM S2 42U Standard Rack	93074RX	1b	1,489	3	4,467	
PowerCom KingPro UPS (2 spares)	KIN-1500AP	4	135	15	2,025	
ServicePac for 3-Year 24x7x4 Support (Rack)	41L2760	1b	300	3		900
IBM System Storage DS4800 Disk Upgrade to 8GB Cache	1815-82A	2	53,995	2	107,990	
IBM System Storage DS4000 EXP810 Storage Exp. Unit	1812-81A	2	6,000	24	144,000	
73.4GB 15K 4Gbps FC E-DDM Hot-Swap HDD	40K6816	2S	1,301	384	499,584	
ServicePac for 3-Year 24x7x4 Support (EXP810)	10N3651	2	960	24		23,040
ServicePac for 3-Year 24x7x4 Support (DS4800)	41C5953	2	3,200	2		6,400
Subtotal					767,724	30,340
Server Software						
Microsoft SQL Server 2008 Enterprise x64 Edition	N/A	3a	24,999	4	99,996	
Microsoft Windows Server 2008 Enterprise x64 Edition	P72-03168	3a	3,999	1	3,999	
Microsoft Problem Resolution Services	N/A	3b	245	1		245
Subtotal					103,995	245
Client Hardware						
x3500 with Intel Xeon E5440 (2.83GHz/12MB), 1GB Memory	7977M2U	1b	3,109	2	6,218	
2GB (2x1GB) PC2-5300 CL5 ECC DDR2 Chipkill FB-DIMM 667MHz	39M5785	1b	259	2	518	
73GB 15K 3.5" Hot-Swap SAS	43W7523	1b	329	4	1,316	
ServicePac for 3-Year 24x7x4 Support (x3500)	21P2084	1b	689	2		1,378
Subtotal					8,052	1,378
Client Software						
Microsoft Windows Server 2003 R2 Standard x86 Edition	P73-01972	3a	999	2	1,998	
Subtotal					1,998	0
Infrastructure						
D-Link DGS-2208 10/20/100/1000/2000Mbps Switch (2 spares)	DGS-2208	4	55	4	220	
Ethernet Cable (2 spares)	A3L791-10-BLK	4	5	11	55	
Subtotal					275	
Total					941,335	35,443
IBM Large Purchase Discount (See Note 2.)	32.32%	1b			-26,222	
Compsat Technology Large Purchase Discount (See Note 3.)	22.92%	2			-179,047	
Microsoft Open Program Discount Schedule	8.04%	3a			-8,517	

Pricing: 1 - IBM - 1-888-SHOP-IBM, ext. 5821; 2 - Compsat Technology
3 - Microsoft; 4 - newegg.com

* This component is not immediately orderable. See the FDR for more information.

Note 1: "S" mean that one or more components of the measured configuration have been substituted in the Priced Configuration. See the FDR for details.

Note 2: Discount based on IBM Direct guidance applies to all line items where Pricing=1b.

Pricing is for this system or one of similar size.

Note 3: Discount applies to all line items where Pricing=2; pricing is for these or similar quantities.

Implementation and results audited by Doug Johnson for InfoSizing, Inc. (www.sizing.com)

Prices used in TPC benchmarks reflect the actual prices a customer would pay for a one-time purchase of the stated components. Individually negotiated discounts are not permitted. Special prices based on assumptions about past or future purchases are not permitted. All discounts reflect standard pricing policies for the listed components. For complete details, see the pricing section of the TPC benchmark specifications. If you find that stated prices are not available according to these terms, please inform the TPC at pricing@tpc.org. Thank you.

Three-Year Cost of Ownership USD: \$762,992

TPC-E Throughput: 479.51

\$ USD/tpsE: \$1,591.20



**IBM System x3850 M2
Microsoft SQL Server 2008**

TPC-E Revision 1.4.0
TPC Pricing Spec 1.3.0

Report Date:
February 27, 2008

Availability Date:
August 30, 2008

Reported Throughput: 479.51 tpsE		Configured Customers: 245,000		
Response Time (in seconds)	Minimum	Average	90th Percentile	Maximum
Broker-Volume	0.00	0.04	0.07	0.52
Customer-Position	0.00	0.03	0.05	1.52
Market-Feed	0.00	0.03	0.07	0.97
Market-Watch	0.00	0.03	0.06	1.72
Security-Detail	0.00	0.02	0.03	0.94
Trade-Lookup	0.00	0.48	0.66	1.34
Trade-Order	0.00	0.08	0.14	1.61
Trade-Result	0.00	0.08	0.13	1.52
Trade-Status	0.00	0.02	0.04	1.54
Trade-Update	0.01	0.57	0.71	1.37
Data-Maintenance	0.00	0.13	N/A	1.94
Transaction Mix		Transaction Count	Mix %	
Broker-Volume		1,691,592	4.900	
Customer-Position		4,487,901	13.000	
Market-Feed		345,256	1.000	
Market-Watch		6,213,621	17.999	
Security-Detail		4,832,892	14.000	
Trade-Lookup		2,761,525	8.000	
Trade-Order		3,486,545	10.100	
Trade-Result		3,452,528	10.001	
Trade-Status		6,558,843	19.000	
Trade-Update		690,335	2.000	
Data-Maintenance		124	N/A	
Test Duration and Timings				
Ramp-up Time			00:39:00	
Measurement Interval			02:00:00	
Business Recovery Time			01:36:09	
Total Number of Transactions Completed in Measurement Interval			34,521,038	

Table of Contents

Abstract	3
Clause 0 – Preamble	9
Clause 1 – Introduction	11
Benchmark Sponsor.....	11
Configuration Diagrams.....	11
Hardware and Software Configuration Steps	13
Clause 2 –Database Design, Scaling and Population Related Items	22
Database Creation and Table Definitions	22
Database Physical Organization	22
Horizontal/Vertical Partitioning.....	22
Replication	22
Cardinality of Tables	23
Distribution of Tables and Logs.....	25
Database Interface and Model Implemented.....	28
Database Load Methodology.....	30
Clause 3 – Transaction Related Items	31
Vendor-Supplied Code	31
Database Footprint of Transactions	31
Clause 4 – SUT, Driver and Network Related Items	32
EGen Instances.....	32
Network Configuration.....	32
Clause 5 – EGen Related Items	33
EGen Version.....	33
EGen Code and Modifications	33
Clause 6 – Performance Metrics and Response Time Related Items	34
Measured Throughput.....	34
Throughput vs. Elapsed Time for Trade-Result Transaction.....	34
Steady State Methodology	34
Work Performed during Steady State.....	35
Transaction Statistics.....	35

Clause 7 – Transaction and System Properties Related Items.....	37
Atomicity Requirements.....	37
Consistency Requirements	37
Isolation Requirements.....	39
Durability Requirements	42
Clause 8 – Pricing Related Items.....	45
60-Day Space	45
Auditor’s Attestation Letter.....	47
Supporting Files Index Table.....	50

Clause 0 – Preamble

Introduction

TPC Benchmark™ E (TPC-E) is an On-Line Transaction Processing (OLTP) workload. It is a mixture of read-only and update intensive transactions that simulate the activities found in complex OLTP application environments. The database schema, data population, transactions, and implementation rules have been designed to be broadly representative of modern OLTP systems. The benchmark exercises a breadth of system components associated with such environments, which are characterized by:

- The simultaneous execution of multiple transaction types that span a breadth of complexity; Moderate system and application execution time;
- A balanced mixture of disk input/output and processor usage; Transaction integrity (ACID properties);
- A mixture of uniform and non-uniform data access through primary and secondary keys;
- Databases consisting of many tables with a wide variety of sizes, attributes, and relationships with realistic content;
- Contention on data access and update.

The TPC-E operations are modeled as follows: The database is continuously available 24 hours a day, 7 days a week, for data processing from multiple **Sessions** and data modifications against all tables, except possibly during infrequent (e.g., once a month) maintenance **Sessions**. Due to the worldwide nature of the application modeled by the TPC-E benchmark, any of the transactions may be executed against the database at anytime, especially in relation to each other.

Goal of the TPC-E Benchmark

The TPC-E benchmark simulates the OLTP workload of a brokerage firm. The focus of the benchmark is the central database that executes transactions related to the firm's customer accounts. In keeping with the goal of measuring the performance characteristics of the database system, the benchmark does not attempt to measure the complex flow of data between multiple application systems that would exist in a real environment.

The mixture and variety of transactions being executed on the benchmark system is designed to capture the characteristic components of a complex system. Different transaction types are defined to simulate the interactions of the firm with its customers as well as its business partners. Different transaction types have varying run-time requirements.

The benchmark defines:

- Two types of transactions to simulate Consumer-to-Business as well as Business-to-Business activities.
- Several transactions for each transaction type.
- Different execution profiles for each transaction type A specific run-time mix for all defined transactions.

For example, the database will simultaneously execute transactions generated by systems that interact with customers along with transactions that are generated by systems that interact with financial markets as well as administrative systems. The benchmark system will interact with a set of **Driver** systems that simulate the various sources of transactions without requiring the benchmark to implement the complex environment.

The **Performance Metric reported** by TPC-E is a "business throughput" measure of the number of completed Trade-Result transactions processed per second (see Clause 6.7.1). Multiple **Transactions** are used to simulate the business activity of processing a trade, and each **Transaction** is subject to a **Response Time** constraint. The **Performance Metric** for the benchmark is expressed in transactions-per-second-E (tpsE). To be compliant with the TPC-E standard, all references to tpsE **Results** must include the tpsE rate,

the associated price-per-tpsE, and the **Availability Date** of the **Priced Configuration** (See Clause 6.7.3 for more detail).

Although this specification defines the implementation in terms of a relational data model, the database may be implemented using any commercially available **Database Management System (DBMS)**, **Database Server**, file system, or other data repository that provides a functionally equivalent implementation. The terms "table", "row", and "column" are used in this document only as examples of logical data structures.

TPC-E uses terminology and metrics that are similar to other benchmarks, originated by the TPC and others. Such similarity in terminology does not imply that TPC-E **Results** are comparable to other benchmarks. The only benchmark **Results** comparable to TPC-E are other TPC-E **Results** that conform to a comparable version of the TPC-E specification.

Restrictions and Limitations

Despite the fact that this benchmark offers a rich environment that represents many OLTP applications, this benchmark does not reflect the entire range of OLTP requirements. In addition, the extent to which a customer can achieve the **Results reported** by a vendor is highly dependent on how closely TPC-E approximates the customer application. The relative performance of systems derived from this benchmark does not necessarily hold for other workloads or environments. Extrapolations to any other environment are not recommended.

Benchmark **Results** are highly dependent upon workload, specific application requirements, and systems design and implementation. Relative system performance will vary because of these and other factors. Therefore, TPC-E should not be used as a substitute for specific customer application benchmarking when critical capacity planning and/or product evaluation decisions are contemplated.

Benchmark **Sponsors** are permitted various possible implementation designs, insofar as they adhere to the model described and pictorially illustrated in this specification. A **Full Disclosure Report (FDR)** of the implementation details, as specified in Clause 9.1, must be made available along with the **reported Results**.

Clause 1 – Introduction

Benchmark Sponsor

A statement identifying the benchmark Sponsor(s) and other participating companies must be reported.

This benchmark was sponsored by IBM Corporation.

Configuration Diagrams

Diagrams of both the measured and priced configurations must be reported, accompanied by a description of the differences. A description of the steps taken to configure all of the hardware and software must be reported.

The measured and priced configurations differ slightly. The priced configuration has all 73.4GB drives for the data arrays, whereas the measured configuration has three 16-Disk RAID-5 data arrays with all 73.4GB drives; the other twenty 16-Disk RAID-5 data arrays use 36.4GB drives.

Official public documentation of the product specifications for the 36.4GB and 73.4GB drives, containing data for the:

- Capacity of the drives
- Response times of the drives
- Throughput performance of the drives

was provided to the auditor and is included in the supporting Files.

In addition, operating system-level performance data during a test run was collected for the 36.4GB 16-disk arrays and the 73.4GB 16-disk arrays. This data included response times and throughput performance for each 16-disk array and showed that the 73.4GB 16-disk arrays performed as well or better than the corresponding 36.4GB 16-Disk arrays. The data was provided to the auditor.

The configuration diagrams for the measured and priced system are provided on the following pages.

The TPC-E Driver used for these TPC Benchmark E tests is a Microsoft proprietary Driver. The Driver software needed to implement the Driving & Reporting is run on an IBM eServer® xSeries® 226 machine that is not part of the SUT. The Driver communicates with Tier A over an Ethernet network using the Driver machine's onboard 1Gb Ethernet card.

Tier A is on two IBM System x3500 servers, each with one Quad-Core Intel® Xeon® Processor E5440 (2.83GHz with 12MB L2 Cache), 2GB of memory, two internal 73GB SAS drives in a RAID-1 array for the operating system, which is Microsoft Windows Server 2003 R2 Standard x86 Edition. Tier A communicates with Tier B and the Driver over an Ethernet network using the IBM System x3500's onboard 1Gb Ethernet card.

Tier B is on an IBM System x3850 M2. The x3850 M2 has:

- Four Quad-Core Intel Xeon Processor X7350 (2.93GHz with 2 x 4MB L2 Cache)
- 128GB of memory
- Two 73GB 15K rpm 2.5" Hot-Swap SAS SFF internal drives in a RAID-1 array for the operating system, which is Microsoft Windows Server 2008 Enterprise x64 Edition with Microsoft SQL Server 2008 Enterprise x64 Edition
- Four Emulex 8Gb Fibre Channel Single-Port HBA for IBM System x cards
- One NetXtreme II 1000 Express Ethernet Adapter.

The x3850 M2 has an onboard dual-port 1Gb Ethernet card, but for the measurements this was disabled in BIOS. Each Emulex 8Gb Fibre Channel Single-Port HBA for IBM System x card has one Fibre Channel

cable connection. The cable from the HBA in slot 1 is connected to controller A of the first IBM System Storage DS4800 controller. The cable from the HBA in slot 2 is connected to controller B of the first IBM System Storage DS4800 controller. The cable from the HBA in slot 5 is connected to controller A of the second IBM System Storage DS4800 controller. The cable from the HBA in slot 6 is connected to controller B of the second IBM System Storage DS4800 controller. Each DS4800 is connected to 12 IBM System Storage EXP810 disk enclosures. Each EXP810 disk enclosure has sixteen 15Krpm drives. The 384 drives are organized as:

- One 16-disk RAID-10 array for the database log
- Twenty-three 16-disk RAID-5 arrays for the database data

Each array is seen as one LUN by the operating system on the x3850 M2. In Windows Disk Manager each of the LUNs from the RAID-5 arrays is configured to have a RAW partition for the broker tables and another RAW partition for all the other TPC-E tables (customer, market and dimension all in one file group called customer). The rest of the space on the LUN is an NTFS partition used for DB backups or the temp DB, or is not used at all.

Tier B communicates with Tier A over an Ethernet network using the NetXtreme II 1000 Express Ethernet Adapter with TOE (TCP/IP Offload Engine) enabled. One Ethernet cable comes out of the NetXtreme II 1000 Express Ethernet Adapter and runs to a D-Link 8-port Ethernet switch that also has the Ethernet cables from the Tier A and Driver machines.

Measured and Priced Configuration

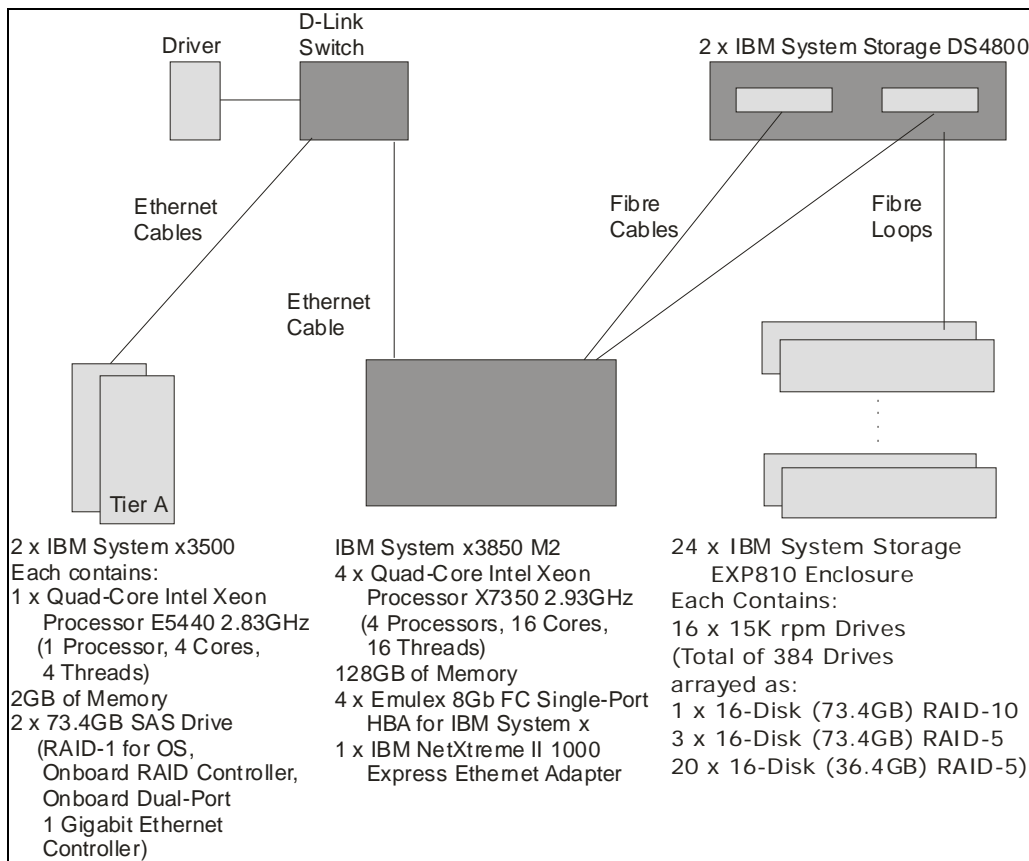


Figure 1-1. Measured Configuration

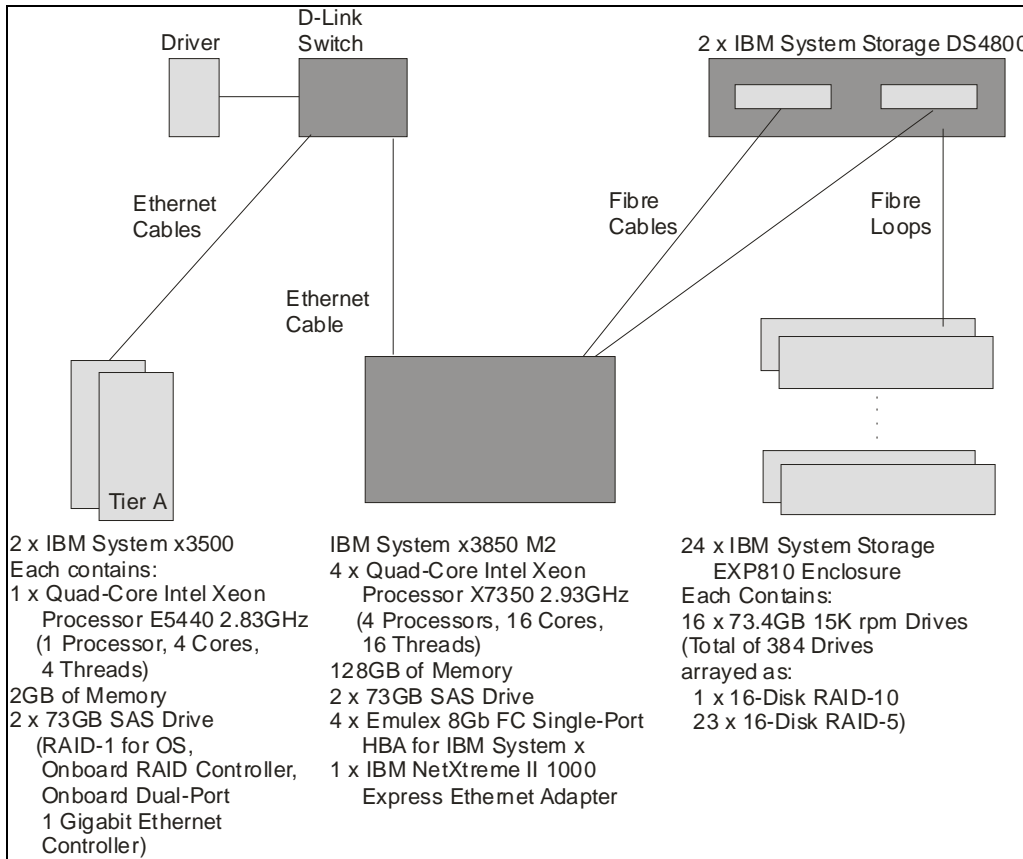


Figure 1-2. Priced Configuration

Hardware and Software Configuration Steps

A description of the steps taken to configure all the hardware must be **reported** in the **Report**.

A description of the steps taken to configure all the software must be **reported** in the **Report**.

Driver

The Driver is not part of the priced configuration/System Under Test. For this result an IBM eServer xSeries x226 machine running Microsoft Windows Server 2003 R2 Standard x86 Edition was used. The Microsoft Benchcraft proprietary driver was installed on the machine. An Ethernet cable was run from the machine's onboard Ethernet controller to the D-Link switch. The driver machine was configured with an IP address of 192.168.200.12.

Tier A

The IBM System x3500 comes with one Quad-Core Intel Xeon E5440 Processor and 1GB of memory. Remove the 1GB (2 X 512MB) DIMMs and replace them with the 2GB (2 X 1GB) DIMMs. Insert two 73GB SAS drives. Temporarily, attach a USB floppy driver to the IBM System x3500. Power on the IBM System x3500. During POST press Ctrl-A when prompted to drop into the IBM ServeRAID Config Utility to configure the drives. Select "Array Configuration Utility." Initialize both drives. Create the array using:

- RAID-1
- Read caching: Y
- Quick Initialization

Once the RAID array has been created, escape to the main menu and select "Serial Select Utility" – "Controller Configuration," and "Disable Array background consistency check." Then exit the tool; the

IBM System x3500 will restart. Boot from the installation CD for Microsoft Windows Server 2003 Standard x86 Edition. Press F6 when prompted to load the Driver for the SAS RAID controller from diskette. The driver can be downloaded from www.ibm.com. During the operating system installation, select the per seat 9999 option.

Once the operating system is installed, install the onboard Broadcom Ethernet card's device driver using the Broadcom-provided executable, which is run from a USB memory stick. The executable can be downloaded from www.ibm.com. When the Ethernet card's device driver has been installed, set a static IP address 192.168.200.7 for one port on the first IBM System x3500. Leave the other port of the dual-port onboard Ethernet card as DHCP. On the second IBM System x3500, set a static IP address 192.168.200.8 for one port and 192.168.128.8 for the other port of the dual-port onboard Ethernet card. Connect an Ethernet cable from the 190.168.128.8 port. The other end of the Ethernet cable will be connected to a second D-Link switch, which has Ethernet connections to the IBM System Storage DS4800 disk controllers. Connect Ethernet cables to the 192.168.200.7 and 192.168.200.8 ports. The other end of each of the Ethernet cables connects to the first D-Link switch, which has connections to the Driver and Tier B machines. Install the Microsoft SQL Server Workstation components (client), SUT_CE_Server.exe and SUT_MEE_Server.exe.

External storage subsystem

Before powering on the EXP810 enclosures and the DS4800s, load all 24 EXP810s with the 73.4GB 15K rpm drives. Set the speed switch on the front of the EXP810 to 4Gb. Each DS4800 will connect to 12 EXP810s.

The IBM System Storage DS4800 comes with eight 4Gb IBM short wave SFP modules. The IBM System Storage EXP810 comes with two 4Gb IBM short wave SFP modules. Move the SFP modules to the appropriate places as indicated in the diagram below, and use the additional SFP modules that were purchased separately to fill all the necessary spots according to the diagram. Then cable the configuration as directed by the diagram below, which shows how to cable one DS4800 to the first three EXP810s. The cabling pattern is repeated for the remaining three sets of three EXP810s for that DS4800. So the second set would start with a cable from channel 2, port 2 on the top controller of the DS4800; this cable would go to port 1B on the left of the fourth EXP810. Both DS4800s are cabled the same way, so repeat the cabling for the second DS4800.

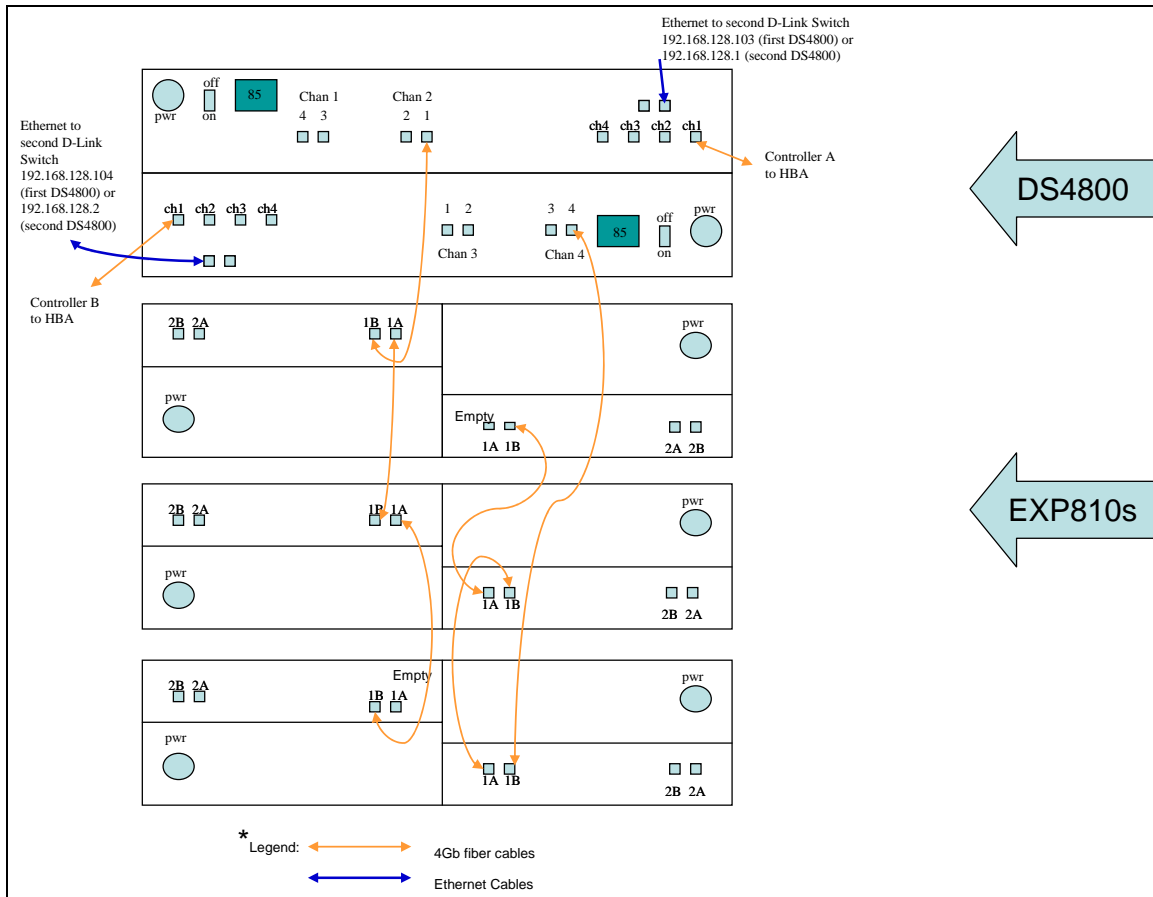


Figure 1-3. Cabling Diagram for the IBM System Storage DS4800 and IBM System Storage EXP810

Run an Ethernet cable from the top and bottom controllers in each DS4800 to the the second D-Link switch.

Download IBM Storage Manager 9 Client from www.ibm.com and install it on one of the x3500s (Tier A machine with the second Ethernet port configured to static IP address 192.168.128.8). During the installation, do not start the monitor service.

The default IP addresses for the controllers on the DS4800 are 192.168.128.101/102 and 192.168.129.101/102.

Perform the following steps for both controllers in each DS4800:

1. Hook up a standard serial cable to the DS4800 controller from another machine.
2. On the other machine, Hyperterminal into the DS4800 controller:
 - a. Speed: 38400
 - b. Other settings: 8, none, 1, none
 - c. Press CTRL-BRK, ESC to get the command prompt.
3. Enter the Default password = infiniti
4. Select **sysWipe** to clear all RAID configuration information, which can travel with drives.
 - a. **Wait for sysWipe to complete on both sides.** sysWipe runs in the background and takes some time to complete, but it tells you when it's done. Wait for the message that sysWipe has completed.
 - b. After sysWipe is finished on both controllers, power cycle the controller.
5. **safeSysWipe** returns quickly.
6. Relog into the controller, and use:
 - a. **netCfgShow** to see the current Ethernet configuration

- b. **netCfgSet** to change the Ethernet configuration.
 - i. Set the hostname and IP to suit your needs. (We used IP address 192.168.128.1 for the top controller and 192.168.128.2 for the bottom controller on one DS4800 and IP address 192.168.128.103 for the top controller and 192.168.128.104 for the bottom controller on the other DS4800.)

After completing those steps on all of the DS4800s, start the IBM Storage Manager 9 Client on the x3500 and discover all the devices. In IBM Storage Manager 9 Client, do the following:

1. Double click the DS4800 icon to open a window for that DS4800.
2. In the new window, synchronize the date/time on all the devices – right click on the DS4800 Icon – Set Controller Clocks.
3. In the new window, disable any premium features – right click on the DS4800 Icon – Premium Features - Disable
4. From the Enterprise Management Window: right click Tools – Execute Script – Load – ds4800_setup.script (provided in the SupportingFiles)
5. From Tools: right click Verify and Execute the ds4800_setup.script. This script sets the no FUA bit, and makes it so that Microsoft Windows only sees one copy of each of the LUNs.
6. For the first DS4800, from the Enterprise Management Window: right click Tools – Load Configuration – “firstDS4800.cfg” (provided in the SupportingFiles). This loads the RAID array configurations: One 16-drive RAID-10 array for the database log, and eleven 16-drive RAID-5 arrays for the database data.
7. For the second DS4800, from the Enterprise Management Window: right click Tools – Load Configuration – “secondDS4800.cfg” (provided in the SupportingFiles). This loads the RAID array configurations: Twelve 16-drive RAID-5 arrays for the database data.

The external storage subsystem is now ready.

IBM System x3850 M2

The IBM x3850 M2 comes with two Quad-Core Intel Xeon X7350 processors, eight 1GB DIMMs, four memory cards and an onboard dual-port Broadcom Ethernet card. Open the x3850 M2, and perform these steps:

1. Install two more Quad-Core Intel Xeon X7350 Processors.
2. Remove the eight 1GB DIMMs and install thirty-two 4GB DIMMs.
3. Install the NetXtreme II 1000 Express Ethernet Adapter in PCI-E slot 7.
4. Run an Ethernet cable from the NetXtreme II 1000 Express Ethernet Adapter to the first D-Link switch, which has connections to the Tier A and Driver machines.
5. Close the x3850 M2.
6. Insert the two 73GB 15K rpm 2.5” Hot-Swap SAS SFF drives in the front of the x3850 M2.
7. Plug the power cords into the back of the machine.
8. Press the switch power switch on the front of the x3850 M2 to start it.

Press F1 while the x3850 M2 is going through POST. This causes the server to drop into BIOS Setup. In BIOS Setup change the following from their default values:

1. Set the correct date and time.
2. In Devices in I/O Ports, disable “Planar Ethernet” and “Display Onboard MAC Address During Boot.”
3. In Start Options, set the Startup Sequence to CD-ROM, Diskette Drive 0, Hard Disk 0, Disabled.
4. Still in Start Options, disable Planar Ethernet PXE/DHCP.
5. In Advanced Setup – Memory Settings – ensure that the Memory Array Setting is High Performance Memory Array.
6. In Advanced Setup – CPU Options - disable nearly everything (PowerExecutive Power Capping, Processor Performance States, Processor Hardware Prefetcher, Processor Execute Disable Bit,

Intel Virtualization Technology, Processor IP Prefetcher, Processor DCU Prefetcher, C1E). Enable Processor Adjacent Sector Prefetch. Leave the Clustering Technology in Logical Mode.

7. In Advanced Setup – Advanced PCI Settings – disable PCI ROM Control Execution for the seven PCI-E slots.

Save the BIOS changes and restart the x3850 M2.

During POST on the x3850 M2, when the LSI banner is displayed, press Ctrl-C. The SAS Configuration Utility will come up. Create a RAID-1 array from the two internal SAS drives.

1. SAS1078
2. RAID Properties
3. Create IM Volume
4. Arrow over to the RAID Disk column, use the space bar to toggle from No to Yes for both internal SAS drives
5. D to overwrite existing data
6. 7006MB, C to create
7. Create and Save new array
8. Save changes and exit the menu
9. RAID Properties
10. Manage Array
11. Synchronize Array, Y start array synchronization and exit this menu
12. Exit the configuration utility and reboot the x3850 M2.

Put the Microsoft Windows Server 2008 Enterprise x64 Edition DVD in the x3850 M2's DVD/CDROM drive. Boot the x3850 M2 from the DVD.

1. Select Boot section 0
2. Language to install – English
3. Time and currency format – English (United States)
4. Keyboard or input method – U.S. [Next]
5. [Install Now]
6. Enter Product Key
7. Deselect “Automatically activate Windows when I’m online.” [Next]
8. Windows Server 2008 Enterprise (Full Installation) x64 [Next]
9. Check “I accept the license terms” [Next]
10. Custom (advanced) install not upgrade
11. Where do you want to install Windows?
12. Disk 0 Unallocated Space 68.0GB
13. Drive Options (advanced)
14. New 69618 MB [Apply]
15. Disk 0 Partition 1 68.0GB Primary
16. [Next]
17. Installing Windows... That’s all the information we need right now. Your computer will restart several times during installation.
18. Before the first reboot remove the DVD. There are two reboots.

19. After the second reboot the following message is displayed “The user’s password must be changed before logging on the first time” [OK]
20. Set a new secure password for the Administrator
21. The “Initial Configuration Tasks” window is displayed. On the Date and Time tab set the Time Zone to GMT-05:00 Eastern Time (US & Canada) [OK]
22. Internet Time tab [Change settings...], uncheck “Synchronize with an internet time server [OK] [OK]
23. Configure networking – Windows saw the NetXtreme II 1000 Express Ethernet Adapter and installed a driver, so we don’t need to load a driver yet. The default driver does not support TCP/IP Offload Engine. Right click “Local Area Connection” – Properties – Select “Internet Protocol Version 4 (TCP/IP.4) – [Properties]
24. Check the radio button “Use the following IP address”; enter IP address 192.168.200.102 with a subnet mask of 255.255.255.0 [OK] [Close]; close the Networks folder.
25. Provide computer name and domain – Computer description – TPC-E Athena Win2008 [Change] computer name – ibmservA [OK]. A message is displayed that says the server must be restarted for the change to take effect so save open files. [OK]. Still in “System Properties” “Computer Name” tab click the “Advanced” tab.
26. On the “Advanced” tab - Performance – [Settings...] – Visual Effects tab – check radio button for “Adjust for best performance”. On the “Advanced” leave the radio button for background services checked.
27. Data Execution Prevention tab the default is DEP for all programs and services except those I select” [OK]. Close the Performance Options Window.
28. Startup and Recovery – [Settings...] – change the time to display a list of operating systems to 10 seconds instead of the default 30 seconds. In the System Failure section uncheck “Automatically restart”. Change “Write debugging information” to “(none)”. [OK]
29. In the System Properties Window change from the “Advanced” tab to the “Remote” tab.
30. Check the radio button to “Allow connections from computers running any version of Remote Desktop”.
31. A warning about enabling Remote Desk making the server less secure is displayed. [OK] [Close]
32. A message saying the computer must be restarted to apply these change is displayed. [Restart later]
33. Back in Initial Configuration Tasks window. “2 Update This Server” – “Enable automatic updating and feedback – [Manually configure settings]
34. Manually Configure Settings window – Windows automatic updating [Change Setting...] – check the radio button for “Never check for updates” – [OK]
35. Windows Error Reporting – [Change Setting...] – check the button “I don’t want to participate, and don’t ask me again” – [OK]
36. Customer Experience Improvement Program – check the button “No, I don’t want to participate” – [OK] [Close]
37. Download and install updates – leave as no checking for updates
38. Windows Firewall – Change settings – Advanced tab – Network Connections – uncheck. General tab – check the button “Off” – [Apply] [OK]
39. gpedit.msc (Global Policy Manager) – Computer Configuration – Windows Settings – Security Settings – Account Policies – Password Policy – Password must meet complexity requirements – disable – Maximum Password age – change from 42 to 0, which means your password never expires.
40. Still in gpedit.msc – Computer Configuration – Administrative Templates – System – right panel – Display shutdown event tracker – disable

41. Still in gpedit.msc – Computer Configuration – Windows Setting – Security Settings – Local Policies – User rights assignment – lock pages in memory add Administrators group.
42. Back on the initial settings page – check “do not show again.”
43. Restart, after restart change the Administrator’s password to a simple password.
44. Personal Settings – Screen Saver – Screen Save – (none) – Change power settings... - select the “High Performance” power plan – Choose when to turn off the display – Never – [Save Changes]
45. Sounds – Sound scheme – No Sounds – uncheck “Play Windows Startup Sound” [OK]
46. Move some icons onto my tool bar (e.g., Windows Explorer, command window, calculator, notepad).
47. Server Manager – Services – change the following services to Manual – Cryptographic Services, DHCP Client, Print Spooler, Remote Registry, Windows Update, Windows Error Reporting Service.
48. regedit – HKLM\SYSTEM\CurrentControlSet\Services\TPCIP\Parameters – add DWORD MaxUserPort 40000 decimal.
49. Check that HKLM\SYSTEM\CurrentControlSet\Services\Lanman Server\Parameters – Size = 3.
50. Change HKLM\SYSTEM\CurrentControlSet\Control\Session Manager\Memory Management – Large System Cache from 1 to 0, and Disable Paging Executive from 0 to 1.
51. Update my hosts file in c:\windows\system32\drivers\etc.

After installing the operating system, install the Broadcom 5708 (NetXtreme II 1000 Express Ethernet Adapter) Ethernet card’s device driver using the Broadcom-provided executable, which is run from a USB memory stick. The executable can be downloaded from www.ibm.com. After installing the Ethernet card’s device driver, set a static IP address (192.168.200.102) for the x3850 M2.

SQL Server Installation

Install Microsoft SQL Server 2008 Enterprise x64 Edition.

1. First, install prerequisites such as Microsoft Office 2003 Web Components
2. Then Install – Server components, tools, Book online and samples
3. SQL Server 2008 Setup – accept the license terms [Next]
4. Setup Support Files [Install]
5. SQL Server Installation Center – New Installation – System Configuration Check – Execution completed – Passed: 7 Failed: 0, Warning 0 Skipped 0 [Next]
6. Feature Selection – check Database Engine Services
7. Shared Features – check Client Tools and SQL Server Book online [Next]
8. Instance Configuration – check Default instance, MSSQLServer, C:\Program Files\Microsoft SQL Server [Next]
9. Service Accounts – SQL Server Agent left as manual, change SQL Server (MSSQL..) from automatic to manual, change SQL Server Browser from Disabled to Manual, picked Administrator as the account and applied it to all [Next]
10. Collation – check Windows Collation designator and sort order, Collation designation – Latin1_General, check Binary sort order [OK]
11. Database Engine Configuration – Account Provisioning tab – check Mixed Mode, Built-in SQL Server system administrator account, enter sa password and confirm the password. Specify SQL Server administrators – [Add Current User] – IBMSERVA\Administrator
12. Left the defaults on Data Directories and FILESTREAMING tabs [Next]
13. Error and Usage Reporting – uncheck “Send error reports to Microsoft or your corporate report server” and “Send anonymous feature usage data to Microsoft,” [Next]
14. Ready to Install [Next]
15. Shows progress, when finished [Next]
16. Installation complete so [Close]

Connect x3850 M2 to External Storage

Power off the x3850 M2.

1. Install Emulex 8Gb Fibre Channel Single-Port HBA for IBM System x in PCI-E slots 1, 2, 5 and 6.
2. Run a 4Gb Fibre cable from each Emulex 8Gb Fibre Channel Single-Port PCI-E HBA for IBM System x to a DS4800. The cable from the HBA in slot 1 is connected to controller A of the first IBM System Storage DS4800 controller. The cable from the HBA in slot 2 is connected to controller B of the first IBM System Storage DS4800 controller. The cable from the HBA in slot 5 is connected to controller A of the second IBM System Storage DS4800 controller. The cable from the HBA in slot 6 is connected to controller B of the second IBM System Storage DS4800 controller.

Power on the x3850 M2. Download HBAnyware, and the latest firmware and drivers for Emulex LPe1200 8Gb Fibre Channel Single-Port HBA from Emulex.com. Install HBAnyware on the x3850 M2, use HBAnyware to update the firmware on each HBA. Open Device Manager and under the Storage Controllers find the HBAs. Update the driver for each HBA. Back in HBAnyware click on the Driver Parameters tab for each HBA and set MSISynchMode to 3. Reboot the x3850 M2.

regedit.exe – under the key -

Computer\HKEY_LOCAL_MACHINE\SYSTEM\CurrentControlSet\Enum\SCSI\Disk&Ven_IBM&Prod_1815____FAST

There is a list of keys such as 5&dc982ed000005. For each of these keys, add a key “Classnp” under the “Device Parameters” key. Under the new Classnp, add a DWORD IdlePrioritySupported with a value of 0. This disables I/O prioritization.

Mount Points and Disk Partitions

1. In a command window, create mount points:
 - c:
 - cd \
 - mkmp.cmd (mkmp.cmd provided in the SupportingFiles)
2. Open Windows Disk Manager.
3. If the Wizard prompts you to initialize disks, do so; don't upgrade the disks.
4. From a command prompt, run diskpart.exe /s dblog.txt (dblog.txt provided in the SupportingFiles).
5. From a command prompt, run diskpart.exe /s datadisks.txt (datadisks.txt provided in the SupportingFiles).
6. From a command prompt, run formats.cmd (formats.cmd provided in the SupportingFiles).
7. Close Windows Disk Manager: the disk partitions for the database have been created.

SQL Server configuration

Start Microsoft SQL Server from the command line using sqlservr -c -T3502.

Run runconfig.sql to set the SQL Server sp_configure settings (the file is included in the SupportingFiles). Run tempdb.sql to increase the size of the temporary database, which is used during database load (the file is included in the SupportingFiles).

Shutdown SQL Server. Start Microsoft SQL Server 2008 – Configuration Tools – SQL Server Configuration Manager - SQL Server Network Configuration - Protocols for MSSQLSERVER – check Shared Memory, Named Pipes and TCP/IP are enabled.

Clause 2 –Database Design, Scaling and Population Related Items

Database Creation and Table Definitions

A description of the steps taken to create the database for the Reported Throughput must be reported in the Report.

Create a folder 245000.cust\database. In the folder, create a create_database.sql script to create a TPC-E database with two filegroups. One filegroup called broker_fg for the Broker-related TPC-E tables and the other filegroup called customer_fg for all the other TPC-E tables. broker_fg uses all the c:\mp\b* disk partitions. customer_fg uses all the c:\mp\c* disk partitions. The database log is on E:. Modify the files provided by Microsoft:

- Create_Tables_Fixed.sql
- Create_Tables_Scaling.sql
- Create_Clustered_Indexes_Fixed.sql
- Create_Clustered_Indexes_Scaling.sql
- Create_NC_Indexes_Fixed.sql
- Create_NC_Indexes_Scaling.sql

by replacing misc_fg and market_fg with customer_fg.

Run the Microsoft file TPCE_Setup.cmd to start the database load (the file is included in the SupportingFiles). When prompted, fill in 245000 for the number of customers to be loaded. TPCE_Setup.cmd calls files that are included in the SupportingFiles to create and load the TPC-E database.

Database Physical Organization

The physical organization of tables and indexes within the database must be reported in the Report.

Physical space was allocated to Microsoft SQL Server 2008 on the server disks as detailed in Table 2-2.

Horizontal/Vertical Partitioning

While there are few restrictions placed upon horizontal or vertical partitioning of tables and rows in the TPC-E benchmark, any such partitioning must be reported.

Partitioning was not used in this benchmark. However, the TPC-E tables were distributed over multiple disk arrays by SQL Server because the file groups were spread over 23 disk arrays.

Replication

Replication of tables, if used, must be reported in the Report.

Replication was not used in this benchmark.

Table Attributes

*Additional and/or duplicated attributes in any table must be **reported** in the **Report** along with a statement on the impact on performance (see Clause 2.3.5)).*

No additional attributes were used in this benchmark.

Cardinality of Tables

The cardinality (e.g., the number of rows) of each table, as it existed after the database load (see Clause 2.6), must be reported in the Report.

The database was built with 245,000 customers. Table 2-1 is on the following page.

Table Name	Rows
ACCOUNT_PERMISSION	1,739,747
ADDRESS	367,504
BROKER	2,450
CASH_TRANSACTION	3,894,882,701
CHARGE	15
COMMISSION_RATE	240
COMPANY	122,500
COMPANY_COMPETITOR	367,500
CUSTOMER	245,000
CUSTOMER_ACCOUNT	1,225,000
CUSTOMER_TAXRATE	490,000
DAILY_MARKET	219,011,625
EXCHANGE	4
FINANCIAL	2,450,000
HOLDING	216,791,155
HOLDING_HISTORY	5,673,740,953
HOLDING_SUMMARY	12,191,694
INDUSTRY	102
LAST_TRADE	167,825
NEWS_ITEM	245,000
NEWS_XREF	245,000
SECTOR	12
SECURITY	167,825
SETTLEMENT	4,233,600,000
STATUS_TYPE	5
TAXRATE	320
TRADE	4,233,600,000
TRADE_HISTORY	10,160,668,515
TRADE_REQUEST	0
TRADE_TYPE	5
WATCH_ITEM	24,534,107
WATCH_LIST	245,000
ZIP_CODE	14,741

Table 2-1. Initial Cardinality of Tables

Distribution of Tables and Logs

The distribution of tables, partitions and logs across all media must be explicitly depicted for the measured and priced configurations.

The x3850 M2 has seven PCI-E slots. An Emulex 8Gb Fibre Channel Single-Port HBA for IBM System x was put in PCI-E slots 1, 2, 5 and 6. Two IBM System Storage DS4800s were used in this benchmark. The DS4800 has two controllers: the top controller A and the bottom controller B.

The HBA in PCI-E slot 1 was connected to the top of the first DS4800. The HBA in PCI-E slot 2 was connected to the bottom of the first DS4800. That first DS4800 has the 16-Disk RAID-10 database log and five 16-Disk RAID-5 arrays on controller A and six 16-Disk RAID-5 arrays on controller B.

The HBA in PCI-E slot 5 was connected to the top of the second DS4800. The HBA in PCI-E slot 6 was connected to the bottom of the second DS4800. The second DS4800 has six 16-Disk RAID-5 arrays on controller A and another six 16-Disk RAID-5 arrays on controller B.

In the priced configuration all the drives are 73.4GB 15K rpm 4Gbps Fibre Channel drives.

In the measured configuration, the 16-Disk RAID-10 database log, the first, fourth and twenty-first 16-disk RAID-5 arrays have all 73.4GB 15K rpm 4Gbps Fibre Channel drives. The other twenty 15-disk RAID-5 arrays have 36.4GB 15K rpm 4Gbps Fibre Channel drives. Figure 2-2 and Figure 2-3 depict the database configuration of the measured and priced systems to meet the 8-hour steady state requirement.

Table 2-2. Data Distribution for the Measured Configuration

Disk #	Controller #	Slot #	Drives Enclosure model RAID level	Partition/file system	Size	Use
1	1A	1	16 X 73.4GB Fibre EXP810 Enclosure RAID- 10	E: (RAW)	542.92GB	DB Log
2	1A	1	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b1 (RAW) c:\mp\c1 (RAW) c:\mp\back1disk1: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 1 Customer 1 backup1
2	1A	1	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b2 (RAW) c:\mp\c2 (RAW) F: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 2 Customer 2 Extra Temp DB file
4	1A	1	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b3 (RAW) c:\mp\c3 (RAW) G: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 3 Customer 3 MDF File
5	1A	1	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b4 (RAW) c:\mp\c4 (RAW) H: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 4 Customer 4 Extra Temp DB File

6	1A	1	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b5 (RAW) c:\mp\c5 (RAW) I: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 5 Customer 5 Not used
7	1B	2	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b6 (RAW) c:\mp\c6 (RAW) J: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 6 Customer 6 Not used
8	1B	2	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b7 (RAW) c:\mp\c7 (RAW) c:\mp\back2disk7 (NTFS)	97.67GB 34.20GB 369.14GB	Broker 7 Customer 7 backup2
9	1B	2	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b8 (RAW) c:\mp\c8 (RAW) c:\mp\back3disk8 (NTFS)	97.67GB 34.20GB 369.14GB	Broker 8 Customer 8 backup3
10	1B	2	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b9 (RAW) c:\mp\c9 (RAW) K: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 9 Customer 9 Not used
11	1B	2	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b10 (RAW) c:\mp\c10 (RAW) L: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 10 Customer 10 Not used
12	1B	2	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b11 (RAW) c:\mp\c11 (RAW) M: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 11 Customer 11 Extra Temp DB file
13	2A	5	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b12 (RAW) c:\mp\c12 (RAW) N: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 12 Customer 12 Extra Temp DB file
14	2A	5	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b13 (RAW) c:\mp\c13 (RAW) O: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 13 Customer 13 Extra Temp DB file
15	2A	5	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b14 (RAW) c:\mp\c14 (RAW) P: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 14 Customer 14 Not used
16	2A	5	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b15 (RAW) c:\mp\c15 (RAW) c:\mp\back4disk15 (NTFS)	97.67GB 34.20GB 369.14GB	Broker 15 Customer 15 backup4

17	2A	5	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b16 (RAW) c:\mp\c16 (RAW) c:\mp\back5disk16 (NTFS)	97.67GB 34.20GB 369.14GB	Broker 16 Customer 16 backup5
18	2A	5	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b17 (RAW) c:\mp\c17 (RAW) Q: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 17 Customer 17 Not used
19	2B	6	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b18 (RAW) c:\mp\c18 (RAW) R: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 18 Customer 18 Not used
20	2B	6	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b19 (RAW) c:\mp\c19 (RAW) S: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 19 Customer 19 Extra Temp DB file
21	2B	6	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b20 (RAW) c:\mp\c20 (RAW) T: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 20 Customer 20 Extra Temp DB file
22	2B	6	16 X 73GB Fibre EXP810 Enclosure RAID-5	c:\mp\b21 (RAW) c:\mp\c21 (RAW) U: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 21 Customer 21 Extra Temp DB file
23	2B	6	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b22 (RAW) c:\mp\c22 (RAW) V: (NTFS)	97.67GB 34.20GB 369.14GB	Broker 22 Customer 22 Extra Temp DB log
24	2B	6	16 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b23 (RAW) c:\mp\c23 (RAW) c:\mp\back6disk23 (NTFS)	97.67GB 34.20GB 369.14GB	Broker 23 Customer 23 backup6
0	onboard LSI	N/A	2 X 73GB SAS onboard x3850 M2 RAID-1	C: (NTFS)	67.98GB	OS

Table 2-3. Data Distribution for the Priced Configuration

Disk #	Controller #	Slot #	Drives Enclosure model RAID level	Partition/file system	Size	Use
1	1A	1	16 X 73.4GB Fibre EXP810 Enclosure RAID- 10	E: (RAW)	542.92GB	DB Log
2	1A	1	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b1 (RAW) c:\mp\c1 (RAW) c:\mp\back1disk1: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 1 Customer 1 backup1
2	1A	1	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b2 (RAW) c:\mp\c2 (RAW) F: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 2 Customer 2 Extra Temp DB file
4	1A	1	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b3 (RAW) c:\mp\c3 (RAW) G: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 3 Customer 3 MDF File
5	1A	1	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b4 (RAW) c:\mp\c4 (RAW) H: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 4 Customer 4 Extra Temp DB File
6	1A	1	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b5 (RAW) c:\mp\c5 (RAW) I: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 5 Customer 5 Not used
7	1B	2	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b6 (RAW) c:\mp\c6 (RAW) J: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 6 Customer 6 Not used
8	1B	2	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b7 (RAW) c:\mp\c7 (RAW) c:\mp\back2disk7 (NTFS)	97.67GB 34.20GB 886.13GB	Broker 7 Customer 7 backup2
9	1B	2	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b8 (RAW) c:\mp\c8 (RAW) c:\mp\back3disk8 (NTFS)	97.67GB 34.20GB 886.13GB	Broker 8 Customer 8 backup3
10	1B	2	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b9 (RAW) c:\mp\c9 (RAW) K: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 9 Customer 9 Not used

11	1B	2	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b10 (RAW) c:\mp\c10 (RAW) L: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 10 Customer 10 Not used
12	1B	2	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b11 (RAW) c:\mp\c11 (RAW) M: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 11 Customer 11 Extra Temp DB file
13	2A	5	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b12 (RAW) c:\mp\c12 (RAW) N: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 12 Customer 12 Extra Temp DB file
14	2A	5	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b13 (RAW) c:\mp\c13 (RAW) O: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 13 Customer 13 Extra Temp DB file
15	2A	5	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b14 (RAW) c:\mp\c14 (RAW) P: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 14 Customer 14 Not used
16	2A	5	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b15 (RAW) c:\mp\c15 (RAW) c:\mp\back4disk15 (NTFS)	97.67GB 34.20GB 886.13GB	Broker 15 Customer 15 backup4
17	2A	5	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b16 (RAW) c:\mp\c16 (RAW) c:\mp\back5disk16 (NTFS)	97.67GB 34.20GB 886.13GB	Broker 16 Customer 16 backup5
18	2A	5	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b17 (RAW) c:\mp\c17 (RAW) Q: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 17 Customer 17 Not used
19	2B	6	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b18 (RAW) c:\mp\c18 (RAW) R: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 18 Customer 18 Not used
20	2B	6	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b19 (RAW) c:\mp\c19 (RAW) S: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 19 Customer 19 Extra Temp DB file
21	2B	6	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b20 (RAW) c:\mp\c20 (RAW) T: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 20 Customer 20 Extra Temp DB file

22	2B	6	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b21 (RAW) c:\mp\c21 (RAW) U: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 21 Customer 21 Extra Temp DB file
23	2B	6	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b22 (RAW) c:\mp\c22 (RAW) V: (NTFS)	97.67GB 34.20GB 886.13GB	Broker 22 Customer 22 Extra Temp DB log
24	2B	6	16 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\b23 (RAW) c:\mp\c23 (RAW) c:\mp\back6disk23 (NTFS)	97.67GB 34.20GB 886.13GB	Broker 23 Customer 23 backup6
0	onboard LSI	N/A	2 X 73GB SAS internal x3850 M2 RAID-1	C: (NTFS)	67.98GB	OS

Database Interface and Model Implemented

A statement must be provided in the **Report** that describes:

- The **Database Interface** (e.g., embedded, call level) and access language (e.g., *SQL*, *COBOL* read/write) used to implement the **TPC-E Transactions**. If more than one interface / access language is used to implement TPC-E, each interface / access language must be described and a list of which interface /access language is used with which **Transaction** type must be **reported**.
- The data model implemented by the **DBMS** (e.g., relational, network, hierarchical).

Microsoft SQL Server 2008 Enterprise x64 Edition is a relational database. The interface used was Microsoft SQL Server stored procedures accessed with Remote Procedure Calls embedded in C++ code using the Microsoft ODBC interface.

Database Load Methodology

The methodology used to load the database must be **reported in the Report**.

The database was loaded using the -I ODBC option on the EGenLoader command line. This direct loads into a Microsoft SQL Server database. A further description is provided in the SupportingFiles in the file MSTPCE Database Setup Reference.pdf.

Clause 3 – Transaction Related Items

Vendor-Supplied Code

A statement that vendor-supplied code is functionally equivalent to Pseudo-code in the specification (see Clause 3.2.1.5) must be reported.

The SQL in the stored procedures for the transactions is functionally equivalent to the pseudo-code.

Database Footprint of Transactions

A statement that the database footprint requirements (as described in Clause 3.3) were met must be reported. .

The database footprint requirements are met by the stored procedure code for the transactions.

Clause 4 – SUT, Driver and Network Related Items

EGen Instances

The number of EGenDriverMEE and EGenDriverCE instances used in the benchmark must be reported (see Clause 4.1.3).

A total of 370 EGenDriverCE instances was used in the benchmark. Two EGenDriverMEE instances were used in the benchmark.

Network Configuration

The Network configurations of both the measured and priced configurations must be described and reported. This includes the mandatory Network between the Driver and Tier A (see Clause 4.2.2) and any optional Database Server interface networks (see Clause 4.1.3.12).

The Network configurations of both the measured and priced configurations were the same. The Driver machine used one of the ports on the onboard dual-port Gigabit Ethernet card. The port's IP address was 192.168.200.12. The port was connected to the first D-Link switch via an Ethernet cable. Other Ethernet cables ran from the first D-Link Switch to a port on the onboard dual-port Gigabit Ethernet card in the IBM System x3500 machines that were used as the Tier A machines. That port on one x3500 was configured with the IP address 192.168.200.7, and 192.168.200.8 on the other x3500. The network connection between the port with IP address 192.168.200.12 on the Driver and the ports with IP addresses 192.168.200.7 and 192.168.200.8 on the Tier A machines was the mandatory network.

There was also a network connection between the Tier A machines and the Tier B machine. The Tier B machine was the x3850 M2. The port on the NetXtreme II 1000 Express Ethernet Adapter in the x3850 M2 was configured with IP address 192.168.200.102 and was connected via an Ethernet cable to the first D-Link switch.

Tier A used ODBC calls to Tier B.

Clause 5 – EGen Related Items

EGen Version

The version of EGen used in the benchmark must be reported (see Clause 5.3.1).

EGen v1.4.0 was used in the benchmark.

EGen Code and Modifications

A statement that all required TPC-provided EGen code was used in the benchmark must be reported. If the Test Sponsor modified EGen, a statement EGen has been modified must be reported in the Report. All formal waivers from the TPC documenting the allowed changes to EGen must also be reported. If any of the changes to EGen do not have a formal waiver, that must also be reported. If the Test Sponsor extended EGenLoader, the use of the extended EGenLoader and the audit of the extension code by an Auditor must be reported (see Clause 5.7.3).

All required TPC-provided EGen code was used in the benchmark. EGen was not modified for use in this benchmark. EGenLoader was not extended for this benchmark.

Clause 6 – Performance Metrics and Response Time Related Items

Measured Throughput

The Measured Throughput must be reported (see Clause 6.7.1.2).

Measured tpsE: 479.51 tpsE

Price per tpsE: \$ 1,591.20 USD per tpsE

Throughput vs. Elapsed Time for Trade-Result Transaction

A Test Run Graph of throughput versus elapsed wall clock time must be reported for the Trade-Result Transaction (see Clause 6.7.2).

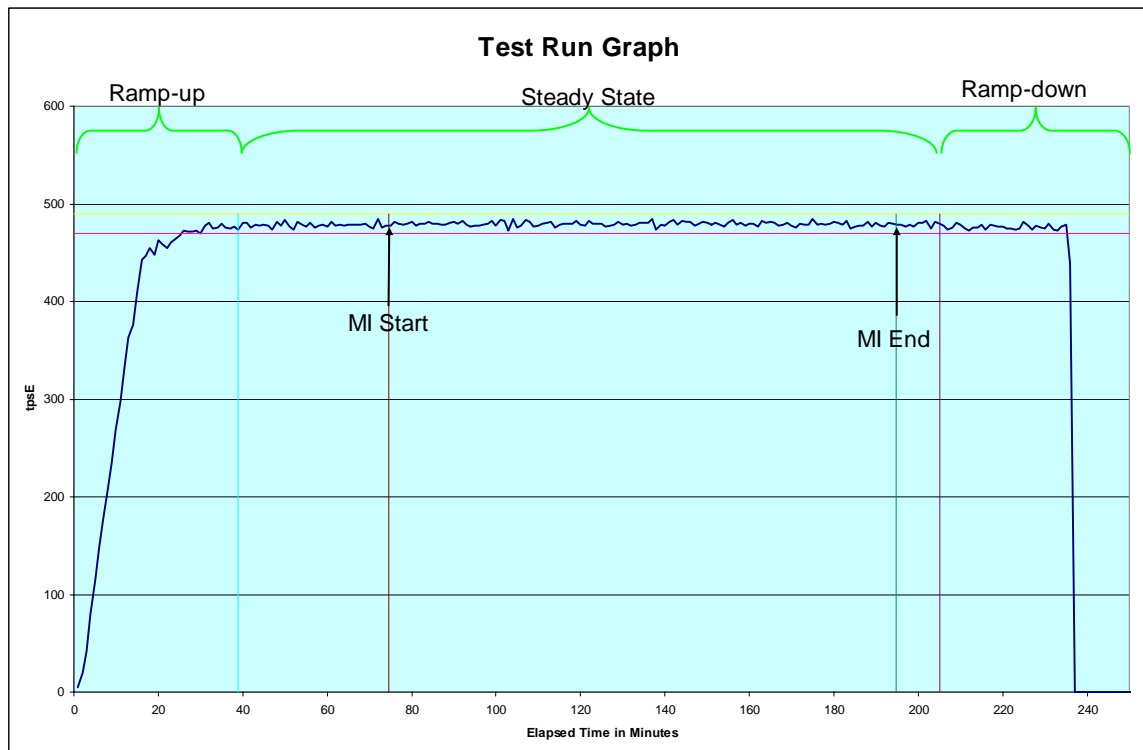


Figure 6-1. Test Run Graph

Steady State Methodology

The method used to determine that the SUT had reached a Steady State prior to commencing the Measurement Interval must be reported.

During the run, observation of the tpsE as the benchmark ran was used to determine steady state. After the run, Steady State was confirmed by:

1. Looking at the Test Run Graph and verifying that tpsE was steady prior to commencing the Measurement Interval.

2. Calculated 60-minute average tpsE during the Steady State moving the time window 10 minutes each time. Then confirmed that the minimum 60-minute average tpsE was not less than 98% of the Reported Throughput, and that the maximum 60-minute average tpsE was not greater than 102% of the Reported Throughput.
3. Calculated 10-minute average tpsE during the Steady State moving the window 1 minute each time. Then confirmed that the minimum 10-minute average tpsE was not less than 80% of the Reported Throughput, and the maximum 10-minute average tpsE was not greater than 120% of the Reported Throughput.

Note: At the end of Steady State, the Windows Performance Monitor was run to gather physical disk performance data so that the performance of the priced 73.4GB-disk arrays could be compared to the measured 36.4GB-disk arrays.

Work Performed during Steady State

A description of how the work normally performed during a Test Run, actually occurred during the Measurement Interval must be reported (e.g., checkpointing, writing Undo/Redo Log records).

Checkpoints were run once every 7 ½ minutes. Data-Maintenance was run every 58 seconds.

Transaction Statistics

The recorded averages over the Measurement Interval for each of the Transaction input parameters specified by clause 6.4.1 must be reported.

Table 6-1 contains the transaction statistics.

Input Parameter	Value	Actul Pct	Required Range
Customer-Position			
by_tax_id	1	49.99%	48% to 52%
get_history	1	49.96%	48% to 52%
Market-Watch			
Securities chosen by	Watch list	60.02%	57% to 63%
	Account ID	34.99%	33% to 37%
	Industry	4.99%	4.5% to 5.5%
Security-Detail			
access_lob	1	1.00%	0.9% to 1.1%
Trade-Lookup			
frame_to_execute	1	29.96%	28.5% to 31.5%
	2	30.03%	28.5% to 31.5%
	3	30.00%	28% to 31.5%
	4	10.01%	9.5% to 10.5%
Trade-Order			
Transactions requested by a third party		9.96%	9.5% to 10.5%

Security chosen by company name and issue		39.97%	38% to 42%
type_is_margin	1	8.01%	7.5% to 8.5%
roll_it_back	1	0.99%	0.94% to 1.04% (*)
is_lifo	1	35.00%	33% to 37%
trade_qty	100	25.02%	24% to 26%
	200	24.96%	24% to 26%
	400	24.99%	24% to 26%
	800	25.03%	24% to 26%
trade_type	TMB	29.99%	29.7% to 30.3%
	TMS	29.99%	29.7% to 30.3%
	TLB	20.02%	19.8% to 20.2%
	TLS	10.02%	9.9% to 10.1%
	TSL	9.98%	9.9% to 10.1%
Trade-Update			
frame_to_execute	1	32.95%	31% to 35%
	2	33.03%	31% to 35%
	3	34.02%	32% to 36%

Table 6-1. Transaction Statistics

Clause 7 – Transaction and System Properties Related Items

The ACID (Atomicity, Consistency, Isolation, and Durability) properties of transaction processing systems must be supported by the System Under Test during the running of this benchmark. It is the intent of this section to define the ACID properties informally and to specify a series of tests that must be performed to demonstrate that these properties are met.

Atomicity Requirements

The system under test must guarantee that database transactions are atomic; the system will either perform all individual operations on the data, or will assure that no partially completed operations leave any effects on the data.

All ACID tests were conducted according to specification. The following steps were performed to verify the Atomicity of the Trade-Order transactions.

Perform a market Trade-Order Transaction with the roll_it_back flag set to false. Verify that the appropriate rows have been inserted in the TRADE and TRADE_HISTORY tables.

Perform a market Trade-Order Transaction with the roll_it_back flag set to true. Verify that no rows associated with the rolled back Trade-Order have been added to the TRADE and TRADE_HISTORY tables.

Execution of Atomicity Tests

1. Open a command prompt.
2. Change to mstpce.1.4.0\ACID\Atomicity directory.
3. Run Atomicity.cmd
4. The output will be in Atomicity_C.out and Atomicity_RB.out

Atomicity.cmd runs a Trade-Order with a commit and notes the new T_ID. Then it does a select on TRADE and TRADE_HISTORY to return the rows in those tables with the new T_ID.

Atomicity.cmd runs a Trade-Order with a roll back and notes the new T_ID. Then it does a select on TRADE and TRADE_HISTORY to return the rows in those tables with the new T_ID. No rows should be returned.

Consistency Requirements

Consistency is the property of the application that requires any execution of a database transaction to take the database from one consistent state to another. A TPC-E database when first populated by EGenLoader must meet these consistency conditions. The three consistency conditions must be tested after initial database population and after any Business Recovery tests.

Consistency conditions 1, 2 and 3 were tested using a batch file to issue queries to the database after the database was loaded and after the Business Recovery Test. The results of the queries demonstrated that the database was consistent for all three tests.

The specific procedure was:

1. Open a command prompt.
2. Change to the MSTPCE.1.4.0\ACID\Consistency directory.
3. Run Consistency.cmd

4. The output will be in Consistency.out

Entries in the BROKER and TRADE tables must satisfy the relationship:

$B_NUM_TRADES = count(*)$

For each broker defined by:

$(B_ID = CA_B_ID) \text{ and } (CA_ID = T_CA_ID) \text{ and } (T_ST_ID = 'CMPT')$

Entries in the BROKER and TRADE tables must satisfy the relationship:

$B_COMM_TOTAL = SUM(T_COMM)$

For each broker defined by:

$(B_ID = CA_B_ID) \text{ and } (CA_ID = T_CA_ID) \text{ and } (T_ST_ID = 'CMPT')$

Entries in the HOLDING_SUMMARY and HOLDING tables must satisfy the relationship:

$HS_QTY = sum(H_QTY)$

For each holding summary defined by:

$(HS_CA_ID = H_CA_ID) \text{ and } (HS_S_SYMB = H_S_SYMB)$

Isolation Requirements

Systems that implement Transaction isolation using a locking and/or versioning scheme must demonstrate compliance with the isolation requirements by executing the tests described in Clause 7.4.2.

Execution of Isolation Test #1 (P3 Test in Read-Write)

The isolation tests require that you use the SQL Server Management Studio. You are required to copy values from one session to another and the Management Studio facilitates this. The instructions below assume that you are using the Management Studio.

1. Open the SQL Server Management Studio.
2. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation1_S1.sql in the Management Studio. When prompted, connect to the database server.
3. Ctrl-T to convert results to text.
4. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation1_S2.sql in the Management Studio. When prompted, connect to the database server.
5. Ctrl-T to convert results to text.
6. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation1_S3.sql in the Management Studio. When prompted, connect to the database server.
7. Ctrl-T to convert results to text.
8. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation1_S4.sql in the Management Studio. When prompted, connect to the database server.
9. Ctrl-T to convert results to text.
10. Execute Isolation1_S1.
11. Scroll to the bottom of the Results window and record the “Trade ID Returned.”
12. Copy the Customer Account Used to the @acct_id variable near the top of Isolation1_S2.
13. Copy the Symbol Used to the @symbol variable near the top of Isolation1_S2.
14. Execute Isolation1_S2.
15. Scroll to the bottom of the Results window and record the “Trade ID Returned.”
16. Copy the Trade ID Used in the Isolation1_S1 results window to the @trade_id variable near the top of Isolation1_S3.
17. Copy the Trade ID Used in the Isolation1_S2 results window to the @trade_id variable near the top of Isolation1_S4.
18. Execute Isolation1_S3 and then immediately execute Isolation1_S4. Note that the SQL code and the instrumented stored procedure will do the appropriate pausing as required in the specification.

Verification of Isolation Test #1 (P3 Test in Read-Write)

1. Record the “Holding Summary After First Execution of Trade Result Frame 1”: value of HS_QTY. This is found near the top of the results window of Isolation1_S3. Verify that this is set to 0.
2. Record the “Holding Summary After Second Execution of Trade Result Frame 1”: value of HS_QTY. This is found near the top of the results window of Isolation1_S3. Verify that this is set to 0.
3. Record the “Holding Summary After Trade Result Frame 1”: value of HS_QTY. This is found near the top of the results window of Isolation1_S4. Verify that this is set to 0.
4. The Trade-Result in S4 completed, and the Trade-Result in S3 was selected as a deadlock victim.

Execution of Isolation Test #2 (P2 Test in Read-Write)

The isolation tests require that you use the SQL Server Management Studio. You are required to copy values from one session to another and the Management Studio facilitates this. The instructions below assume that you are using the Management Studio.

1. Open the SQL Server Management Studio.

2. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation2_S1.sql in the Management Studio. When prompted, connect to the database server.
3. Ctrl-T to convert results to text.
4. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation2_S2.sql in the Management Studio. When prompted, connect to the database server.
5. Ctrl-T to convert results to text.
6. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation2_S3.sql in the Management Studio. When prompted, connect to the database server.
7. Ctrl-T to convert results to text.
8. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation2_S4.sql in the Management Studio. When prompted, connect to the database server.
9. Ctrl-T to convert results to text.
10. Execute Isolation2_S1.
11. Scroll to the bottom of the Results window of Isolation2_S1 and record the “Holding Summary Quantity” and the “Trade ID Returned.”
12. Copy the Customer Account Used from the Results window of Isolation2_S1 to the @acct_id variable near the top of Isolation2_S2.
13. Copy the Symbol Used from the Results window of Isolation2_S1 to the @symbol variable near the top of Isolation2_S2.
14. Execute Isolation2_S2.
15. Scroll to the bottom of the Results window of Isolation2_S2 and record the Trade ID Returned.
16. Copy the Trade ID Used in the Isolation2_S1 results window to the @trade_id variable near the top of Isolation2_S3.
17. Copy the Trade ID Used in the Isolation2_S2 results window to the @trade_id variable near the top of Isolation2_S4.
18. Execute Isolation2_S3 and then immediately execute Isolation2_S4. Note that the SQL code and the instrumented stored procedure will do the appropriate pausing as required in the specification

Verification of Isolation Test #2 (P2 Test in Read-Write)

1. Record the “Holding Summary After First Execution of Trade Result Frame 1”: value of HS_QTY. This is found near the top of the results window of Isolation2_S3.
2. Record the “Holding Summary After Second Execution of Trade Result Frame 1”: value of HS_QTY. This is found near the top of the results window of Isolation2_S3. This value should match the value returned in step 1 above.
3. Record the “Holding Summary After Trade Result Frame 1”: value of HS_QTY. This is found near the top of the results window of Isolation2_S4. This value should match the value returned in step 1 above.
4. The Trade-Result in S4 ran to completion. The Trade-Result in S3 was selected as a deadlock victim.

Execution of Isolation Test #3 (P1 Test in Read-Write)

The isolation tests require that you use the SQL Server Management Studio. You are required to copy values from one session to another and the Management Studio facilitates this. The instructions below assume that you are using the Management Studio.

1. Open the SQL Server Management Studio.
2. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation3_S1.sql in the Management Studio. When prompted, connect to the database server.
3. Ctrl-T to convert results to text.
4. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation3_S2.sql in the Management Studio. When prompted, connect to the database server.
5. Ctrl-T to convert results to text.
6. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation3_S3.sql in the Management Studio. When prompted, connect to the database server.
7. Ctrl-T to convert results to text.

8. Execute Isolation3_S1. This script will initiate the Customer Position and execute two Trade Orders for the remainder of this isolation test to access.
9. Scroll to the bottom of the Results window of Isolation3_S1 and record the “Customer ID Used” and the “Customer Account Balance.”
10. Copy the first Trade ID Returned from Isolation3_S1 to the top of Isoaltion3_S2.sql.
11. Copy the Customer Account Used from Isolation3_S1 to the top of Isoaltion3_S2.sql.
12. Copy the second Trade ID Returned from Isolation3_S1 to the top of Isoaltion3_S3.sql.
13. Copy the Customer Account Used from Isolation3_S1 to the top of Isoaltion3_S3.sql.
14. Execute Isolation3_S2, then immediately execute Isolation3_S3. Note that the SQL code and the instrumented stored procedure will do the appropriate pausing as required in the specification.
15. Scroll to the bottom of the Results window of Isolation3_S2 and record the Customer Account Balance and the Settlement Amount.
16. Scroll to the bottom of the Results window of Isolation3_S3 and record the Customer Account Balance and the Settlement Amount.

Verification of Isolation Test #3 (P1 Test in Read-Write)

1. Record the Customer Account Balance from the bottom of the Results window of Isolation3_S1.
2. Record the Customer Account Balance and the Settlement Amount from the bottom of the Results window of Isolation3_S2.
3. Record the Customer Account Balance and the Settlement Amount from the bottom of the Results window of Isolation3_S3.
4. Since the Trade Result in Isolation3_S3 blocks until Isolation3_S2 completes, you may verify the results as follows:
 - a. CA_BAL (from Isolation3_S1) + Settlement Amount (from Isolation3_S2) + Settlement Amount (from Isolation3_S3) = Customer Account Balance (from Isoaltion3_S3)

Execution of Isolation Test #4 (P1 Test in Read-Only)

The isolation tests require that you use the SQL Server Management Studio. You are required to copy values from one session to another and the Management Studio facilitates this. The instructions below assume that you are using the Management Studio.

1. Open the SQL Server Management Studio.
2. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation4_S1.sql in the Management Studio. When prompted, connect to the database server.
3. Ctrl-T to convert results to text.
4. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation4_S2.sql in the Management Studio. When prompted, connect to the database server.
5. Ctrl-T to convert results to text.
6. Open MSTPCE.100\ACID\Isolation\Scripts\Isolation4_S3.sql in the Management Studio. When prompted, connect to the database server.
7. Ctrl-T to convert results to text.
8. Execute Isolation4_S1. This script will initiate the Customer Position and execute a Trade Order for the remainder of this isolation test to access.
9. Scroll to the bottom of the Results window of Isolation4_S1 and record the “Customer ID Used,” “Customer Account Used,” “Customer Account Balance,” and the “Trade ID Returned.”
10. Copy the “Customer Account Used” from the Results window of Isolation4_S1 to the @acct_id variable near the top of Isolation4_S2.
11. Copy the “Trade ID Returned” from the Results window of Isolation4_S1 to the @trade_id variable near the top of Isolation4_S2.
12. Copy the “Customer ID” from the Results window of Isolation4_S1 to the @cust_id variable near the top of Isolation4_S3.
13. Copy the “Customer Account Used” from the Results window of Isolation4_S1 to the @acct_id variable near the top of Isolation4_S3.

14. Execut Isolation4_S2 and after a few seconds to make sure Isolation4_S2 has started execute Isolation4_S3.

Verification of Isolation Test #4 (P1 Test in Read-Only)

1. Record the Customer Account Balance from the bottom of the Results window of Isolation4_S1.
2. Record the Customer Account Balance and the Settlement Amount from the bottom of the Results window of Isolation4_S2.
3. Record the Customer Account Balance from the bottom of the Results window of Isolation4_S3.
4. Since the Customer Position in Isolation4_S3 blocks until Isolation4_S2 completes, you may verify the results as follows:
 - a. CA_BAL (from Isolation4_S1) + Settlement Amount (from Isolation4_S2) = Customer Account Balance (from Isoaltion4_S3)

Durability Requirements

The tested system must guarantee durability: the ability to preserve the effects of committed transactions and ensure database consistency after recovery from any one of the failures listed in Clauses 7.5.2.2, 7.5.2.3 and 7.5.2.4.

- ✓ *Permanent irrecoverable failure of any single durable medium*
- ✓ *Instantaneous interruption (system crash/system hang) in processing that requires system reboot to recover*
- ✓ *Failure of all or part of memory (loss of contents)*
- ✓ *Loss of all external power to the SUT for an indefinite time period (power failure). This must include at least all portions of the SUT that participate in the database portions of Transactions.*

Durability Test for Data Accessibility

This benchmark result used Redundancy Level 1.

To prove Redundancy Level 1, the following steps were successfully performed. The test for Redundancy Level 1 is the test for Permanent Irrecoverable Failure of any single Durable Medium.

1. Determine the current number of completed trades in the database by running: *select count(*) as count1 from SETTLEMENT*
2. Start submitting Transactions and ramp up to the Durability Throughput Requirements (as defined in Clause 7.5.3) and satisfy those requirements for at least 5 minutes.
3. Induce the failure described for the redundancy level being demonstrated. In this case fail a disk in a database data array. Then wait 20 minutes or so to allow Durability Throughput Requirements to be met again, and then fail a disk in the database log array. Transactions should continue processing since the database log array has mirrored drives and the database data array uses RAID-5.
4. Begin the necessary recovery process, by replacing the failed drives in the database log array and the database data array. A rebuild on each replaced drive should start automatically.
5. Continue running the Driver for 20 minutes.
6. Terminate the run gracefully from the Driver.
7. Retrieve the new number of completed trades in the database by running: *select count(*) as count2 from SETTLEMENT*
8. Compare the number of executed Trade-Result Transactions on the Driver to (count2 – count1). Verify that (count2 - count1) is equal to the number of successful Trade-Result Transaction records in the Driver log file.
9. Allow recovery process to complete as needed.

Following is a graph of the measured throughput versus elapsed time that must be reported for the run portions of the Data Accessibility tests:

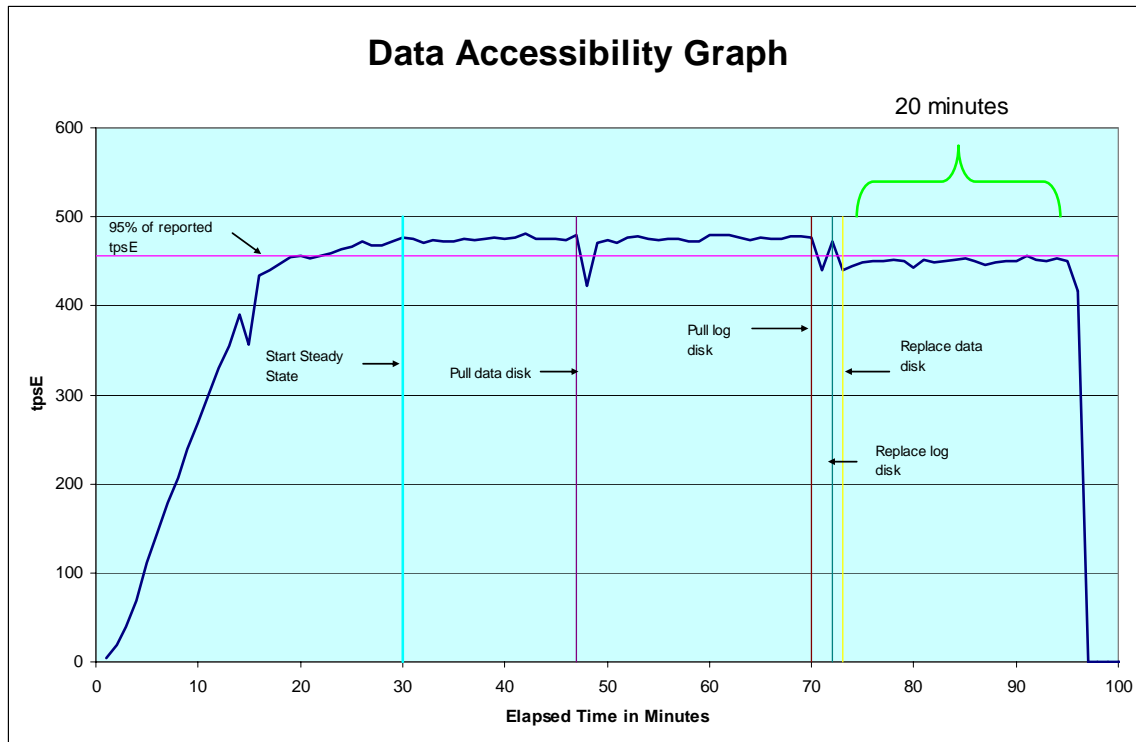


Figure 7-1. Data Accessibility Graph

Durability Test Procedure for Catastrophic Failures

The tests for “Instantaneous interrupt,” “Failure of all or part of memory,” and “Loss of external power to the SUT” were combined.

Note: A UPS has been priced for the log controller.

The following steps were successfully performed to meet the Durability Throughput Requirements of Clause 7.5.3:

1. Determine the current number of completed trades in the database by running: *select count(*) as count1 from SETTLEMENT*
2. Start submitting Transactions and ramp up to the Durability Throughput Requirements (as defined in Clause 7.5.3) and satisfy those requirements for at least 20 minutes.
3. Induce all of the Catastrophic failures, in Clause 7.5.2.2, 7.5.2.3 and 7.5.2.4, by pulling the power cords from the x3850 M2. At the same time remove the power cord from the back of the two x3500s to fail the Tier A machines at the same time as the Tier B machine.
4. Stop the Driver.
5. Re-power and restart the x3850 M2. Re-power and restart the x3500s.
6. On the x3850 M2 when Windows has started run StartSQLdashX.bat to start SQL Server and database recovery. SQL Server writes timestamps out to the errorlog when it is started. This timestamp can be used as the time when Business Recovery starts (see Clause 7.5.6.4).
7. Once the SUT will accept Transactions, start submitting Transactions and ramp up to a Durability Throughput Requirements (as defined in Clause 7.5.3) and satisfy those requirements for at least 20 minutes.
8. Note this time as the end of Business Recovery (see Clause 7.5.6.6).

9. Terminate the Driver gracefully.
10. Verify that no errors were reported by the Driver during steps 7 through 9.
11. Retrieve the new number of completed trades in the database by running: *select count(*) as count2 from SETTLEMENT*
12. Compare the number of completed Trade-Result Transactions on the Driver to (count2 – count1). Verify that (count2 - count1) is greater than or equal to the aggregate number of successful Trade-Result Transaction records in the Driver log file for the runs performed in step 2 and step 7. If there is an inequality, the SETTLEMENT table must contain additional records and the difference must be less than or equal to the maximum number of Transactions that can be simultaneously in-flight from the Driver to the SUT. This number is specific to the implementation of the Driver and configuration settings at the time of the crash.
13. Verify consistency conditions as specified in Clause 7.3.1.1.

The Business Recovery Time was 01:36:09.

Following is a graph of the measured throughput versus elapsed time that must be reported for the run portions of the Business Recover Time test:

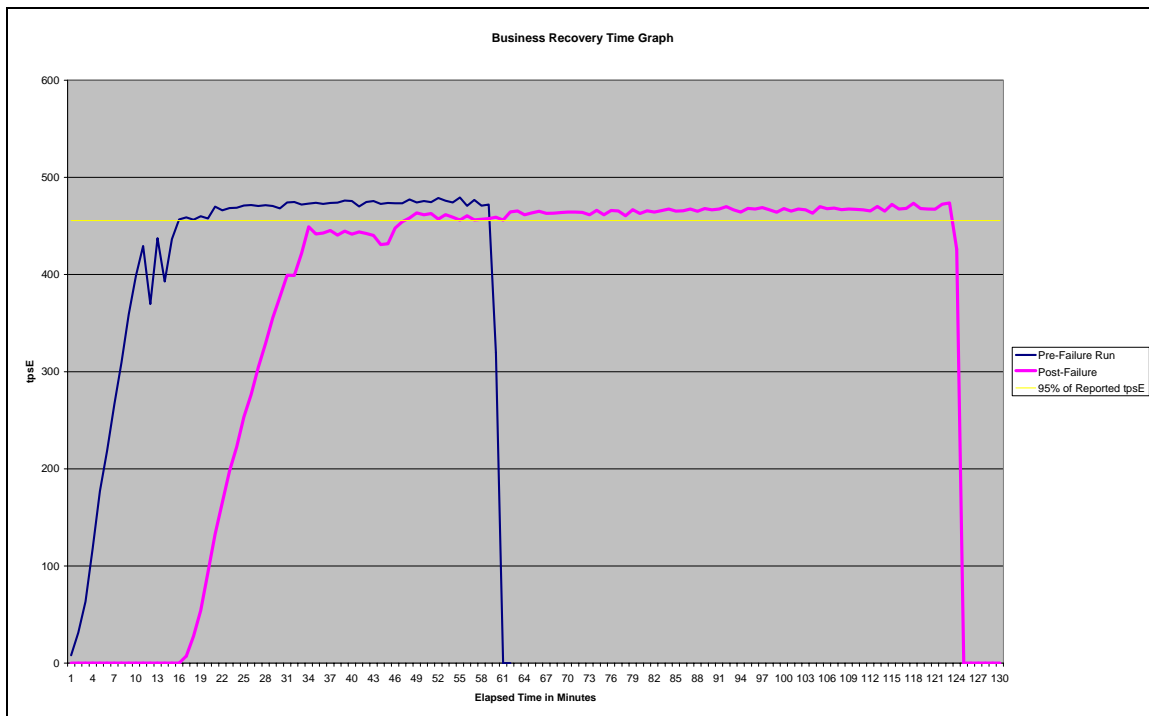


Figure 7-2. Business Recovery Time Graph

Clause 8 – Pricing Related Items

60-Day Space

Details of the 60-Day Space computations (see Clause 8.2.2) along with proof that the database is configured to sustain a Business Day of growth (see Clause 6.6.6.1) must be reported.

Table 8-1 Disk Space Requirements

Customers Used	245,000	Performance	479.51	TpsE						
Broker File Group	Initial Rows	Data (KB)	Index size (KB)	Extra 5% (KB)	Total ± 5% (KB)	After run (KB)	Growth (KB)	Bus. Day Growth (KB)	Req. Add. (KB)	
BROKER	2,450	136	312	22	470	600	152	243	243	
CASH_TRANSACTION	3,894,882,701	385,084,936	812,520	19,294,873	405,192,329	400,579,480	14,682,024	23,404,005	23,404,005	
CHARGE	15	8	8	1	17	16	-	-	1	
COMMISSION_RATE	240	16	16	2	34	32	-	-	2	
SETTLEMENT	4,233,600,000	207,760,816	438,480	10,409,965	218,609,261	221,972,544	13,773,248	21,955,363	21,955,363	
TRADE	4,233,600,000	467,088,496	250,014,768	35,855,163	752,958,427	739,930,536	22,827,272	36,388,007	36,388,007	
TRADE_HISTORY	10,160,668,515	291,345,400	759,744	14,605,257	306,710,401	293,721,888	1,616,744	2,577,185	2,577,185	
TRADE_REQUEST	-	-	-	-	-	10,176	10,176	16,222	16,222	
TRADE_TYPE	5	8	1,032	52	1,092	1,040	-	-	52	
Customer File Group										
ACCOUNT_PERMISSION	1,739,747	174,040	1,072	8,756	183,868	175,112	-	-	8,756	
CUSTOMER	245,000	41,520	10,872	2,620	55,012	52,416	24	39	2,620	
CUSTOMER_ACCOUNT	1,225,000	113,784	135,408	12,460	261,652	249,216	24	39	12,460	
CUSTOMER_TAXRATE	490,000	10,240	304	527	11,071	10,760	216	345	527	
HOLDING	216,791,155	11,475,600	8,484,384	997,999	20,957,983	30,524,008	10,564,024	16,839,672	16,839,672	
HOLDING_HISTORY	5,673,740,953	206,317,936	107,522,768	15,692,035	329,532,739	315,635,568	1,794,864	2,861,119	2,861,119	
HOLDING_SUMMARY	12,191,694	409,976	1,648	20,581	432,205	824,440	412,816	658,053	658,053	
WATCH_ITEM	24,534,107	665,048	2,520	33,378	700,946	668,048	480	766	33,378	
WATCH_LIST	245,000	6,120	5,144	563	11,827	11,264	-	-	563	
Market File Group										
COMPANY	122,500	26,640	7,552	1,710	35,902	34,200	8	13	1,710	
COMPANY_COMPETITOR	367,500	9,912	8,296	910	19,118	18,208	-	-	910	
DAILY_MARKET	219,011,625	11,176,520	4,688,496	793,251	16,658,267	15,867,168	2,152	3,431	793,251	
EXCHANGE	4	8	8	1	17	16	-	-	1	
FINANCIAL	2,450,000	288,312	976	14,464	303,752	289,768	480	766	14,464	
INDUSTRY	102	8	40	2	50	48	-	-	2	
LAST_TRADE	167,825	7,768	288	403	8,459	15,936	7,880	12,562	12,562	
NEWS_ITEM	245,000	51,062,648	456	2,553,155	53,616,259	51,063,168	64	103	2,553,155	
NEWS_XREF	245,000	6,120	288	320	6,728	6,408	-	-	320	
SECTOR	12	8	24	2	34	32	-	-	2	
SECURITY	167,825	26,312	11,648	1,898	39,858	37,992	32	52	1,898	
STATUS_TYPE	5	8	8	1	17	16	-	-	1	
Misc File Group										
ADDRESS	367,504	21,224	312	1,077	22,613	21,592	56	90	1,077	
TAXRATE	320	24	16	2	42	56	16	26	26	
ZIP_CODE	14,741	488	176	33	697	664	-	-	33	
TOTALS (KB)		1,633,120,080	372,909,584	100,301,483	2,106,331,147					
Initial Database Size (MB)		1,959,013	1,913 GB							
Db/Filegroups	LUN Count	Partition Size(KB)	MB allocated	MB Loaded	MB Required					
misc_fg	0	-	-	-	-					OK
broker_fg	23	102,400,000	2,300,000	1,565,729	1,648,094					OK
market_fg	0	-	-	-	-					OK
customer_fg	23	30,720,000	690,000	393,284	416,523					OK
Settlements	8,663,351									
Initial Growing Space (MB)	1,892,107									
Final Growing Space (MB)	1,956,249	Data LUNS	23	Initial Log size (MB)	709	Log LUNS		1		
Delta (MB)	64,142	Disks per LUN	16	Final Log size (MB)	95,536	Log Disks		16		
Data Space per Trade (MB)	0.007403805	Disk Capacity (MB)	69,495	Log Growth (MB)	94,827	Disk Capacity (MB)		69,495		
1 Day Data Growth (MB)	102,246	RAID5 overhead	94%	Log Growth/trade (MB)	0.010945811181	RAID10 overhead		50%		
60 Day Space (MB)	8,093,757	Total Space (MB)	23,975,606	1 Day log space (MB)	151,160	Log Space (MB)		555,958		

Table 8-1. Ordering and Pricing Information

Description	Part Number	Order Date	Availability Date	Order Method	Price Verification
IBM 8Gb FC Single-Port PCIe HBA for IBM System x	42D0485	5-13-08	6-30-08	See Note 1	See Note 2
Microsoft SQL Server 2008 Enterprise x64 Edition	N/A	8-30-08	8-30-08	See Note 3	See Note 3

Note 1: IBM – 1-888-746-7426, ext. 5821

Note 2: This component is not immediately orderable. For price verification before order date, call 1-888-746-7426, ext. 5821.

Note 3: This component is not immediately orderable. For price verification before order date, refer to the price quote at the end of this FDR.

Auditor's Attestation Letter

The Auditor's Attestation Letter, which indicates compliance, must be included in the Report.

The auditor's Attestation Letter is on the next two pages.

Benchmark Sponsor: Celia Schreiber, Manager
 Modular Systems and Blade Performance Analysis and Benchmarking
 IBM Systems and Technology Group
 3039 Cornwallis Road
 RTP, NC 27709

February 23, 2008

I verified the TPC Benchmark™ E performance of the following configuration:

Platform: IBM System x3850 M2
 Operating system: Microsoft Windows Server 2008 Enterprise x64 Edition
 Database Manager: Microsoft SQL Server 2008 Enterprise x64 Edition

The results were:

CPU's Speed	Memory	Disks	Trade-Result 90% Response Time	tpsE
Tier B, Server: IBM System x3850 M2				
4 x Intel Xeon X7350 (2.93GHz)	128 GB (2 x 4 MB L2)	384 x 73.4 GB 15K 4Gbps	0.13 Seconds	479.51
Tier A, Two Clients: IBM System x3500				
1 x Intel Xeon E5440 (2.83 GHz)	2 GB	2 x 73 GB 15K Hot Swap SAS	n/a	n/a

In my opinion, these performance results were produced in compliance with the TPC requirements for the benchmark.

The following 12 verification items were given special attention:

- All EGen components were verified to be v1.4.0.
- The transactions were correctly implemented.
- The database was properly scaled and populated for 245,000 customers.
- The mandatory network between the driver and the SUT was configured.
- The ACID properties were met.

- Input data was generated according to the specified percentages.
- The reported response times were correctly measured.
- All 90% response times were under the specified maximums.
- The measurement interval was representative of steady state conditions.
- The reported measurement interval was 120 minutes.
- The 60 day storage requirement was correctly computed.
- The system pricing was verified for major components and maintenance.

Additional Audit Notes:

The measured system included (64) 73.4 GB disks drives and (320) 36.4 GB disk drives that were substituted by (320) 73.4 GB disks, in the priced configuration. Based on the specifications of these disks and on I/O data collected during testing, it is my opinion that this substitution has no significant effect on performance.

Respectfully Yours,



Doug Johnson, Auditor



François Raab, President

Supporting Files Index Table

The **Supporting Files Index** file can be found in the *SupportingFiles* directory (SupportingFiles.doc).

Clause	Description	Pathname
Introduction	Disk Configuration	SupportingFiles/Introduction/Hardware/dataDisks.txt SupportingFiles/Introduction/Hardware/dblog.txt SupportingFiles/Introduction/Hardware/DS4800_EXP810diagram.ppt SupportingFiles/Introduction/Hardware/DS4800_setup.script SupportingFiles/Introduction/Hardware/firstDS4800.cfg SupportingFiles/Introduction/Hardware/formats.cmd SupportingFiles/Introduction/Hardware/input.txt SupportingFiles/Introduction/Hardware/mkmp.cmd SupportingFiles/Introduction/Hardware/secondDS4800.cfg SupportingFiles/Introduction/Hardware/StorageSetup.doc
	Tier B/x3850 M2 Configuration	SupportingFiles/Introduction/Hardware/TierB_x3850M2_setup.txt
	TierA/x3500 setup	SupportingFiles/Introduction/Hardware/TierA_x3500_setup.txt
	Database Tunable Parameters	SupportingFiles/Introduction/software/cdsql.bat SupportingFiles/Introduction/software/runconfig.sql SupportingFiles/Introduction/software/startSQL.bat SupportingFiles/Introduction/software/startSQLdashX.bat
	Checkpoint Scripts	SupportingFiles/Introduction/software/runregularcheckpoints.bat SupportingFiles/Introduction/software/checkpoint.bat SupportingFiles/Introduction/software/checkpoint.sql
	Tier A Scripts	SupportingFiles/Introduction/software/CE1.bat SupportingFiles/Introduction/software/CE2.bat SupportingFiles/Introduction/software/MEE1.bat SupportingFiles/Introduction/software/MEE2.bat
	OS Tunable Parameters	SupportingFiles/Introduction/software/TierB_OSTune.doc SupportingFiles/Introduction/software/x3850M2_TierB_SysInfo.txt SupportingFiles/Introduction/software/sprucewood1_TierA_sysinfo.txt SupportingFiles/Introduction/software/sprucewood2_TierA_sysinfo.txt
Clause 2	Table creation scripts	SupportingFiles/Clause2/DDDL/Convert_NI_ITEM_Data.sql SupportingFiles/Clause2/DDDL/Create_Check_Constraints_Fixed.sql SupportingFiles/Clause2/DDDL/Create_Check_Constraints_Growing.sql SupportingFiles/Clause2/DDDL/Create_Check_Constraints_Scaling.sql SupportingFiles/Clause2/DDDL/Create_FK_Constraints.sql SupportingFiles/Clause2/DDDL/Create_Tables_Fixed.sql SupportingFiles/Clause2/DDDL/Create_Tables_Growing.sql SupportingFiles/Clause2/DDDL/Create_Tables_Scaling.sql SupportingFiles/Clause2/DDDL/Create_Tables_Scaling_Flat.sql SupportingFiles/Clause2/DDDL/Create_TPCE_Types.sql SupportingFiles/Clause2/DDDL/Drop_FK_Constraints.sql SupportingFiles/Clause2/DDDL/Drop_Tables_Fixed.sql SupportingFiles/Clause2/DDDL/Drop_Tables_Growing.sql SupportingFiles/Clause2/DDDL/Drop_Tables_Scaling.sql

Index creation scripts	SupportingFiles/Clause2/DDDL/Create_Clustered_Indexes_Fixed.sql SupportingFiles/Clause2/DDDL/Create_Clustered_Indexes_Growing.sql SupportingFiles/Clause2/DDDL/Create_Clustered_Indexes_Scaling.sql SupportingFiles/Clause2/DDDL/Create_NC_Indexes_Fixed.sql SupportingFiles/Clause2/DDDL/Create_NC_Indexes_Growing.sql SupportingFiles/Clause2/DDDL/Create_NC_Indexes_Scaling.sql
Load Transaction Frames	SupportingFiles/Clause2/DML/BrokerVolume.sql SupportingFiles/Clause2/DML/CustomerPosition.sql SupportingFiles/Clause2/DML/DataMaintenance.sql SupportingFiles/Clause2/DML/Get_Next_T_ID.sql SupportingFiles/Clause2/DML/MarketFeed.sql SupportingFiles/Clause2/DML/MarketWatch.sql SupportingFiles/Clause2/DML/SecurityDetail.sql SupportingFiles/Clause2/DML/Trade_Cleanup.sql SupportingFiles/Clause2/DML/TradeLookup.sql SupportingFiles/Clause2/DML/TradeOrder.sql SupportingFiles/Clause2/DML/TradeResult.sql SupportingFiles/Clause2/DML/TradeStatus.sql SupportingFiles/Clause2/DML/TradeUpdate.sql
Create Database	SupportingFiles/Clause2/backup.sql SupportingFiles/Clause2/backupdev.sql SupportingFiles/Clause2/Count_Customers.sql SupportingFiles/Clause2/create_database.sql SupportingFiles/Clause2/Create_DM_Audit_Table.sql SupportingFiles/Clause2/Create_TID_Ranges_Tables.sql SupportingFiles/Clause2/Create_Timer_Table.sql SupportingFiles/Clause2/Database_Options_1.sql SupportingFiles/Clause2/Database_Options_2.sql SupportingFiles/Clause2/Drop_and_Create_TPCE_INFO.sql SupportingFiles/Clause2/End_Load_Timer.sql SupportingFiles/Clause2/Install_Load_Timer_Proc.sql SupportingFiles/Clause2/Load_TPCE_Info.sql SupportingFiles/Clause2/MSTPCE Database Setup Reference.pdf SupportingFiles/Clause2/remove_database.sql SupportingFiles/Clause2/restore.sql SupportingFiles/Clause2/runconfig.sql SupportingFiles/Clause2/setup_tpce.vbs SupportingFiles/Clause2/SQL_Server_Configuration.sql SupportingFiles/Clause2/StartSQL.bat SupportingFiles/Clause2/tempdb.sql SupportingFiles/Clause2/TPCE_Setup.cmd SupportingFiles/Clause2/Version.sql
Database Space Scripts	SupportingFiles/Clause2/audit_scripts/Space/SPFiles.sql SupportingFiles/Clause2/audit_scripts/Space/SPLog.sql SupportingFiles/Clause2/audit_scripts/Space/SPUsed.sql
Database Audit Scripts	SupportingFiles/Clause2/audit_scripts/database/Create_DB_Audit_Tables.sql SupportingFiles/Clause2/audit_scripts/database/DB_Check.sql SupportingFiles/Clause2/audit_scripts/database/DB_Primary_Key_Check.sql

		SupportingFiles/Clause2/audit_scripts/database/DB_Tables.sql SupportingFiles/Clause2/audit_scripts/database/Drop_DB_Audit_Tables.sql SupportingFiles/Clause2/audit_scripts/database/Insert_Duplicates_Tests.sql SupportingFiles/Clause2/Audit_Scripts/Database/Referential_Integrity_Tests.sql
Clause 3	Transaction Frames	SupportingFiles/Clause3/BrokerVolume.sql SupportingFiles/Clause3/CustomerPosition.sql SupportingFiles/Clause3/DataMaintenance.sql SupportingFiles/Clause3/Get_Next_T_ID.sql SupportingFiles/Clause3/MarketFeed.sql SupportingFiles/Clause3/MarketWatch.sql SupportingFiles/Clause3/SecurityDetail.sql SupportingFiles/Clause3/Trade_Cleanup.sql SupportingFiles/Clause3/TradeLookup.sql SupportingFiles/Clause3/TradeOrder.sql SupportingFiles/Clause3/TradeResult.sql SupportingFiles/Clause3/TradeStatus.sql SupportingFiles/Clause3/TradeUpdate.sql
	BaseServer	SupportingFiles/Clause3/BaseServer/BaseServer.cpp SupportingFiles/Clause3/BaseServer/BaseServer.h SupportingFiles/Clause3/BaseServer/BaseServer.vcproj SupportingFiles/Clause3/BaseServer/stdafx.cpp SupportingFiles/Clause3/BaseServer/stdafx.h SupportingFiles/Clause3/BaseServer/SUTServersLocals.h
	SUT_CE_Server	SupportingFiles/Clause3/SUT_CE_Server/CEServer.cpp SupportingFiles/Clause3/SUT_CE_Server/CEServer.h SupportingFiles/Clause3/SUT_CE_Server/CEServerMain.cpp SupportingFiles/Clause3/SUT_CE_Server/PortDefinitions.h SupportingFiles/Clause3/SUT_CE_Server/stdafx.cpp SupportingFiles/Clause3/SUT_CE_Server/stdafx.h SupportingFiles/Clause3/SUT_CE_Server/SUT_CE_Server.vcproj SupportingFiles/Clause3/SUT_CE_Server/SUTServer.ncb SupportingFiles/Clause3/SUT_CE_Server/SUTServer.sln SupportingFiles/Clause3/SUT_CE_Server/SUTServer.suo SupportingFiles/Clause3/SUT_CE_Server/SUTStructs.h
	SUT_MEE_Server	SupportingFiles/Clause3/SUT_MEE_Server/MEEServer.cpp SupportingFiles/Clause3/SUT_MEE_Server/MEEServer.h SupportingFiles/Clause3/SUT_MEE_Server/MEEServerMain.cpp SupportingFiles/Clause3/SUT_MEE_Server/stdafx.cpp SupportingFiles/Clause3/SUT_MEE_Server/stdafx.h SupportingFiles/Clause3/SUT_MEE_Server/SUT_MEE_Server.vcproj
	TransactionsSP	SupportingFiles/Clause3/TransactionsSP/BrokerVolumeDB_SP.cpp SupportingFiles/Clause3/TransactionsSP/BrokerVolumeDB_SP.h SupportingFiles/Clause3/TransactionsSP/CheckpointDB_SP.cpp SupportingFiles/Clause3/TransactionsSP/CheckpointDB_SP.h SupportingFiles/Clause3/TransactionsSP/CustomerPositionDB_SP.cpp SupportingFiles/Clause3/TransactionsSP/CustomerPositionDB_SP.h SupportingFiles/Clause3/TransactionsSP/DataMaintenanceDB_SP.cpp SupportingFiles/Clause3/TransactionsSP/DataMaintenanceDB_SP.h SupportingFiles/Clause3/TransactionsSP/MarketFeedDB_SP.cpp SupportingFiles/Clause3/TransactionsSP/MarketFeedDB_SP.h SupportingFiles/Clause3/TransactionsSP/MarketWatchDB_SP.cpp

		SupportingFiles/Clause3/TransactionsSP/MarketWatchDB_SP.h SupportingFiles/Clause3/TransactionsSP/SecurityDetailDB_SP.cpp SupportingFiles/Clause3/TransactionsSP/SecurityDetailDB_SP.h SupportingFiles/Clause3/TransactionsSP/stdafx.cpp SupportingFiles/Clause3/TransactionsSP/stdafx.h SupportingFiles/Clause3/TransactionsSP/TradeLookupDB_SP.cpp SupportingFiles/Clause3/TransactionsSP/TradeLookupDB_SP.h SupportingFiles/Clause3/TransactionsSP/TradeOrderDB_SP.cpp SupportingFiles/Clause3/TransactionsSP/TradeOrderDB_SP.h SupportingFiles/Clause3/TransactionsSP/TradeResultDB_SP.cpp SupportingFiles/Clause3/TransactionsSP/TradeResultDB_SP.h SupportingFiles/Clause3/TransactionsSP/TradeStatusDB_SP.cpp SupportingFiles/Clause3/TransactionsSP/TradeStatusDB_SP.h SupportingFiles/Clause3/TransactionsSP/TradeUpdateDB_SP.cpp SupportingFiles/Clause3/TransactionsSP/TradeUpdateDB_SP.h SupportingFiles/Clause3/TransactionsSP/TransactionsSP.vcproj SupportingFiles/Clause3/TransactionsSP/TxnHarnessDBBase.cpp SupportingFiles/Clause3/TransactionsSP/TxnHarnessDBBase.h SupportingFiles/Clause3/TransactionsSP/TxnHarnessDBConn.cpp SupportingFiles/Clause3/TransactionsSP/TxnHarnessDBConn.h
	TxnHarness	SupportingFiles/Clause3/TxnHarness/TxnHarness.vcproj SupportingFiles/Clause3/TxnHarness/TxnHarness_stdafx.cpp SupportingFiles/Clause3/TxnHarness/TxnHarness_stdafx.h SupportingFiles/Clause3/TxnHarness/TxnHarnessSendToMarket.cpp SupportingFiles/Clause3/TxnHarness/TxnHarnessSendToMarket.h
Clause 4	No Requirements	
Clause 5	No EGen modifications	
	No EGenLoader extensions	
	EGenDriver Configuration	SupportingFiles/Clause5/245Kcust_2MEEs_v1.4.0.xml SupportingFiles/Clause5/run84_wholeRun_TxnReportE.xls
	EGenLoader Parameters	SupportingFiles/Clause5/EGenLoaderFlags.txt SupportingFiles/Clause5/EGenLoaderFrom1To15000.log SupportingFiles/Clause5/EGenLoaderFrom15001To30000.log SupportingFiles/Clause5/EGenLoaderFrom30001To45000.log SupportingFiles/Clause5/EGenLoaderFrom45001To61000.log SupportingFiles/Clause5/EGenLoaderFrom61001To76000.log SupportingFiles/Clause5/EGenLoaderFrom76001To91000.log SupportingFiles/Clause5/EGenLoaderFrom91001To107000.log SupportingFiles/Clause5/EGenLoaderFrom107001To122000.log SupportingFiles/Clause5/EGenLoaderFrom122001To137000.log SupportingFiles/Clause5/EGenLoaderFrom137001To153000.log SupportingFiles/Clause5/EGenLoaderFrom153001To168000.log SupportingFiles/Clause5/EGenLoaderFrom168001To183000.log SupportingFiles/Clause5/EGenLoaderFrom183001To199000.log SupportingFiles/Clause5/EGenLoaderFrom199001To214000.log SupportingFiles/Clause5/EGenLoaderFrom214001To229000.log SupportingFiles/Clause5/EGenLoaderFrom229001To245000.log
Clause 6	EGenValidate Output	SupportingFiles/Clause6/EGenValidate.txt

Clause 7	Scripts of ACID procedures	SupportingFiles/Clause7/AcidProcs/AcidProc.cmd SupportingFiles/Clause7/AcidProcs/Scripts/AcidProc.vbs SupportingFiles/Clause7/AcidProcs/Scripts/CustomPosition_Iso3.sql SupportingFiles/Clause7/AcidProcs/Scripts/CustomPosition_Iso4.sql SupportingFiles/Clause7/AcidProcs/Scripts/Drop_SPROC.sql SupportingFiles/Clause7/AcidProcs/Scripts/Remove_AcidProcs.vbs SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_C.sql SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_Iso1_1.sql SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_Iso1_2.sql SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_Iso2.sql SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_Iso3.sql SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_Iso4.sql SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_RB.sql SupportingFiles/Clause7/AcidProcs/Scripts/TradeResult_Iso1_1.sql SupportingFiles/Clause7/AcidProcs/Scripts/TradeResult_Iso1_2.sql SupportingFiles/Clause7/AcidProcs/Scripts/TradeResult_Iso2_1.sql SupportingFiles/Clause7/AcidProcs/Scripts/TradeResult_Iso2_2.sql SupportingFiles/Clause7/AcidProcs/Scripts/TradeResult_Iso3.sql SupportingFiles/Clause7/AcidProcs/Scripts/TradeResult_Iso4.sql SupportingFiles/Clause7/AcidProcs/Remove_AcidProcs.cmd
	ACID procedures output	SupportingFiles/Clause7/AcidProcs/AcidProc.txt
	Atomicity Scripts	SupportingFiles/Clause7/Atomicity/Atomicity.cmd SupportingFiles/Clause7/Atomicity/Scripts/Atomicity_C.sql SupportingFiles/Clause7/Atomicity/Scripts/Atomicity_RB.sql SupportingFiles/Clause7/Atomicity/Scripts/atom.vbs
	Atomicity Output	SupportingFiles/Clause7/Atomicity/Atomicity_C.txt SupportingFiles/Clause7/Atomicity/Atomicity_RB.txt
	Consistency Scripts	SupportingFiles/Clause7/Consistency/Consistency.cmd SupportingFiles/Clause7/Consistency/Scripts/Consistency.sql SupportingFiles/Clause7/Consistency/Scripts/Consistency.vbs
	Consistency Output	SupportingFiles/Clause7/Consistency/Consistency.after245Kload.txt SupportingFiles/Clause7/Consistency/Consistency.afterBusinessRecovery.txt
	Isolation Scripts	SupportingFiles/Clause7/Isolation/Scripts/Isolation1_S1.sql SupportingFiles/Clause7/Isolation/Scripts/Isolation1_S2.sql SupportingFiles/Clause7/Isolation/Scripts/Isolation1_S3.sql SupportingFiles/Clause7/Isolation/Scripts/Isolation1_S4.sql SupportingFiles/Clause7/Isolation/Scripts/Isolation2_S1.sql SupportingFiles/Clause7/Isolation/Scripts/Isolation2_S2.sql SupportingFiles/Clause7/Isolation/Scripts/Isolation2_S3.sql SupportingFiles/Clause7/Isolation/Scripts/Isolation2_S4.sql SupportingFiles/Clause7/Isolation/Scripts/Isolation3_S1.sql SupportingFiles/Clause7/Isolation/Scripts/Isolation3_S2.sql SupportingFiles/Clause7/Isolation/Scripts/Isolation3_S3.sql SupportingFiles/Clause7/Isolation/Scripts/Isolation4_S1.sql SupportingFiles/Clause7/Isolation/Scripts/Isolation4_S2.sql SupportingFiles/Clause7/Isolation/Scripts/Isolation4_S3.sql

	Isolation Output	SupportingFiles/Clause7/Isolation/Isolation1_S1.rpt SupportingFiles/Clause7/Isolation/Isolation1_S2.rpt SupportingFiles/Clause7/Isolation/Isolation1_S3.rpt SupportingFiles/Clause7/Isolation/Isolation1_S4.rpt SupportingFiles/Clause7/Isolation/Isolation2_S1.rpt SupportingFiles/Clause7/Isolation/Isolation2_S2.rpt SupportingFiles/Clause7/Isolation/Isolation2_S3.rpt SupportingFiles/Clause7/Isolation/Isolation2_S4.rpt SupportingFiles/Clause7/Isolation/Isolation3_S1.rpt SupportingFiles/Clause7/Isolation/Isolation3_S2.rpt SupportingFiles/Clause7/Isolation/Isolation3_S3.rpt SupportingFiles/Clause7/Isolation/Isolation4_S1.rpt SupportingFiles/Clause7/Isolation/Isolation4_S2.rpt SupportingFiles/Clause7/Isolation/Isolation4_S3.rpt
	Durability Business Recovery	SupportingFiles/Clause7/Durability/BusinessRecovery/BusinessRecoveryTime.txt SupportingFiles/Clause7/Durability/BusinessRecovery/Consistency.AfterBusinessRecovery.txt SupportingFiles/Clause7/Durability/BusinessRecovery/CountAfter.sql SupportingFiles/Clause7/Durability/BusinessRecovery/CountAfter.BusinessRecovery.txt SupportingFiles/Clause7/Durability/BusinessRecovery/CountBefore.sql SupportingFiles/Clause7/Durability/BusinessRecovery/CountBefore.BusinessRecovery.txt SupportingFiles/Clause7/Durability/BusinessRecovery/BusinessRecoveryPart1_20minAt95percent_TxnReportE.xls SupportingFiles/Clause7/Durability/BusinessRecovery/BusinessRecoveryPart1_TxnStepReport.xlt SupportingFiles/Clause7/Durability/BusinessRecovery/BusinessRecoveryPart1_wholeRun_TxnReportE.xls SupportingFiles/Clause7/Durability/BusinessRecovery/BusinessRecoveryPart2_20minAt95percent_TxnReportE.xls SupportingFiles/Clause7/Durability/BusinessRecovery/BusinessRecoveryPart2_TxnStepReport.xlt SupportingFiles/Clause7/Durability/BusinessRecovery/BusinessRecoveryPart2_wholeRun_TxnReportE.xls SupportingFiles/Clause7/Durability/BusinessRecovery/BusinessRecoveryTimeGraph.xls SupportingFiles/Clause7/Durability/BusinessRecovery/SQLConsoleLog.BusinessRecoveryPart1.txt SupportingFiles/Clause7/Durability/BusinessRecovery/SQLConsoleLog.BusinessRecoveryPart2.txt SupportingFiles/Clause7/Durability/BusinessRecovery/TierA_sprucewood1.SystemEventLog.csv SupportingFiles/Clause7/Durability/BusinessRecovery/TierA_sprucewood2.SystemEventLog.csv SupportingFiles/Clause7/Durability/BusinessRecovery/TierB_x3850M2.SystemEventLog.csv
	Durability Data Accessibility	SupportingFiles/Clause7/Durability/DataAccessibility/CountSettlement.AfterDataAccessibility.txt SupportingFiles/Clause7/Durability/DataAccessibility/CountSettlement.BeforeDataAccessibility.txt SupportingFiles/Clause7/Durability/DataAccessibility/CountSettlement.sql SupportingFiles/Clause7/Durability/DataAccessibility/DataAccessibility_wholeRun_TxnReportE.xls SupportingFiles/Clause7/Durability/DataAccessibility/DataAccessibilityGraph.xls SupportingFiles/Clause7/Durability/DataAccessibility/rebuilt.bmp SupportingFiles/Clause7/Durability/DataAccessibility/pulledDisks.bmp SupportingFiles/Clause7/Durability/DataAccessibility/rebuilding.bmp SupportingFiles/Clause7/Durability/DataAccessibility/SQLConsoleLog.DataAccessibility.txt
	ACID Procedures Document	SupportingFiles/Clause7/MSTPCE ACID Procedures.doc
Clause 8	60-Day Space Calculations	SupportingFiles/Clause8/tpce_space.xls SupportingFiles/Clause8/Seagate_ST336854.pdf SupportingFiles/Clause8/Seagate_ST373455.pdf

Appendix A – Price Quotes

Microsoft Corporation
One Microsoft Way
Redmond, WA 98052-6399

Tel 425 882 8080
Fax 425 936 7329
<http://www.microsoft.com/>

Microsoft

February 7, 2008

IBM Corporation
Chris King
3079 Cornwallis Road
Durham, NC 27709

Here is the information you requested regarding pricing for several Microsoft products to be used in conjunction with your TPC-E benchmark testing.

All pricing shown is in US Dollars (\$).

Part Number	Description	Unit Price	Quantity	Price
	SQL Server 2008 Enterprise x64 Edition <i>Per Processor License</i> <i>Discount Schedule: Open Program - Level C</i> <i>Unit Price reflects a 6% discount from the retail unit price of \$24,999.</i>	\$23,432	4	\$93,728
P72-03168	Windows Server 2008 Enterprise x64 Edition <i>Server License with 25 CALs</i> <i>Discount Schedule: Open Program – Level C</i> <i>Unit Price reflects a 42% discount from the retail unit price of \$3,999.</i>	\$2,310	1	\$2,310
P73-01972	Windows Server 2003 R2 Standard Edition <i>Server License Only - No CALs</i> <i>Discount Schedule: Open Program - No Level</i> <i>Unit Price reflects a 28% discount from the retail unit price of \$999.</i>	\$719	2	\$1,438
N/A	Microsoft Problem Resolution Services <i>Professional Support</i> <i>(1 Incident)</i>	\$245	1	\$245

Windows Server 2008 and Windows Server 2003 are currently orderable through Microsoft's normal distribution channels. A list of Microsoft's resellers can be found at <http://www.microsoft.com/products/info/render.aspx?view=22&type=mn&content=22/licensing>

SQL Server 2008 will be orderable and available by August 30, 2008.

Defect support is included in the purchase price. Additional support is available from Microsoft PSS on an incident by incident basis at \$245 per call.

This quote is valid for the next 90 days.

If we can be of any further assistance, please contact Jamie Reding at (425) 703-0510 or jamiere@microsoft.com.

Reference ID: PEchki0802070000009824.

Please include this Reference ID in any correspondence regarding this price quote.



Protect Your Data - Grow Your Business

To: Chris King, IBM Corporation
Attention:
Phone:
Fax:
Email:

From: Alan Powers
Phone: (248)223-1020 x344
Fax: (248)223-1026
Email: apowers@compsat.com

QUOTE # : DS4800_022108
DATE: February 21, 2008

Part No.	Description	Qty	List Price		Compsat Discounted Price	
			(per unit) US Dollar	(quantity x unit price) US Dollar	(per unit) US Dollar	(quantity x unit price) US Dollar
IBM System Storage DS4800						
1815-82A	IBM System Storage DS4800 Midrange Disk Subsystem	2	\$53,995	\$107,990.00	\$37,796.50	\$75,593.00
41C5953	3 YR onsite repair 24x7x4 hour (DS4800)	2	\$3,200	\$6,400.00	\$2,816.00	\$5,632.00
1812-81A	IBM TotalStorage DS4000 EXP810 Storage Exp. Unit	24	\$6,000	\$144,000.00	\$4,200.00	\$100,800.00
10N3651	3 YR onsite repair 24x7x4 hour (EXP810)	24	\$960	\$23,040.00	\$844.80	\$20,275.20
40K6816	4Gbps FC 73.4GB 15K Hot-Swap HDD	384	\$1,301	\$499,584.00	\$1,040.80	\$399,667.20
		TOTAL =		\$781,014	TOTAL =	\$601,967.40

22.92%

25330 Telegraph Road / Suite 200 Raleigh Officentre / Southfield, Michigan 48034
 Phone: 248-223-1020 / Fax: 248-223-1026 / www.compsat.com

NOTE:

- This quote may include Compsat Technology consulting and configuration charges.
- Mfg. pricing is out of our control and could change without notice.
- Pricing good for 30 Days from date quoted.



Shopping Cart

Print

Qty.	Product Description	Savings	Total Price
1	 D-Link DGS-2208 10/20/100/200/1000/2000Mbps 8-Port Desktop Green Ethernet Switch - Retail Item #: N82E16833127082 Return Policy: Limited 30-Day Return Policy <input type="text" value="Select An Optional Extended Warranty Plan"/>	\$10.00 Mail-in Rebate	\$54.99
1	 powercom KIN-1500AP 1500VA 900 Watts UPS - Retail Item #: N82E16842106115 Return Policy: Standard Return Policy <input type="text" value="Select An Optional Extended Warranty Plan"/>	-\$15.00 Instant	\$149.99 \$134.99
1	 BELKIN A3L791-10-BLK 10 ft. Cat 5E Black RJ45 CAT5e Patch Cable - Retail Item #: N82E16812106332 Return Policy: Standard Return Policy		\$4.49
Subtotal:			\$194.47
Calculate Shipping Zip Code: <input type="text" value="UPS Guaranteed 3 Day Service"/>		Shipping:	\$0.00
Redeem Gift Certificates Claim Code: Security Code:		Gift Certificates:	\$0.00
Apply Promo Code		Promo Code:	\$0.00
Grand Total:*			\$194.47

* Above total does not include shipping or taxes. Please input zip code to calculate your grand total.

Print

[Policy & Agreement](#) | [Privacy Policy](#) | © 2000-2008 Newegg Inc. All rights reserved.