TPC Benchmark TM E
Full Disclosure Report
for
IBM® System x3950 M2
using
Microsoft® SQL Server 2008
Enterprise x64 Edition
and
Microsoft Windows® Server 2008
Enterprise x64 Edition

**TPC-E Version 1.4.0** 

Submitted for Review April 11, 2008

**IBM Corporation** 

### First Edition – April 2008

THE INFORMATION CONTAINED IN THIS DOCUMENT IS DISTRIBUTED ON AN AS IS BASIS WITHOUT ANY WARRANTY EITHER EXPRESSED OR IMPLIED. The use of this information or the implementation of any of these techniques is the customer's responsibility and depends on the customer's ability to evaluate and integrate them into the customer's operational environment. While each item has been reviewed by IBM for accuracy in a specific situation, there is no guarantee that the same or similar results will be obtained elsewhere. Customers attempting to adapt these techniques to their own environment do so at their own risk.

In this document, any references made to an IBM licensed program are not intended to state or imply that only IBM's licensed program may be used; any functionally equivalent program may be used.

This publication was produced in the United States. IBM may not offer the products, services, or features discussed in this document in other countries, and the information is subject to change without notice. Consult your local IBM representative for information on products and services available in your area.

© Copyright International Business Machines Corporation 2008. All rights reserved.

Permission is hereby granted to reproduce this document in whole or in part, provided the copyright notice as printed above is set forth in full text on the title page of each item reproduced.

U.S. Government Users - Documentation related to restricted rights: Use, duplication, or disclosure is subject to restrictions set forth in GSA ADP Schedule Contract with IBM Corp.

### **Trademarks**

IBM, the IBM logo, System x, System Storage and TotalStorage are trademarks or registered trademarks of International Business Machines Corporation.

The following terms used in this publication are trademarks of other companies as follows: TPC Benchmark, TPC-E, tpsE, and \$/tpsE trademark of Transaction Processing Performance Council; Intel and Xeon are trademarks or registered trademarks of Intel Corporation; Microsoft and Windows are trademarks or registered trademarks of Microsoft Corporation. Other company, product, or service names, which may be denoted by two asterisks (\*\*), may be trademarks or service marks of others.

#### Notes

<sup>&</sup>lt;sup>1</sup> GHz and MHz only measures microprocessor internal clock speed, not application performance. Many factors affect application performance.

<sup>&</sup>lt;sup>2</sup> When referring to hard disk capacity, GB, or gigabyte, means one thousand million bytes. Total user-accessible capacity may be less.

## **Abstract**

IBM Corporation conducted the TPC Benchmark<sup>TM</sup> E on the IBM® System x3950 M2 configured as a client/server system with attached IBM System Storage<sup>TM</sup> DS4800. This report documents the full disclosure information required by the TPC Benchmark E Standard Specification, Revision 1.4.0, including the methodology used to achieve the reported results. All testing fully complied with this revision level.

The software used on the IBM System x3950 M2 system includes Microsoft® Windows® Server 2008 Enterprise x64 Edition operating system and Microsoft SQL Server 2008 Enterprise x64 Edition.

Standard metrics, transactions per second-E (tpsE), price per tpsE (\$/tpsE) and Availability Date, are reported as required by the TPC Benchmark E Standard Specification.

The benchmark results are summarized in the following table.

Hardware	Software	Total System Cost	tpsE	\$ USD /tpsE	Total Solution Availability Date	
IBM System x3950 M2	Microsoft SQL Server 2008 Enterprise x64 Edition				August 30, 2008	
	Microsoft Windows Server 2008 Enterprise x64 Edition	\$1,165,837 USD	804.00	\$ 1,450.05 USD		

The benchmark implementation and results were audited by Doug Johnson for InfoSizing (<a href="https://www.sizing.com">www.sizing.com</a>). The auditor's attestation letter is contained in Section 9 of this report.

TPC-E Throughput 804.00 tpsE	IBM® System Microsoft® So  Price/Performance \$ 1,450.05 USD per tpsE	TPC-E Revision 1.4.0 TPC Pricing 1.3.0 Report Date: April 11, 2008 Total System Cost \$ 1,165,837 USD	
	Database So	erver Configuration	
Operating System  Microsoft  Windows Server  2008  Enterprise x64  Edition	Database Manager Microsoft SQL Server 2008 Enterprise x64 Edition	Processors/Cores/ Threads 8/32/32	Memory 256GB
Ethernet Cables  Tier A - Clien 2 x IBM System x Each contains: 1 x Quad-Core In Processor E54 (1 Processor, 4 Threads) 2GB of Memory 2 x 73.4GB SAS (RAID-1 for OS Onboard RAID Onboard Dual 1 Gigabit Ethe Controller)	3500   IBM System 8 x Quad-Co	x3950 M2 34 x IBN re Intel Xeon EXP r X7350 2.93GHz Each EX sors, 32 Cores, 16 x 15 ds) total of emory 22 x 24 GGb FC 1-Port HBA GGb FC 2-Port HBA reRAID-MR10M	System Storage DS4800 M System Storage 810 Enclosure XP810 contains up to SiK rpm drives for a 1528 drives arrayed as: 1-Disk (73.4GB) RAID-5
Initial Database 3,171.45 G	17	undancy Level: 1 <b>Log + RAID-5 Data</b>	Storage 544 x 73.4 GB Drives



# IBM System x3950 M2 Microsoft SQL Server 2008

TPC-E Revision 1.4.0 TPC Pricing Spec 1.3.0

Report Date: April 11, 2008

Availability Date: August 30, 2008

				$\sim$		
Description	Part Number	Price Source	Unit Price	Quantity	Extended Price	3-Yr. Maint. Price
Server Hardware						
IBM System x3950 M2 (2 x Intel Xeon Processor X7350 (2.93GHz/2x4MB L2 Cache) with 4 Memory Cards	7141-4SU	1b	22,999	2	45,998	
and 8 x 1GB DIMM						
Intel Xeon Processor X7350 (2.93GHz/2x4MB L2)	44E4243	1b	3,579	4	14,316	
8GB (2x4GB) PC2-5300 CL5 ECC DDR2 SDRAM	41Y2768	1b	1,699	32	54,368	
73GB 15K 2.5" Hot-Swap SAS SFF	43X0837	1b	519	2	1,038	
	42D0485	1a*		2		
IBM 8Gb FC Single-Port PCIe HBA for IBM System x			1,469		2,938	
IBM 8Gb FC Dual-Port PCIe HBA for IBM System x	42D0494	1a*	2,279	2	4,558	
IBM ServeRAID-MR10M SAS/SATA Controller	43W4339	1b	1,049	1	1,049	
NetXtreme II 1000 Express Ethernet Adapter	39Y6066	1b	279	2	558	
IBM T115 15-inch TFT Display	494215U	1a	209	1	209	
IBM Preferred Pro USB Keyboard	40K9584	1b	29	1	29	
IBM 3-Button Optical Mouse - Black - USB	40K9201	1b	19	1	19	
ServicePac for 3-Year 24x7x4 Support (x3950 M2)	96P2688	1b	3,390	2		6,78
ServicePac for 3-Year 24x7x4 Support (Display)	10N3110	1b	90	1 Subtotal	405.000	9
Server Storage			•	Subtotai	125,080	6,870
4 Gbps SW SFP Transceiver 4 Pack	22R4897	1b	550	14	7,700	
BM 1m LC-LC Fibre Channel Cable	39M5696	1b	79	60	4,740	
IBM 5m LC-LC Fibre Channel Cable	39M5697	1b	129	18	2,322	
IBM S2 42U Standard Rack	93074RX	1b	1,489	4	5,956	
PowerCom KingPro UPS (2 spares)	KIN-1500AP	4	135	21	2,835	
IBM System Storage EXP3000 Enclosure	1727-01X	1b	3,199	2	6,398	
IBM 1M SAS cable	39R6529	1b	119	1	119	
IBM 3M SAS cable	39R6531	1b	135	1	135	
BM Hot-Swap 3.5 inch 73.4GB 15K SAS HDD	43W7523	1b	329	16	5,264	
ServicePac for 3-Year 24x7x4 Support (EXP3000)	41L2768	1b	760	2	-, -	1,52
ServicePac for 3-Year 24x7x4 Support (Rack)	41L2760	1b	300	4		1,20
IBM System Storage DS4800 Disk Upgrade to 8GB Cache	1815-82A	2	53,995	3	161,985	.,20
IBM System Storage DS4000 EXP810 Storage Exp. Unit	1812-81A	2	6,000	34	204,000	
73.4GB 15K 4Gbps FC E-DDM Hot-Swap HDD	40K6816	2S	1,301	528	686,928	
ServicePac for 3-Year 24x7x4 Support (EXP810)	10N3651	2	960	34	000,920	32,64
ServicePac for 3-Year 24x7x4 Support (DS4800)	41C5953	2	3,200	3		9,60
Servicer ac for 3-1 ear 24x7x4 Support (D34600)	4103933	2		Subtotal _	1,088,382	44,960
Server Software				-		
Microsoft SQL Server 2008 Enterprise x64 Edition	N/A	3a	24,999	8	199,992	
Microsoft Windows Server 2008 Enterprise x64 Edition	P72-03168	3a	3,999	1	3,999	
Microsoft Problem Resolution Services	N/A	3b	245	1 _		24
			5	Subtotal	203,991	24
Client Hardware				_		
x3500 with Intel Xeon E5440 (2.83GHz/12MB), 1GB Memory	7977M2U	1b	3,109	2	6,218	
2GB (2x1GB) PC2-5300 CL5 ECC DDR2	39M5785	1b	259	2	518	
73GB 15K 3.5" Hot-Swap SAS	43W7523	1b	329	4	1,316	
ServicePac for 3-Year 24x7x4 Support (x3500)	21P2084	1b	689	2	1,010	1,378
octivities at total 24x7x4 support (xoods)	211 2004	15		Subtotal	8,052	1,37
Client Software				-		,-
Microsoft Windows Server 2003 R2 Standard x86 Edition	P73-01972	3a	999	2	1,998	
			\$	Subtotal	1,998	-
Infrastructure D-Link DGS-2208 10/20/100/1000/2000Mbps Switch (2 spares)	DGS-2208	4	55	4	220	
Ethernet Cable (2 spares)	A3L791-10-BLK	4	5	14	70	
Ethernet Cable (2 spares)	ASL/91-10-BER	4	-	_		
				Subtotal	290	
			1	<b>Fotal</b>	1,427,793	53,453
IBM Large Purchase Discount (See Note 2.)	28.62%	1b			-48,375	
Compsat Technology Large Purchase Discount (See Note 3.)	23.03%	2			-252,249	
Microsoft Open Program Discount Schedule	7.17%	3a			-14,785	
Pricing: 1 - IBM - 1-888-SHOP-IBM, ext. 5821; 2 - Compsat Technol			Three-Yea	ar Cost of Ov	vnership USD:	\$1,165,837
3 - Microsoft; 4 - newegg.com				TDC F	Thursday	004.00
* This component is not immediately orderable. See the FDR for more inf Note 1: "S" mean that one or more components of the measured c		hstituted		IPC-E	Throughput:	804.00
in the Priced Configuration. See the FDR for details.					\$ USD/tpsE:	\$1,450.05
Note 2: Discount based on IBM Direct guidance applies to all line it	ome where Driging-1h				# COD/tpoL.	ψ1,-100.00
	ems where Pricing=1b.					
Pricing is for this system or one of similar size.						
Note 3: Discount applies to all line items where Pricing=2; pricing is		tities.				
Implementation and results audited by Doug Johnson for InfoSizing						
Prices used in TPC benchmarks reflect the actual prices a custome discounts are not permitted. Special prices based on assumptions						
discounts are not permitted. Special prices based on assumptions pricing policies for the listed components. For complete details, see						
pricing policies for the listed components. For complete details, set prices are not available according to these terms, please inform the			specifications. If	you illiu that s	Siaieu	
shoes are not available according to these terms, please inform the	o at priority w the old.	main you.				



# IBM System x3950 M2 Microsoft SQL Server 2008

TPC-E Revision 1.4.0 TPC Pricing Spec 1.3.0

Report Date: April 11, 2008

Availability Date: August 30, 2008

Reported Throughput: 804.00 tpsE	Configu	red Custo	mers: 41	0,000	
Response Time (in seconds)	Minimum	Average	90 <sup>Th</sup> Percentile	Maximum	
Broker-Volume	0.01	0.05	0.09	0.63	
<b>Customer-Position</b>	0.00	0.03	0.06	3.03	
Market-Feed	0.00	0.03	0.07	4.33	
Market-Watch	0.00	0.04	0.08	0.89	
Security-Detail	0.00	0.02	0.03	0.74	
Trade-Lookup	0.00	0.49	0.70	5.93	
Trade-Order	0.00	0.10	0.15	3.16	
Trade-Result	0.00	0.11	0.18	7.56	
Trade-Status	0.00	0.02	0.04	3.05	
Trade-Update	0.02	0.58	0.75	10.41	
Data-Maintenance	0.01	0.09	N/A	0.53	
Transaction Mix		Transact	tion Count	Mix %	
Broker-Volume		2,836,029		4.900	
<b>Customer-Position</b>		7,524,105		13.000	
Market-Feed		578,889		1.000	
Market-Watch		10,418,178		18.000	
Security-Detail		8,102,849		14.000	
Trade-Lookup		4,629,718		7.999	
Trade-Order		5,845,845		10.100	
Trade-Result		5,788,830		10.002	
Trade-Status		10,9	10,996,937		
Trade-Update		1,15	1,157,423		
Data-Maintenance	1	25	N/A		
Test Duration and Timings					
Ramp-up Time					
Measurement Interval					
Business Recovery Time 01:1					
Total Number of Transactions Completed in Measurement Interval 57,878,803					

# **Table of Contents**

Abstract	3
Clause 0 – Preamble	9
Clause 1 – Introduction	11
Benchmark Sponsor	11
Configuration Diagrams	11
Hardware and Software Configuration Steps	13
Clause 2 – Database Design, Scaling and Population Related Items	23
Database Creation and Table Definitions	23
Database Physical Organization	23
Horizontal/Vertical Partitioning	23
Replication	23
Cardinality of Tables	24
Distribution of Tables and Logs	26
Database Interface and Model Implemented	29
Database Load Methodology	31
Clause 3 – Transaction Related Items	32
Vendor-Supplied Code	32
Database Footprint of Transactions	32
Clause 4 – SUT, Driver and Network Related Items	33
EGen Instances	33
Network Configuration	33
Clause 5 – EGen Related Items	34
EGen Version	34
EGen Code and Modifications	34
Clause 6 – Performance Metrics and Response Time Related Items	35
Measured Throughput	35
Throughput vs. Elapsed Time for Trade-Result Transaction	35
Steady State Methodology	
Work Performed during Steady State	36
Transaction Statistics	36

Clause 7 – Transaction and System Properties Related Items	38
Atomicity Requirements	38
Consistency Requirements	38
Isolation Requirements	40
Durability Requirements	43
Clause 8 – Pricing Related Items	46
60-Day Space	46
Auditor's Attestation Letter	48
Supporting Files Index Table	51

## Clause 0 – Preamble

#### Introduction

TPC Benchmark<sup>TM</sup> E (TPC-E) is an On-Line Transaction Processing (OLTP) workload. It is a mixture of read-only and update intensive transactions that simulate the activities found in complex OLTP application environments. The database schema, data population, transactions, and implementation rules have been designed to be broadly representative of modern OLTP systems. The benchmark exercises a breadth of system components associated with such environments, which are characterized by:

- The simultaneous execution of multiple transaction types that span a breadth of complexity;
   Moderate system and application execution time;
- A balanced mixture of disk input/output and processor usage; Transaction integrity (ACID properties);
- A mixture of uniform and non-uniform data access through primary and secondary keys;
- Databases consisting of many tables with a wide variety of sizes, attributes, and relationships with realistic content;
- Contention on data access and update.

The TPC-E operations are modeled as follows: The database is continuously available 24 hours a day, 7 days a week, for data processing from multiple **Sessions** and data modifications against all tables, except possibly during infrequent (e.g., once a month) maintenance **Sessions**. Due to the worldwide nature of the application modeled by the TPC-E benchmark, any of the transactions may be executed against the database at anytime, especially in relation to each other.

### Goal of the TPC-E Benchmark

The TPC-E benchmark simulates the OLTP workload of a brokerage firm. The focus of the benchmark is the central database that executes transactions related to the firm scustomer accounts. In keeping with the goal of measuring the performance characteristics of the database system, the benchmark does not attempt to measure the complex flow of data between multiple application systems that would exist in a real environment.

The mixture and variety of transactions being executed on the benchmark system is designed to capture the characteristic components of a complex system. Different transaction types are defined to simulate the interactions of the firm with its customers as well as its business partners. Different transaction types have varying run-time requirements.

### The benchmark defines:

- Two types of transactions to simulate Consumer-to-Business as well as Business-to-Business activities
- Several transactions for each transaction type.
- Different execution profiles for each transaction type. A specific run-time mix for all defined transactions.

For example, the database will simultaneously execute transactions generated by systems that interact with customers along with transactions that are generated by systems that interact with financial markets as well as administrative systems. The benchmark system will interact with a set of **Driver** systems that simulate the various sources of transactions without requiring the benchmark to implement the complex environment.

The **Performance Metric reported** by TPC-E is a "business throughput" measure of the number of completed Trade-Result transactions processed per second (see Clause 6.7.1). Multiple **Transactions** are used to simulate the business activity of processing a trade, and each **Transaction** is subject to a **Response Time** constraint. The **Performance Metric** for the benchmark is expressed in transactions-per-second-E (tpsE). To be compliant with the TPC-E standard, all references to tpsE **Results** must include the tpsE rate,

the associated price-per-tpsE, and the **Availability Date** of the **Priced Configuration** (See Clause 6.7.3 for more detail).

Although this specification defines the implementation in terms of a relational data model, the database may be implemented using any commercially available **Database Management System (DBMS)**, **Database Server**, file system, or other data repository that provides a functionally equivalent implementation. The terms "table", "row", and "column" are used in this document only as examples of logical data structures.

TPC-E uses terminology and metrics that are similar to other benchmarks, originated by the TPC and others. Such similarity in terminology does not imply that TPC-E **Results** are comparable to other benchmarks. The only benchmark **Results** comparable to TPC-E are other TPC-E **Results** that conform to a comparable version of the TPC-E specification.

### **Restrictions and Limitations**

Despite the fact that this benchmark offers a rich environment that represents many OLTP applications, this benchmark does not reflect the entire range of OLTP requirements. In addition, the extent to which a customer can achieve the **Results reported** by a vendor is highly dependent on how closely TPC-E approximates the customer application. The relative performance of systems derived from this benchmark does not necessarily hold for other workloads or environments. Extrapolations to any other environment are not recommended.

Benchmark **Results** are highly dependent upon workload, specific application requirements, and systems design and implementation. Relative system performance will vary because of these and other factors. Therefore, TPC-E should not be used as a substitute for specific customer application benchmarking when critical capacity planning and/or product evaluation decisions are contemplated.

Benchmark **Sponsors** are permitted various possible implementation designs, insofar as they adhere to the model described and pictorially illustrated in this specification. A **Full Disclosure Report (FDR)** of the implementation details, as specified in Clause 9.1, must be made available along with the **reported Results**.

## Clause 1 – Introduction

## **Benchmark Sponsor**

A statement identifying the benchmark Sponsor(s) and other participating companies must be reported.

This benchmark was sponsored by IBM Corporation.

## **Configuration Diagrams**

Diagrams of both the measured and priced configurations must be reported, accompanied by a description of the differences. A description of the steps taken to configure all of the hardware and software must be reported.

The measured and priced configurations differ slightly. The priced configuration has all 73.4GB drives for the data arrays, whereas the measured configuration has six 24-Disk RAID-5 data arrays with all 73.4GB drives; the other sixteen 24-Disk RAID-5 data arrays use 36.4GB drives.

Official public documentation of the product specifications for the 36.4GB and 73.4GB drives, containing data for the:

- Capacity of the drives
- Response times of the drives
- Throughput performance of the drives

was provided to the auditor and is included in the supporting Files.

In addition, operating system-level performance data during a test run was collected for the 36.4GB 24-Disk arrays and the 73.4GB 24-Disk arrays. This data included response times and throughput performance for each 24-Disk array and showed that the 73.4GB 24-Disk arrays performed as well or better than the corresponding 36.4GB 24-Disk arrays. The data was provided to the auditor.

The configuration diagrams for the measured and priced system are provided on the following pages.

The TPC-E Driver used for these TPC Benchmark E tests is a Microsoft proprietary Driver. The Driver software needed to implement the Driving & Reporting is run on an IBM eServer® xSeries® 226 machine that is not part of the SUT. The Driver communicates with Tier A over an Ethernet network using the Driver machine's onboard 1Gb Ethernet card.

Tier A is on two IBM System x3500 servers, each with one Quad-Core Intel® Xeon® Processor E5440 (2.83GHz with 12MB L2 Cache), 2GB of memory, two internal 73GB SAS drives in a RAID-1 array for the operating system, which is Microsoft Windows Server 2003 R2 Standard x86 Edition. Tier A communicates with Tier B and the Driver over an Ethernet network using the IBM System x3500's onboard 1Gb Ethernet card.

Tier B is on an IBM System x3950 M2. The x3950 M2 has:

- Eight Quad-Core Intel Xeon Processor X7350 (2.93GHz with 2 x 4MB L2 Cache)
- 256GB of memory
- Two 73GB 15K rpm 2.5" Hot-Swap SAS SFF internal drives in a RAID-1 array for the operating system, which is Microsoft Windows Server 2008 Enterprise x64 Edition with Microsoft SQL Server 2008 Enterprise x64 Edition
- Two Emulex 8Gb Fibre Channel Dual-Port HBA for IBM System x cards
- Two Emulex 8Gb Fibre Channel Single-Port HBA for IBM System x cards
- One IBM ServeRAID-MR10M SAS Controller

Two NetXtreme II 1000 Express Ethernet Adapters

The x3950 M2 has an onboard dual-port 1Gb Ethernet card, but for the measurements this was disabled in BIOS. Each port of Emulex 8Gb Fibre Channel HBAs has one Fibre Channel cable connection. The cable from the HBA is connected directly to controller A or B of the IBM System Storage DS4800 controller. Each DS4800 is connected to 11 or 12 IBM System Storage EXP810 disk enclosures. Each EXP810 disk enclosure has maximum sixteen 15Krpm drives. The 528 drives are organized as:

• Twenty-two 24-Disk RAID-5 arrays for the database data

Each array is seen as one LUN by the operating system on the x3950 M2. In Windows Disk Manager each of the LUNs from the RAID-5 arrays is configured to have a RAW partition for the fixed and scaling tables and another RAW partition for the growing tables. The rest of the space on the LUN is an NTFS partition used for DB backups and the temp DB.

Tier B communicates with Tier A over an Ethernet network using the NetXtreme II 1000 Express Ethernet Adapter with TOE (TCP/IP Offload Engine) enabled. One Ethernet cable comes out of each NetXtreme II 1000 Express Ethernet Adapter and runs to a D-Link 8-port Ethernet switch that also has the Ethernet cables from the Tier A and Driver machines.

## **Measured and Priced Configuration**

Figure 1-1. Measured Configuration

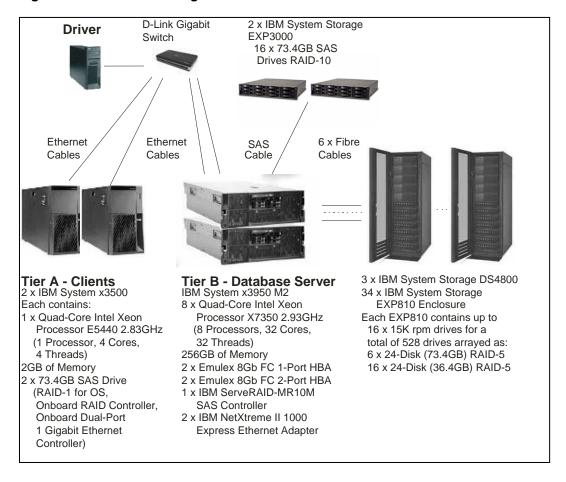
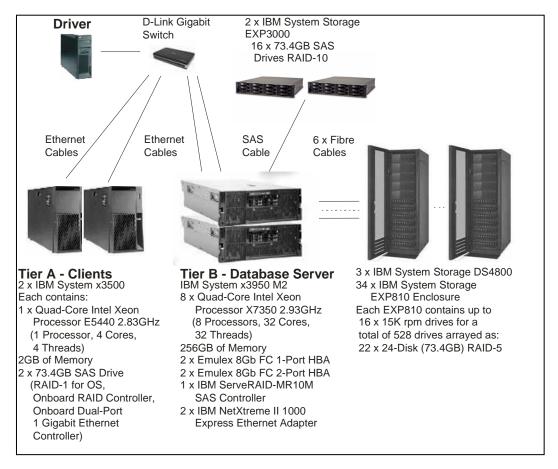


Figure 1-2. Priced Configuration



# **Hardware and Software Configuration Steps**

A description of the steps taken to configure all the hardware must be **reported** in the **Report**. A description of the steps taken to configure all the software must be **reported** in the **Report**.

### Driver

The Driver is not part of the priced configuration/System Under Test. For this result an IBM eServer xSeries x226 machine running Microsoft Windows Server 2003 R2 Standard x86 Edition was used. The Microsoft Benchcraft proprietary driver was installed on the machine. An Ethernet cable was run from the machine's onboard Ethernet controller to the D-Link switch. The driver machine was configured with an IP address of 192.168.200.12.

## Tier A

The IBM System x3500 comes with one Quad-Core Intel Xeon E5440 Processor and 1GB of memory. Remove the 1GB (2 X 512MB) DIMMs and replace them with the 2GB (2 X 1GB) DIMMs. Insert two 73GB SAS drives. Temporarily, attach a USB floppy driver to the IBM System x3500. Power on the IBM System x3500. During POST press Ctrl-A when prompted to drop into the IBM ServeRAID Config Utility to configure the drives. Select "Array Configuration Utility." Initialize both drives. Create the array using:

- RAID-1
- Read caching: Y
- Quick Initialization

Once the RAID array has been created, escape to the main menu and select "Serial Select Utility" – "Controller Configuration," and "Disable Array background consistency check." Then exit the tool; the IBM System x3500 will restart. Boot from the installation CD for Microsoft Windows Server 2003 Standard x86 Edition. Press F6 when prompted to load the Driver for the SAS RAID controller from diskette. The driver can be downloaded from <a href="www.ibm.com">www.ibm.com</a>. During the operating system installation, select the per seat 9999 option.

Once the operating system is installed, install the onboard Broadcom Ethernet card's device driver using the Broadcom-provided executable, which is run from a USB memory stick. The executable can be downloaded from <a href="www.ibm.com">www.ibm.com</a>. When the Ethernet card's device driver has been installed, set a static IP address 192.168.200.7 for one port on the first IBM System x3500. Leave the other port of the dual-port onboard Ethernet card as DHCP. On the second IBM System x3500, set a static IP address 192.168.200.8 for one port and 192.168.128.8 for the other port of the dual-port onboard Ethernet card. Connect an Ethernet cable from the 190.168.128.8 port. The other end of the Ethernet cable will be connected to a second D-Link switch, which has Ethernet connections to the IBM System Storage DS4800 disk controllers. Connect Ethernet cables to the 192.168.200.7 and 192.168.200.8 ports. The other end of each of the Ethernet cables connects to the first D-Link switch, which has connections to the Driver and Tier B machines. Install the Microsoft SQL Server Workstation components (client), SUT\_CE\_Server.exe and SUT MEE Server.exe.

### External storage subsystem

Before powering on the EXP810 enclosures and the DS4800s, load all 34 EXP810s with the 73.4GB 15K rpm drives. Set the speed switch on the front of the EXP810 to 4Gb. The first and third DS4800 will connect to 11 EXP810s with the 11<sup>th</sup> EXP810 has 8 drives only while other EXP810s have 16 drives each. The second DS4800 will connect to 12 EXP810s with each EXP810 has 16 drives.

The IBM System Storage DS4800 comes with eight 4Gb IBM short wave SFP modules. The IBM System Storage EXP810 comes with two 4Gb IBM short wave SFP modules. Move the SFP modules to the appropriate places as indicated in the diagram below, and use the additional SFP modules that were purchased separately to fill all the necessary spots according to the diagram. Then cable the configuration as directed by the diagram below, which shows how to cable one DS4800 to the first three EXP810s. The cabling pattern is repeated for the remaining three sets of three EXP810s for that DS4800. So the second set would start with a cable from channel 2, port 2 on the top contoller of the DS4800; this cable would go to port 1B on the left of the fourth EXP810. Since the first and third DS4800 has 11 EXP810s only. There are two EXP810s in the last set. So the cable from port 1B on the right of 11<sup>th</sup> EXP810 would go to channel 3 port 1 on the bottom controller of the DS4800 to close the loop.

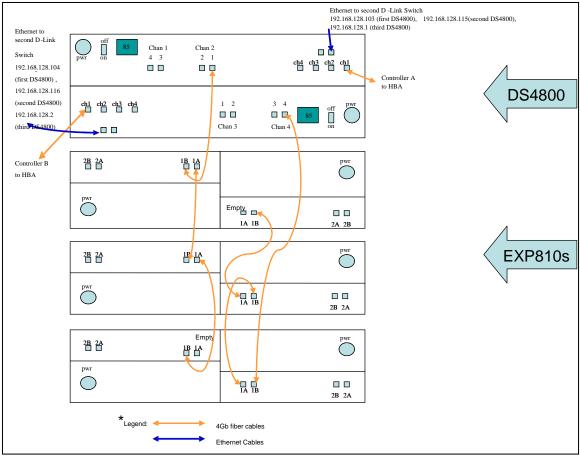


Figure 1-3. Cabling Diagram for the IBM System Storage DS4800 and IBM System Storage EXP810

Run an Ethernet cable from the top and bottom controllers in each DS4800 to the the second D-Link switch.

Download IBM Storage Manager 9 Client from <a href="www.ibm.com">www.ibm.com</a> and install it on one of the x3500s (Tier A machine with the second Ethernet port configured to static IP address 192.168.128.8). During the installation, do not start the monitor service.

The default IP addresses for the controllers on the DS4800 are 192.168.128.101 and 192.168.129. 102.

Perform the following steps for both controllers in each DS4800:

- 1. Hook up a standard serial cable to the DS4800 controller from another machine.
- 2. On the other machine, Hyperterminal into the DS4800 controller:
  - a. Speed: 38400
  - b. Other settings: 8, none, 1, none
  - c. Press CTRL-BRK, ESC to get the command prompt.
- 3. Enter the Default password = infiniti
- 4. Select **sysWipe** to clear all RAID configuration information, which can travel with drives.
  - a. Wait for sysWipe to complete on both sides. sysWipe runs in the background and takes some time to complete, but it tells you when it's done. Wait for the message that sysWipe has completed.
  - b. After sysWipe is finished on both controllers, power cycle the controller.
- 5. **safeSysWipe** returns quickly.
- 6. Relog into the controller, and use:
  - a. **netCfgShow** to see the current Ethernet configuration

- b. **netCfgSet** to change the Ethernet configuration.
  - Set the hostname and IP to suit your needs. (We used IP addresss 192.168.128.103 for the top controller and 192.168.128.104 for the bottom controller on the first DS4800; IP address 192.168.128.115 for the top controller and 192.168.128.116 for the bottom controller on the second DS4800; and IP address 192.168.128.1 for the top controller and 192.168.128.2 for the bottom controller on the third DS4800.)

After completing those steps on all of the DS4800s, start the IBM Storage Manager 9 Client on the x3500 and discover all the devices. In IBM Storage Manager 9 Client, do the following:

- 1. Double click the DS4800 icon to open a window for that DS4800.
- 2. In the new window, synchronize the date/time on all the devices right click on the DS4800 Icon Set Controller Clocks.
- 3. In the new window, disable any premium features right click on the DS4800 Icon Premium Features Disable
- 4. From the Enterprise Management Window: right click Tools Execute Script Load ds4800\_setup.script (provided in the SupportingFiles)
- 5. From Tools: right click Verify and Execute the ds4800\_setup.script. This script sets the no FUA bit, and makes it so that Microsoft Windows only sees one copy of each of the LUNs.
- 6. For the first and third DS4800, from the Enterprise Management Window: right click Tools Load Configuration "firstDS4800.cfg" (provided in the SupportingFiles). This loads the RAID array configurations: Seven 24-drive RAID-5 arrays for the database data.
- 7. For the second DS4800, from the Enterprise Management Window: right click Tools Load Configuration "secondDS4800.cfg" (provided in the SupportingFiles). This loads the RAID array configurations: Eight 24-drive RAID-5 arrays for the database data.

The external storage subsystem is now ready.

### **IBM EXP 3000**

Before powering on two EXP3000 enclosures, load each enclosure with 8 73.4GB SAS drives. Connect these two EXP3000s with one SAS cable, from the "out" port of top EXP3000 to the "in" port of bottom EXP3000. Two EXP3000s are ready to be used now.

### IBM System x3950 M2

The IBM x3950 M2 supports up to four nodes. Each node is an IBM x3850 M2 with a scalable key. In this benchmark two nodes were used. Each node comes with two Quad-Core Intel Xeon X7350 processors, eight 1GB DIMMs, four memory cards and an onboard dual-port Broadcom Ethernet card. Open each node, and perform the first 4 steps:

- 1. Install two more Quad-Core Intel Xeon X7350 Processors.
- 2. Remove the eight 1GB DIMMs and install thirty-two 4GB DIMMs.
- 3. Install the NetXtreme II 1000 Express Ethernet Adapter in PCI-E slot 7.
- 4. Run an Ethernet cable from the NetXtreme II 1000 Express Ethernet Adapter to the first D-Link switch, which has connections to the Tier A and Driver machines.
- 5. Connect two nodes with two scalability cables at scalability port 1 and 2.
- 6. Run an Ethernet cable from RSA II port to the second D-Link switch which has the connections to other DS4800 controllers.
- 7. Insert the two 73GB 15K rpm 2.5" Hot-Swap SAS SFF drives in the front of the first node.
- 8. Plug the power cords into the back of two nodes.
- 9. Press the switch power switch on the front of the first node.

Press F1 while the first node is going through POST. This causes the server to drop into BIOS Setup. In BIOS Setup change the following from their default values:

- 1. Set the correct date and time.
- 2. In Devices in I/O Ports, disable "Planar Ethernet" and "Display Onboard MAC Address During Boot."
- 3. In Start Options, set the Startup Sequence to CD-ROM, Diskette Drive 0, Hard Disk 0, Disabled.
- 4. Still in Start Options, disable Planar Ethernet PXE/DHCP.
- 5. In Advanced Setup Memory Settings ensure that the Memory Array Setting is High Performance Memory Array.
- 6. In Advanced Setup CPU Options disable nearly everything (PowerExecutive Power Capping, Processor Performance States, Adjacent sector Prefetch, Processor Hardware Prefetcher, Processor Execute Disable Bit, Intel Virtualization Technology, Processor IP Prefetcher, Processor DCU Prefetcher, C1E). Leave the Clustering Technology in Logical Mode.
- 7. In Advanced Setup Advanced PCI Settings disable PCI ROM Control Execution for the seven PCI-E slots.
- 8. In RSA II Setting select statics IP and set IP address as 192.168.128.202 with Subnet Mask 255.255.255.0. Make sure you save the change on RSA II before leaving RSAII setting.

Save the BIOS changes and shutdown the first node. Power on the second node and repeat the above steps with a new IP address 192.168.128.201 for the second nodes' RSA II. Again save the BIOS changes and shutdown the second node.

Login the client machine which has IP address 192.168.128.8. Then open a web browser with <a href="http://192.168.128.202">http://192.168.128.202</a>. This is an userid/password protected page. By default, type in USERID/PASSW0RD for userid/password. In the main page select "partition configure" at the bottom of the left pane. In the new page, you will see a drawing of two machines connected by two lines. Select the first node as the primary node and the second node as the member of partition group. Then click the "partition configuration" with "auto" or "create". If it was created successfully then the drawing will change color from no color to a blue color. If it becomes red then means something was not set properly. Either you didn't shutdown the node properly or the scalability cable was not connected correctly.

Now you have two nodes connected together. From now on start/stop either node will start/stop both nodes.

Let's power on the x3950 M2. During POST on the x3950 M2, when the LSI banner is displayed, press Ctrl-C. The SAS Configuration Utility will come up. Create a RAID-1 array from the two internal SAS drives.

- 1. SAS1078
- 2. RAID Properties
- 3. Create IM Volume
- 4. Arrow over to the RAID Disk column, use the space bar to toggle from No to Yes for both internal SAS drives
- 5. D to overwrite existing data
- 6. 7006MB, C to create
- 7. Create and Save new array
- 8. Save changes and exit the menu
- 9. RAID Properties
- 10. Manage Array
- 11. Synchronize Array, Y start array synchronization and exit this menu
- 12. Exit the configuration utility and reboot the x3950 M2.

Put the Microsoft Windows Server 2008 Enterprise x64 Edition DVD in the first node's DVD/CDROM drive. Boot the x3950 M2 from the DVD.

1. Select Boot section 0

- 2. Language to install English
- 3. Time and currency formtat English (United States)
- 4. Keyboard or input method U.S. [Next]
- 5. [Install Now]
- 6. Enter Product Key
- 7. Deselect "Automatically activate Windows when I'm online." [Next]
- 8. Windows Server 2008 Enterprise (Full Installation) x64 [Next]
- 9. Check "I accept the license terms" [Next]
- 10. Custom (advanced) install not upgrade
- 11. Where do you want to install Windows?
- 12. Disk 0 Unallocated Space 68.0GB
- 13. Drive Options (advanced)
- 14. New 69618 MB [Apply]
- 15. Disk 0 Partition 1 68.0GB Primary
- 16. [Next]
- 17. Installing Windows... That's all the information we need right now. Your computer will restart several times during installation.
- 18. Before the first reboot remove the DVD. There are two reboots.
- 19. After the second reboot the following message is displayed "The user's password must be changed before logging on the first time" [OK]
- 20. Set a new secure password for the Administrator
- 21. The "Initial Configuration Tasks" window is displayed. On the Date and Time tab set the Time Zone to GMT-05:00 Eastern Time (US & Canada) [OK]
- 22. Internet Time tab [Change settings...], uncheck "Synchonize with an internet time server [OK] [OK]
- 23. Configure networking Windows saw the NetXtreme II 1000 Express Ethernet Adapter and installed a driver, so we don't need to load a driver yet. The default driver does not support TCP/IP Offload Engine. Right click "Local Area Connection" Properties Select "Internet Protocol Version 4 (TPC/IP.4) [Properties]
- 24. Check the radio button "Use the following IP address"; enter IP address 192.168.200.102 with a subnet mask of 255.255.255.0 [OK] [Close]; close the Networks folder.
- 25. Repeat steps 23/24 for the second NetXtreme II 1000 Express Ethernet Adapter with IP address 192.168.200.101.
- 26. Provide computer name and domain Computer description TPC-E Athena Win2008 [Change] computer name ibmservA [OK]. A message is displayed that says the server must be restarted for the change to take effect so save open files. [OK]. Still in "System Properties" "Computer Name" tab click the "Advanced" tab.
- 27. On the "Advanced" tab Performance [Settings...] Visual Effects tab check radio button for "Adjust for best performance". On the "Advanced" leave the radio button for background services checked.
- 28. Data Execution Prevention tab the default is DEP for all programs and services except those I select" [OK]. Close the Performance Options Window.
- 29. Startup and Recovery [Settings...] change the time to display a list of operating systems to 10 seconds instead of the default 30 seconds. In the System Failure section uncheck "Automatically restart". Change "Write debugging information" to "(none)". [OK]
- 30. In the System Properties Window change from the "Advanced" tab to the "Remote" tab.

- 31. Check the radio button to "Allow connections from computers running any version of Remote Desktop".
- 32. A warning about enabling Remote Desk making the server less secure is displayed. [OK] [Close]
- 33. A message saying the computer must be restarted to apply these change is displayed. [Restart later]
- 34. Back in Initial Configuration Tasks window. "2 Update This Server" "Enable automatic updating and feedback [Manually configure settings]
- 35. Manually Configure Settings window Windows automatic updating [Change Setting...] check the radio button for "Never check for updates" [OK]
- 36. Windows Error Reporting [Change Setting...] check the button "I don't want to participate, and don't ask me again" [OK]
- 37. Customer Experience Improvement Program check the button "No, I don't want to participate" [OK] [Close]
- 38. Download and install updates leave as no checking for updates
- 39. Windows Firewall Change settings Advanced tabe Network Connections uncheck. General tab check the button "Off" [Apply] [OK]
- 40. gpedit.msc (Global Policy Manager) Computer Configuration Windows Settings Security Settings – Account Policies – Password Policy – Password must meet complexity requirements – disable – Maximum Password age – change from 42 to 0, which means your password never expires.
- 41. Still in gpedit.msc Computer Configuration Administrative Templates System right panel Display shutdown event tracker disable
- 42. Still in gpedit.msc Computer Configuration Windows Setting Security Settings Local Policies User rights assignment lock pages in memory add Administrators group.
- 43. Back on the initial settings page check "do not show again."
- 44. Restart, after restart change the Administrator's password to a simple password.
- 45. Personal Settings Screen Saver Screen Save (none) Change power settings... select the "High Performance" power plan Choose when to turn off the display Never [Save Changes]
- 46. Sounds Sound scheme No Sounds uncheck "Play Windows Startup Sound" [OK]
- 47. Move some icons onto my tool bar (e.g., Windows Explorer, command window, calculator, notepad).
- 48. Server Manager Services change the following services to Manual Cryptographic Services, DHCP Client, Print Spooler, Remote Registry, Windows Update, Windows Error Reporting Service.
- 49. regedit HKLM\SYSTEM\CurrentControlSet\Services\TPCIP\Parameters add DWORD MaxUserPort 40000 decimal.
- 50. Check that HKLM\SYSTEM\CurrentControlSet\Services\Lanman Server\Parameters Size = 3.
- 51. Change HKLM\SYSTEM\CurrentControlSet\Control\Session Manager\Memory Management Large System Cache from 1 to 0, and Disable Paging Executive from 0 to 1.
- 52. Update my hosts file in c:\windows\system32\drivers\etc.

After installing the operating system, install the Broadcom BCM5708C (NetXtreme II 1000 Express Ethernet Adapter) Ethernet card's device driver which can be downloaded from <a href="www.ibm.com">www.ibm.com</a>. Then open a dos window and run "netsh int tcp show global" to check whether TOE & RSS are enabled. If not then enable both with

netsh int tcp set global chimney=enabled netsh int tcp set global rss=enabled Then search the registry for the value \*TCPConnectionOffloadIPv4 and \*TCPConnectionOffloadIPv6. For all found in the CurrentControlSet chain set both associated \*TCPConnectionOffload value to 1. Reboot the server now you have TOE enabled Ethernet cards.

### **SQL Server Installation**

Install Microsoft SQL Server 2008 Enterprise x64 Edition.

- 1. First, install prerequisites such as Microsoft Office 2003 Web Components
- 2. Then Install Server components, tools, Book online and samples
- 3. SQL Server 2008 Setup accept the license terms [Next]
- 4. Setup Support Files [Install]
- 5. SQL Server Installation Center New Installation System Configuration Check Execution completed Passed: 7 Failed: 0, Warning 0 Skipped 0 [Next]
- 6. Feature Selection check Database Engine Services
- 7. Shared Features check Client Tools and SQL Server Book online [Next]
- 8. Instance Configuration check Default instance, MSSQLServer, C:\Program Files\Microsoft SQL Server [Next]
- 9. Service Accounts SQL Server Agent left as manual, change SQL Server (MSSQL...) from automatic to manual, change SQL Server Browser from Disabled to Manual, picked Administrator as the account and applied it to all [Next]
- 10. Collation check Windows Collation designator and sort order, Collation designation Latin 1 General, check Binary sort order [OK]
- 11. Database Engine Configuration Account Provisioning tab check Mixed Mode, Built-in SQL Server system administrator account, enter sa password and confirm the password. Specify SQL Server administrators [Add Current User] IBMSERVA\Administrator
- 12. Left the defaults on Data Directories and FILESTREAMING tabs [Next]
- 13. Error and Usage Reporting uncheck "Send error reports to Microsoft or your corporate report server" and "Send anonymous feature usage data to Microsoft," [Next]
- 14. Ready to Install [Next]
- 15. Shows progress, when finished [Next]
- 16. Installation complete so [Close]

### SQL Server softNUMA node configuration

- To do so edit the registry:
  - O HKEY\_LOCAL\_MACHINE\SOFTWARE\Microsoft\Microsoft SQL Server\100\NodeConfiguration
  - Add keys named "Node0", "Node1".
  - Under each of those keys, add a DWORD value called "CPUMask" and set it to the CPU mask of the node.
    - For example:
      - Node0 -> CPUMask = 0xFFFF0000
      - Node1 -> CPUMask = 0x0000FFFF
- Now configure the TCP/IP ports so that one listens for each softNUMA node
  - Open the SQL Server Configuration Manager
  - o Expand the SQL Server Network Configuration
  - Select "Protocols for MSSQLSERVER"
    - Shared Memory and TCP/IP should be enabled; the rest disabled
    - Open TCP/IP, Protocol tab
      - Enabled = yes
      - KeepAlive = 30000
      - Listen All = Yes
    - IP Addresses Tab
      - IPx (IP1, IP2, IP3, etc.) should all be disabled (they are only used if ListenAll = No)

### • IPAll

- o TCP Port. This is where you set the port->softNUMA node affinities. In this benchmark we use
- o 1433,1434[0x1],1436[0x2]

### Connect x3950 M2 to External Storage

Power off the x3950 M2.

- 1. Install Emulex 8Gb Fibre Channel Dual-Port HBA for IBM System x in PCI-E slots 2 and 9.
- 2. Install Emulex 8Gb Fibre Channel Single-Port HBA for IBM System x in PCI-E slots 6 and 13.

Run a 4Gb Fibre cable from each port of Emulex 8Gb HBAs to a controller of DS4800. The dual-port HBA in PCI-E slot 2 were connected to controllers A and B of the first DS4800 and Dual-port HBA in PCI-E slot 9 were connected to controllers A and B of the third DS4800. The single-port HBA in PCI-E slot 6 was connected to the top controller A of the second DS4800 while the single-port HBA in PCI-E slot 13 was connected to the bottom controller B of the second DS4800.

Power on the x3950 M2. Download HBAnyware, and the latest firmware and drivers for Emulex LPe12000 8Gb Fibre Channel HBA from Emulex.com. Install HBAnyware on the x3950 M2, use HBAnyware to update the firmware on each HBA. Open Device Manager and under the Storage Controllers find the HBAs. Update the driver for each HBA. Reboot the x3950 M2.

regedit.exe - under the key -

There is a list of keys such as 5&dc982ed000005. For each of these keys, add a key "Classpnp" under the "Device Parameters" key. Under the new Classpnp, add a DWORD IdlePrioritySupported with a value of 0. This disables I/O prioritization.

### Connect x3950 M2 to IBM EXP3000

Power off the x3950 M2. Install IBM ServeRAID-MR10M SAS controller in PCI-E slot 12 which is at the second node. Then run a SAS cable from one port of IBM ServeRAID-MR10M SAS controller to the "in" port of top IBM EXP3000 enclosure which connects to another EXP3000 with another SAS cable.

Power on the x3950 M2. Download MegaRAID Storage Manager, and the latest firmware and driver for IBM ServeRAID-MR10M from IBM website. Install MegaRAID Storage Manager on the x3950 M2. Use MegaRAID Storage Manager to flash the firmware. Open Device Manager and under the Storage Controllers find the SAS controller. Update the driver for SAS controller. Reboot the x3950 M2.

Use MegaRAID Storage Manager to configure the log file. In MegaRAID Storage Manager, right click on the adapter (IBM ServeRAID-MR10M SAS controller) to select Configuration → Configuration Wizard.

- 1. Selcet "Manual Configuration" from a pop-up window then click "Next"
- 2. In the new window, you will see two enclosures each with 8 drives at the left pane. Select one drive from each enclosure then click "Add". These two drives will be moved to the right pane as a new array. Click "Accept" to accept it.
- 3. Back to the left pane to select another two drives from each enclosure and accept it after they are moved to the right pane. Repeat this procedure 8 times until all drives have been moved to the right pane. It should have 8 arrays each has two drives now. Click "Next"
- 4. In the new window, select all 8 new arrays at the same time.
- 5. Then at the Virtual Disk Properties change the setting as following:
  - a. RAID Level: RAID 10
  - b. Volume Name: Log
  - c. Read Policy: Adaptive Read Ahead
  - d. Default Write Policy: Write Through
  - e. Disk Cache Policy: Enabled
- 6. Click "Accept", then "Next", then "Finish" to complete the configuration

A log file has 549312MB size with RAID10 is now ready to be used.

### **Mount Points and Disk Partitions**

- 1. In a command window, create mount points:
  - c:
  - cd \
  - mkmp.cmd (mkmp.cmd provided in the SupportingFiles)
- 2. Open Windows Disk Manager.
- 3. If the Wizard prompts you to initialize disks, do so; don't upgrade the disks.
- 4. From a command prompt, run diskpart.exe /s dblog.txt (dblog.txt provided in the SupportingFiles).
- 5. From a command prompt, run diskpart.exe /s datadisks.txt (datadisks.txt provided in the SupportingFiles).
- 6. From a command prompt, run formats.cmd (formats.cmd provided in the SupportingFiles).
- 7. Close Windows Disk Manager: the disk partitions for the database have been created.

## **SQL Server configuration**

Start Microsoft SQL Server from the command line using sqlservr –c –T3502.

Run runconfig.sql to set the SQL Server sp\_configure settings (the file is included in the SupportingFiles). Run tempdb.sql to increase the size of the temporary database, which is used during database load (the file is included in the SupportingFiles).

Shutdown SQL Server. Start Microsoft SQL Server 2008 – Configuration Tools – SQL Server Configuration Manager - SQL Server Network Configuration - Protocols for MSSSQLSERVER – check Shared Memory, Named Pipes and TCP/IP are enabled.

# Clause 2 – Database Design, Scaling and Population Related Items

## **Database Creation and Table Definitions**

A description of the steps taken to create the database for the Reported Throughput must be reported in the Report.

Create a folder 410000.cust\database. In the folder, create a create\_database.sql script to create a TPC-E database with two filegroups. One filegroup called fixed\_fg for the fixed and scaling TPC-E tables and the other filegroup called growing\_fg for all growing TPC-E tables. fixed\_fg uses all the c:\mp\fx\* disk partitions. growing\_fg uses all the c:\mp\gw\* disk partitions. The database log is on E:. Modify the files provided by Microsoft:

- Create\_Tables\_Fixed.sql
- Create\_Tables\_Growing.sql
- Create\_Tables\_Scaling.sql
- Create Clustered Indexes Fixed.sql
- Create Clustered Indexes Growing.sql
- Create\_Clustered\_Indexes\_Scaling.sql
- Create NC Indexes Fixed.sql
- Create\_NC\_Indexes\_Growing.sql
- Create\_NC\_Indexes\_Scaling.sql

by replacing misc\_fg, market\_fg, broker\_fg and customer\_fg with fixed\_fg or growing\_fg.

Run the Microsoft file TPCE\_Setup.cmd to start the database load (the file is included in the SupportingFiles). When prompted, fill in 410000 for the number of customers to be loaded. TPCE\_Setup.cmd calls files that are included in the SupportingFiles to create and load the TPC-E database.

## **Database Physical Organization**

The physical organization of tables and indexes within the database must be reported in the Report.

Physical space was allocated to Microsoft SQL Server 2008 on the server disks as detailed in Table 2-2.

# **Horizontal/Vertical Partitioning**

While there are few restrictions placed upon horizontal or vertical partitioning of tables and rows in the TPC-E benchmark, any such partitioning must be reported.

Partitioning was not used in this benchmark. However, the TPC-E tables were distributed over multiple disk arrays by SQL Server because the file groups were spread over 22 disk arrays.

# Replication

Replication of tables, if used, must be reported in the Report.

Replication was not used in this benchmark.

## **Table Attributes**

Additional and/or duplicated attributes in any table must be **reported** in the **Report** along with a statement on the impact on performance (see Clause 2.3.5)).

No additional attributes were used in this benchmark.

# **Cardinality of Tables**

The cardinality (e.g., the number of rows) of each table, as it existed after the database load (see Clause 2.6), must be reported in the Report.

The database was built with 410,000 customers. Table 2-1 is on the following page.

Table Name	Rows
ACCOUNT_PERMISSION	2,911,059
ADDRESS	615,004
BROKER	4,100
CASH_TRANSACTION	6,518,041,632
CHARGE	15
COMMISSION_RATE	240
COMPANY	205,000
COMPANY_COMPETITOR	615,000
CUSTOMER	410,000
CUSTOMER_ACCOUNT	2,050,000
CUSTOMER_TAXRATE	820,000
DAILY_MARKET	366,509,250
EXCHANGE	4
FINANCIAL	4,100,000
HOLDING	362,811,389
HOLDING_HISTORY	9,494,782,870
HOLDING_SUMMARY	20,397,513
INDUSTRY	102
LAST_TRADE	280,850
NEWS_ITEM	410,000
NEWS_XREF	410,000
SECTOR	12
SECURITY	280,850
SETTLEMENT	7,084,800,000
STATUS_TYPE	5
TAXRATE	320
TRADE	7,084,800,000
TRADE_HISTORY	17,003,516,752
TRADE_REQUEST	0
TRADE_TYPE	5
WATCH_ITEM	41,009,250
WATCH_LIST	410,000
ZIP_CODE	14,741

**Table 2-1. Initial Cardinality of Tables** 

## **Distribution of Tables and Logs**

The distribution of tables, partitions and logs across all media must be explicitly depicted for the measured and priced configurations.

The x3950 M2 has 14 PCI-E slots. Two Emulex 8Gb Fibre Channel Dual-Port HBA for IBM System x were put in PCI-E slots 2 and 9 while two Emulex 8Gb Fibre Channel Single-Port HBA for IBM System x were put in PCI-E slots 6 and 13. Three IBM System Storage DS4800s were used in this benchmark. The DS4800 has two controllers: the top controller A and the bottom controller B.

The dual-port HBA in PCI-E slot 2 were connected to controllers A and B of the first DS4800 and Dual-port HBA in PCI-E slot 9 were connected to controllers A and B of the third DS4800. The single-port HBA in PCI-E slot 6 was connected to the top controller A of the second DS4800 while the single-port HBA in PCI-E slot 13 was connected to the bottom controller B of the second DS4800.

In the priced configuration all the drives are 73.4GB 15K rpm 4Gbps Fibre Channel drives. In the measured configuration, the 1<sup>st</sup>, 5<sup>th</sup>, 11<sup>th</sup>, 15<sup>th</sup>, 19<sup>th</sup>, and 22th 24-Disk RAID-5 arrays have all 73.4GB 15K rpm 4Gbps Fibre Channel drives. The other sixteen 24-disk RAID-5 arrays have 36.4GB 15K rpm 4Gbps Fibre Channel drives. Figure 2-2 and Figure 2-3 depict the database configuration of the measured and priced systems to meet the 8-hour steady state requirement.

One IBM ServeRAID-MR10M SAS Controller was put in PCI-E slot 12, to connect two IBM System Storage EXP3000 with a SAS cable. Each EXP3000 has 8 73.4GB SAS drives. Total 16 drives were used as the log file with RAID-10 and write-through policy.

**Table 2-2. Data Distribution for the Measured Configuration** 

Disk#	Controller #	Slot #	Drives Enclosure model RAID level	Partition/file system	Size	Use
1	1A	2	24 X 73.4GB Fibre	c:\mp\fx1 (RAW)	4.12GB	Fixed 1
			EXP810 Enclosure	c:\mp\gw1 (RAW)	180.68GB	Growing 1
			RAID- 5	c:\mp\bk1: (NTFS)	1376.10GB	Backup1
2	1A	2	24 X 36.4GB Fibre	c:\mp\fx2 (RAW)	4.12GB	Fixed 2
			EXP810 Enclosure	c:\mp\gw2 (RAW)	180.68GB	Growing 2
			RAID-5	c:\mp\bk2: (NTFS)	583.42GB	Backup2
2	1A	2	24 X 36.4GB Fibre	c:\mp\fx3 (RAW)	4.12GB	Fixed 3
			EXP810 Enclosure	c:\mp\gw3 (RAW)	180.68GB	Growing 3
			RAID-5	c:\mp\bk3: (NTFS)	583.42GB	Backup3
4	1A	2	24 X 36.4GB Fibre	c:\mp\fx4 (RAW)	4.12GB	Fixed 4
			EXP810 Enclosure	c:\mp\gw4 (RAW)	180.68GB	Growing 4
			RAID-5	c:\mp\bk4: (NTFS)	583.42GB	Backup4
5	1B	2	24 X 73.4GB Fibre	c:\mp\fx5 (RAW)	4.12GB	Fixed 5
			EXP810 Enclosure	c:\mp\gw5 (RAW)	180.68GB	Growing 5
			RAID-5	c:\mp\bk5: (NTFS)	1376.10GB	Backup5

6	1B	2	24 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx6 (RAW) c:\mp\gw6 (RAW) c:\mp\bk6: (NTFS)	4.12GB 180.68GB 583.42GB	Fixed 6 Growing 6 Backup6
7	1B	2	24 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx7 (RAW) c:\mp\gw7 (RAW) c:\mp\bk7: (NTFS)	4.12GB 180.68GB 583.42GB	Fixed 7 Growing 7 Backup7
8	2A	6	24 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx8 (RAW) c:\mp\gw8 (RAW) c:\mp\bk8: (NTFS)	4.12GB 180.68GB 583.42GB	Fixed 8 Growing 8 Backup8
9	2A	6	24 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx9(RAW) c:\mp\gw9 (RAW) c:\mp\bk9: (NTFS)	4.12GB 180.68GB 583.42GB	Fixed 9 Growing 9 Backup9
10	2A	6	24 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx10(RAW) c:\mp\gw10(RAW) c:\mp\bk10(NTFS)	4.12GB 180.68GB 583.42GB	Fixed 10 Growing 10 Backup10
11	2A	6	24 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx11(RAW) c:\mp\gw11(RAW) c:\mp\bk11(NTFS)	4.12GB 180.68GB 1376.10GB	Fixed 11 Growing 11 Backup11
12	3A	9	24 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx12(RAW) c:\mp\gw12(RAW) c:\mp\bk12(NTFS)	4.12GB 180.68GB 583.42GB	Fixed 12 Growing 12 Backup12
13	3A	9	24 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx13(RAW) c:\mp\gw13(RAW) c:\mp\bk13(NTFS)	4.12GB 180.68GB 583.42GB	Fixed 13 Growing 13 Backup13
14	3A	9	24 X 36.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx14(RAW) c:\mp\gw14(RAW) c:\mp\bk14(NTFS)	4.12GB 180.68GB 583.42GB	Fixed 14 Growing 14 Backup14
15	3A	9	24 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx15(RAW) c:\mp\gw15(RAW) c:\mp\bk15(NTFS)	4.12GB 180.68GB 1376.10GB	Fixed 15 Growing 15 Backup15

	I	I	I	I	1	
16	3B	9	24 X 36.4GB Fibre	c:\mp\fx16(RAW)	4.12GB	Fixed 16
			EXP810 Enclosure	c:\mp\gw16(RAW)	180.68GB	Growing 16
			RAID-5	c:\mp\bk16(NTFS)	583.42GB	Backup16
17	3B	9	24 X 36.4GB Fibre	c:\mp\fx17(RAW)	4.12GB	Fixed 17
			EXP810 Enclosure	c:\mp\gw17(RAW)	180.68GB	Growing 17
			RAID-5	c:\mp\bk17(NTFS)	583.42GB	Backup17
18	3B	9	24 X 36.4GB Fibre	c:\mp\fx18(RAW)	4.12GB	Fixed 18
			EXP810 Enclosure	c:\mp\gw18(RAW)	180.68GB	Growing 18
			RAID-5	c:\mp\bk18(NTFS)	583.42GB	Backup18
19	2B	13	24 X 73.4GB Fibre	c:\mp\fx19(RAW)	4.12GB	Fixed 19
			EXP810 Enclosure	c:\mp\gw19(RAW)	180.68GB	Growing 19
			RAID-5	c:\mp\bk19(NTFS)	1376.10GB	Backup19
20	2B	13	24 X 36.4GB Fibre	c:\mp\fx20(RAW)	4.12GB	Fixed 20
			EXP810 Enclosure	c:\mp\gw20(RAW)	180.68GB	Growing 20
			RAID-5	c:\mp\bk20(NTFS)	583.42GB	Backup20
21	2B	13	24 X 36.4GB Fibre	c:\mp\fx21 (RAW)	4.12GB	Fixed 21
			EXP810 Enclosure	c:\mp\gw21(RAW)	180.68GB	Growing 21
			RAID-5	c:\mp\bk21(NTFS)	583.42GB	Backup21
22	2B	13	24 X 73.4GB Fibre	c:\mp\fx22 (RAW)	4.12GB	Fixed 22
			EXP810 Enclosure	c:\mp\gw22(RAW)	180.68GB	Growing 22
			RAID-5	c:\mp\bk22(NTFS)	1376.10GB	Backup22
23	LSI SAS	12	16 X 73.4GB SAS	E: (RAW)	536.43GB	DB Log
	Controller		EXP3000Enclosure			
			RAID-10			
0	onboard	N/A	2 X 73GB SAS	C: (NTFS)	67.98GB	OS
	LSI		onboard x3950 M2	, , ,		
			RAID-1			
	l			l		

Table 2-3. Data Distribution for the Priced Configuration

Disk#	Controller #	Slot #	Drives Enclosure model RAID level	Partition/file system	Size	Use
1	1A	2	24 X 73.4GB Fibre EXP810 Enclosure RAID- 5	c:\mp\fx1 (RAW) c:\mp\gw1 (RAW) c:\mp\bk1: (NTFS)	4.12GB 180.68GB 1376.10GB	Fixed 1 Growing 1 Backup1
2	1A	2	24 X 73.4GB Fibre EXP810 Enclosure RAID- 5	c:\mp\fx2 (RAW) c:\mp\gw2 (RAW) c:\mp\bk2: (NTFS)	4.12GB 180.68GB 1376.10GB	Fixed 2 Growing 2 Backup2
2	1A	2	24 X 73.4GB Fibre EXP810 Enclosure RAID- 5	c:\mp\fx3 (RAW) c:\mp\gw3 (RAW) c:\mp\bk3: (NTFS)	4.12GB 180.68GB 1376.10GB	Fixed 3 Growing 3 Backup3
4	1A	2	24 X 73.4GB Fibre EXP810 Enclosure RAID- 5	c:\mp\fx4 (RAW) c:\mp\gw4 (RAW) c:\mp\bk4: (NTFS)	4.12GB 180.68GB 1376.10GB	Fixed 4 Growing 4 Backup4
5	1B	2	24 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx5 (RAW) c:\mp\gw5 (RAW) c:\mp\bk5: (NTFS)	4.12GB 180.68GB 1376.10GB	Fixed 5 Growing 5 Backup5
6	1B	2	24 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx6 (RAW) c:\mp\gw6 (RAW) c:\mp\bk6: (NTFS)	4.12GB 180.68GB 1376.10GB	Fixed 6 Growing 6 Backup6
7	1B	2	24 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx7 (RAW) c:\mp\gw7 (RAW) c:\mp\bk7: (NTFS)	4.12GB 180.68GB 1376.10GB	Fixed 7 Growing 7 Backup7
8	2A	6	24 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx8 (RAW) c:\mp\gw8 (RAW) c:\mp\bk8: (NTFS)	4.12GB 180.68GB 1376.10GB	Fixed 8 Growing 8 Backup8
9	2A	6	24 X 73.4GB Fibre EXP810 Enclosure RAID-5	c:\mp\fx9(RAW) c:\mp\gw9 (RAW) c:\mp\bk9: (NTFS)	4.12GB 180.68GB 1376.10GB	Fixed 9 Growing 9 Backup9

10	2A	6	24 X 73.4GB Fibre	c:\mp\fx10(RAW)	4.12GB	Fixed 10
			EXP810 Enclosure	c:\mp\gw10(RAW)	180.68GB	Growing 10
			RAID-5	c:\mp\bk10(NTFS)	1376.10GB	Backup10
11	2A	6	24 X 73.4GB Fibre	c:\mp\fx11(RAW)	4.12GB	Fixed 11
			EXP810 Enclosure	c:\mp\gw11(RAW)	180.68GB	Growing 11
			RAID-5	c:\mp\bk11(NTFS)	1376.10GB	Backup11
						-
12	3A	9	24 X 73.4GB Fibre	c:\mp\fx12(RAW)	4.12GB	Fixed 12
			EXP810 Enclosure	c:\mp\gw12(RAW)	180.68GB	Growing 12
			RAID-5	c:\mp\bk12(NTFS)	1376.10GB	Backup12
						1
13	3A	9	24 X 73.4GB Fibre	c:\mp\fx13(RAW)	4.12GB	Fixed 13
			EXP810 Enclosure	c:\mp\gw13(RAW)	180.68GB	Growing 13
			RAID-5	c:\mp\bk13(NTFS)	1376.10GB	Backup13
				1 (1 (1 (1 (1 (1 (1 (1 (1 (1 (1 (1 (1 (1		
14	3A	9	24 X 73.4GB Fibre	c:\mp\fx14(RAW)	4.12GB	Fixed 14
			EXP810 Enclosure	c:\mp\gw14(RAW)	180.68GB	Growing 14
			RAID-5	c:\mp\bk14(NTFS)	1376.10GB	Backup14
						1
15	3A	9	24 X 73.4GB Fibre	c:\mp\fx15(RAW)	4.12GB	Fixed 15
			EXP810 Enclosure	c:\mp\gw15(RAW)	180.68GB	Growing 15
			RAID-5	c:\mp\bk15(NTFS)	1376.10GB	Backup15
16	3B	9	24 X 73.4GB Fibre	c:\mp\fx16(RAW)	4.12GB	Fixed 16
			EXP810 Enclosure	c:\mp\gw16(RAW)	180.68GB	Growing 16
			RAID-5	c:\mp\bk16(NTFS)	1376.10GB	Backup16
17	3B	9	24 X 73.4GB Fibre	c:\mp\fx17(RAW)	4.12GB	Fixed 17
			EXP810 Enclosure	c:\mp\gw17(RAW)	180.68GB	Growing 17
			RAID-5	c:\mp\bk17(NTFS)	1376.10GB	Backup17
18	3B	9	24 X 73.4GB Fibre	c:\mp\fx18(RAW)	4.12GB	Fixed 18
			EXP810 Enclosure	c:\mp\gw18(RAW)	180.68GB	Growing 18
			RAID-5	c:\mp\bk18(NTFS)	1376.10GB	Backup18
19	2B	13	24 X 73.4GB Fibre	c:\mp\fx19(RAW)	4.12GB	Fixed 19
			EXP810 Enclosure	c:\mp\gw19(RAW)	180.68GB	Growing 19
			RAID-5	c:\mp\bk19(NTFS)	1376.10GB	Backup19
						1

20	2B	13	24 X 73.4GB Fibre	c:\mp\fx20(RAW)	4.12GB	Fixed 20
			EXP810 Enclosure	c:\mp\gw20(RAW)	180.68GB	Growing 20
			RAID-5	c:\mp\bk20(NTFS)	1376.10GB	Backup20
21	2B	13	24 X 73.4GB Fibre	c:\mp\fx21 (RAW)	4.12GB	Fixed 21
			EXP810 Enclosure	c:\mp\gw21(RAW)	180.68GB	Growing 21
			RAID-5	c:\mp\bk21(NTFS)	1376.10GB	Backup21
22	2B	13	24 X 73.4GB Fibre	c:\mp\fx22 (RAW)	4.12GB	Fixed 22
			EXP810 Enclosure	c:\mp\gw22(RAW)	180.68GB	Growing 22
			RAID-5	c:\mp\bk22(NTFS)	1376.10GB	Backup22
23	LSI SAS	12	16 X 73.4GB SAS	E: (RAW)	536.43GB	DB Log
	Controller		EXP3000Enclosure			
			RAID-10			
0	onboard LSI	N/A	2 X 73GB SAS	C: (NTFS)	67.98GB	OS
			onboard x3950 M2			
			RAID-1		_	
0		N/A	2 X 73GB SAS onboard x3950 M2	C: (NTFS)	67.98GB	OS

## **Database Interface and Model Implemented**

A statement must be provided in the **Report** that describes:

- The Database Interface (e.g., embedded, call level) and access language (e.g., SQL, COBOL read/write) used to implement the TPC-E Transactions. If more than one interface / access language is used to implement TPC-E, each interface / access language must be described and a list of which interface /access language is used with which Transaction type must be reported.
- The data model implemented by the **DBMS** (e.g., relational, network, hierarchical).

Microsoft SQL Server 2008 Enterprise x64 Edition is a relational database. The interface used was Microsoft SQL Server stored procedures accessed with Remote Procedure Calls embedded in C++ code using the Microsoft ODBC interface.

# **Database Load Methodology**

The methodology used to load the database must be reported in the Report.

The database was loaded using the –l ODBC option on the EGenLoader command line. This direct loads into a Microsoft SQL Server database. A further description is provided in the SupportingFiles in the file MSTPCE Database Setup Reference.pdf.

## **Clause 3 – Transaction Related Items**

# **Vendor-Supplied Code**

A statement that vendor-supplied code is functionally equivalent to Pseudo-code in the specification (see Clause 3.2.1.5) must be reported.

The SQL in the stored procedures for the transactions is functionally equivalent to the pseudo-code.

## **Database Footprint of Transactions**

A statement that the database footprint requirements (as described in Clause 3.3) were met must be reported. .

The database footprint requirements are met by the stored procedure code for the transactions.

## Clause 4 – SUT, Driver and Network Related Items

## EGen Instances

The number of EGenDriverMEE and EGenDriverCE instances used in the benchmark must be reported (see Clause 4.1.3).

A total of 660 EGenDriverCE instances was used in the benchmark. Two EGenDriverMEE instances were used in the benchmark.

## **Network Configuration**

The Network configurations of both the measured and priced configurations must be described and reported. This includes the mandatory Network between the Driver and Tier A (see Clause 4.2.2) and any optional Database Server interface networks (see Clause 4.1.3.12).

The Network configurations of both the measured and priced configurations were the same. The Driver machine used one of the ports on the onboard dual-port Gigabit Ethernet card. The port's IP address was 192.168.200.12. The port was connected to the first D-Link switch via an Ethernet cable. Other Ethernet cables ran from the first D-Link Switch to a port on the onboard dual-port Gigabit Ethernet card in the IBM System x3500 machines that were used as the Tier A machines. That port on one x3500 was configured with the IP address 192.168.200.7, and 192.168.200.8 on the other x3500. The network connection between the port with IP address 192.168.200.12 on the Driver and the ports with IP addresses 192.168.200.7 and 192.168.200.8 on the Tier A machines was the mandatory network.

There was also a network connection between the Tier A machines and the Tier B machine. The Tier B machine was the x3950 M2. The port on the NetXtreme II 1000 Express Ethernet Adapter in the x3950 M2 was configured with IP address 192.168.200.101/102 and was connected via an Ethernet cable to the first D-Link switch.

Tier A used ODBC calls to Tier B.

## Clause 5 – EGen Related Items

## **EGen Version**

*The version of EGen used in the benchmark must be reported (see Clause 5.3.1).* 

EGen v1.4.0 was used in the benchmark.

## **EGen Code and Modifications**

A statement that all required TPC-provided EGen code was used in the benchmark must be reported. If the Test Sponsor modified EGen, a statement EGen has been modified must be reported in the Report. All formal waivers from the TPC documenting the allowed changes to EGen must also be reported. If any of the changes to EGen do not have a formal waiver, that must also be reported. If the Test Sponsor extended EGenLoader, the use of the extended EGenLoader and the audit of the extension code by an Auditor must be reported (see Clause 5.7.3).

All required TPC-provided EGen code was used in the benchmark. EGen was not modified for use in this benchmark. EGenLoader was not extended for this benchmark.

# Clause 6 – Performance Metrics and Response Time Related Items

## **Measured Throughput**

The Measured Throughput must be reported (see Clause 6.7.1.2).

Measured tpsE: 804.00 tpsE

Price per tpsE: \$1,450.05 USD per tpsE

## Throughput vs. Elapsed Time for Trade-Result Transaction

A Test Run Graph of throughput versus elapsed wall clock time must be reported for the Trade-Result Transaction (see Clause 6.7.2).

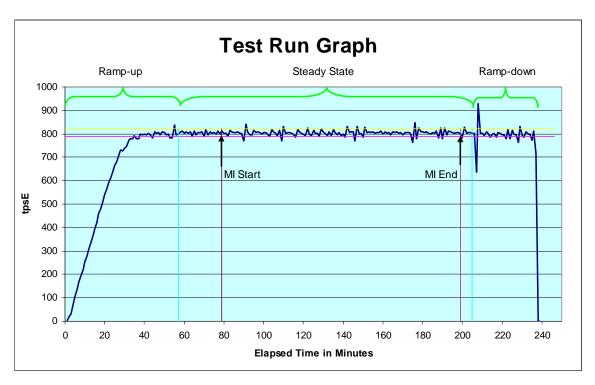


Figure 6-1. Test Run Graph

# **Steady State Methodology**

The method used to determine that the SUT had reached a Steady State prior to commencing the Measurement Interval must be reported.

During the run, observation of the tpsE as the benchmark ran was used to determine steady state. After the run, Steady State was confirmed by:

1. Looking at the Test Run Graph and verifying that tpsE was steady prior to commencing the Measurement Interval.

- 2. Calculated 60-minute average tpsE during the Steady State moving the time window 10 minutes each time. Then confirmed that the minimum 60-minute average tpsE was not less than 98% of the Reported Throughput, and that the maximum 60-minute average tpsE was not greater than 102% of the Reported Throughput.
- 3. Calculated 10-minute average tpsE during the Steady State moving the window 1 minute each time. Then confirmed that the minimum 10-minute average tpsE was not less than 80% of the Reported Throughput, and the maximum 10-minute average tpsE was not greater than 120% of the Reported Throughput.

**Note:** At the end of Steady State, the Windows Performance Monitor was run to gather physical disk performance data so that the performance of the priced 73.4GB-disk arrays could be compared to the measured 36.4GB-disk arrays.

# **Work Performed during Steady State**

A description of how the work normally performed during a Test Run, actually occurred during the Measurement Interval must be reported (e.g., checkpointing, writing Undo/Redo Log records).

Checkpoints were run once every 7 ½ minutes. Data-Maintenance was run every 58 seconds.

## **Transaction Statistics**

The recorded averages over the Measurement Interval for each of the Transaction input parameters specified by clause 6.4.1 must be reported.

Table 6-1 contains the transaction statistics.

Input Parameter	Value	Actul Pct	Required Range			
Customer-Position Customer-Position						
by_tax_id	1	49.98%	48% to 52%			
get_history	1	50.02%	48% to 52%			
Market-Watch						
	Watch list	60.04%	57% to 63%			
Securities chosen by	Account ID	34.97%	33% to 37%			
	Industry	4.99%	4.5% to 5.5%			
Security-Detail						
access_lob	1	0.99%	0.9% to 1.1%			
Trade-Lookup						
	1	29.97%	28.5% to 31.5%			
frame_to_execute	2	30.03%	28.5% to 31.5%			
name_to_execute	3	30.02%	28% to 31.5%			
	4	9.98%	9.5% to 10.5%			
Trade-Order						
Transactions requested by a third party		10.00%	9.5% to 10.5%			
Security chosen by company name and issue		40.00%	38% to 42%			
type_is_margin	1	8.00%	7.5% to 8.5%			
roll_it_back	1	0.99%	0.94% to 1.04% (*)			
is_lifo	1	34.97%	33% to 37%			
	100	24.98%	24% to 26%			
trade_qty	200	25.02%	24% to 26%			
traue_qty	400	25.02%	24% to 26%			
	800	24.98%	24% to 26%			
	TMB	29.97%	29.7% to 30.3%			
	TMS	30.05%	29.7% to 30.3%			
trade_type	TLB	19.98%	19.8% to 20.2%			
	TLS	10.00%	9.9% to 10.1%			
	TSL	10.00%	9.9% to 10.1%			
Trade-Update						
	1	33.01%	31% to 35%			
frame_to_execute	2	33.03%	31% to 35%			
	3	33.96%	32% to 36%			

**Table 6-1. Transaction Statistics** 

## Clause 7 – Transaction and System Properties Related Items

The ACID (Atomicity, Consistency, Isolation, and Durability) properties of transaction processing systems must be supported by the System Under Test during the running of this benchmark. It is the intent of this section to define the ACID properties informally and to specify a series of tests that must be performed to demonstrate that these properties are met.

## **Atomicity Requirements**

The system under test must guarantee that database transactions are atomic; the system will either perform all individual operations on the data, or will assure that no partially completed operations leave any effects on the data.

All ACID tests were conducted according to specification. The following steps were performed to verify the Atomicity of the Trade-Order transactions.

Perform a market Trade-Order Transaction with the roll\_it\_back flag set to false. Verify that the appropriate rows have been inserted in the TRADE and TRADE\_HISTORY tables.

Perform a market Trade-Order Transaction with the roll\_it\_back flag set to true. Verify that no rows associated with the rolled back Trade-Order have been added to the TRADE and TRADE\_HISTORY tables.

**Execution of Atomicity Tests** 

- 1. Open a command prompt.
- 2. Change to mstpce.1.4.0-1005\ACID\Atomicity directory.
- 3. Run Atomicity.cmd
- 4. The output will be in Atomicity C.out and Atomicity RB.out

Atomicity.cmd runs a Trade-Order with a commit and notes the new  $T_ID$ . Then it does a select on TRADE and TRADE\_HISTORY to return the rows in those tables with the new  $T_ID$ .

Atomicity.cmd runs a Trade-Order with a roll back and notes the new T\_ID. Then it does a select on TRADE and TRADE\_HISTORY to return the rows in those tables with the new T\_ID. No rows should be returned.

## **Consistency Requirements**

Consistency is the property of the application that requires any execution of a database transaction to take the database from one consistent state to another. A TPC-E database when first populated by EGenLoader must meet these consistency conditions. The three consistency conditions must be tested after initial database population and after any Business Recovery tests.

Consistency conditions 1, 2 and 3 were tested using a batch file to issue queries to the database after the database was loaded and after the Business Recovery Test. The results of the queries demonstrated that the database was consistent for all three tests.

The specific procedure was:

- 1. Open a command prompt.
- 2. Change to the MSTPCE.1.4.0-1005\ACID\Consistency directory.
- 3. Run Consistency.cmd

### 4. The output will be in Consistency.out

Entries in the BROKER and TRADE tables must satisfy the relationship: B\_NUM\_TRADES = count(\*)

For each broker defined by:

 $(B\_ID = CA\_B\_ID)$  and  $(CA\_ID = T\_CA\_ID)$  and  $(T\_ST\_ID = `CMPT')$ 

 ${\it Entries in the BROKER and TRADE\ tables\ must\ satisfy\ the\ relationship:}$ 

 $B\_COMM\_TOTAL = SUM(T\_COMM)$ 

For each broker defined by:

 $(B\_ID = CA\_B\_ID)$  and  $(CA\_ID = T\_CA\_ID)$  and  $(T\_ST\_ID = `CMPT')$ 

 $Entries\ in\ the\ HOLDING\_SUMMARY\ and\ HOLDING\ tables\ must\ satisfy\ the\ relationship:$ 

 $HS\_QTY = sum(H\_QTY)$ 

For each holding summary defined by:

 $(HS\_CA\_ID = H\_CA\_ID)$  and  $(HS\_S\_SYMB = H\_S\_SYMB)$ 

## **Isolation Requirements**

Systems that implement Transaction isolation using a locking and/or versioning scheme must demonstrate compliance with the isolation requirements by executing the tests described in Clause 7.4.2.

#### **Execution of Isolation Test #1 (P3 Test in Read-Write)**

The isolation tests require that you use the SQL Server Management Studio. You are required to copy values from one session to another and the Management Studio facilitates this. The instructions below assume that you are using the Management Studio.

- 1. Open the SQL Server Management Studio.
- 2. Open MSTPCE.1.4.0-1005\ACID\Isolation\Scripts\Isolation1\_S1.sql in the Management Studio. When prompted, connect to the database server.
- 3. Ctrl-T to convert results to text.
- 4. Open MSTPCE.1.4.0-1005\ACID\Isolation\Scripts\Isolation1\_S2.sql in the Management Studio. When prompted, connect to the database server.
- 5. Ctrl-T to convert results to text.
- 6. Open MSTPCE.1.4.0-1005\ACID\Isolation\Scripts\Isolation1\_S3.sql in the Management Studio. When prompted, connect to the database server.
- 7. Ctrl-T to convert results to text.
- 8. Open MSTPCE.1.4.0-1005\ACID\Isolation\Scripts\Isolation1\_S4.sql in the Management Studio. When prompted, connect to the database server.
- 9. Ctrl-T to convert results to text.
- 10. Execute Isolation1 S1.
- 11. Scroll to the bottom of the Results window and record the "Trade ID Returned."
- 12. Copy the Customer Account Used to the @acct\_id variable near the top of Isolation 1 S2.
- 13. Copy the Symbol Used to the @symbol variable near the top of Isolation1\_S2.
- 14. Execute Isolation 1 S2.
- 15. Scroll to the bottom of the Results window and record the "Trade ID Returned."
- 16. Copy the Trade ID Used in the Isolation1\_S1 results window to the @trade\_id variable near the top of Isolation1\_S3.
- 17. Copy the Trade ID Used in the Isolation1\_S2 results window to the @trade\_id variable near the top of Isolation1\_S4.
- 18. Execute Isolation1\_S3 and then immediately execute Isolation1\_S4. Note that the SQL code and the instrumented stored procedure will do the appropriate pausing as required in the specification.

## Verification of Isolation Test #1 (P3 Test in Read-Write)

- 1. Record the "Holding Summary After First Execution of Trade Result Frame 1": value of HS\_QTY. This is found near the top of the results window of Isolation 1 S3. Verify that this is set to 0.
- 2. Record the "Holding Summary After Second Execution of Trade Result Frame 1": value of HS\_QTY. This is found near the top of the results window of Isolation1\_S3. Verify that this is set to 0.
- 3. Record the "Holding Summary After Trade Result Frame 1": value of HS\_QTY. This is found near the top of the results window of Isolation1\_S4. Verify that this is set to 0.
- 4. The Trade-Result in S4 completed, and the Trade-Result in S3 was selected as a deadlock victim.

#### Execution of Isolation Test #2 (P2 Test in Read-Write)

The isolation tests require that you use the SQL Server Management Studio. You are required to copy values from one session to another and the Management Studio facilitates this. The instructions below assume that you are using the Management Studio.

1. Open the SQL Server Management Studio.

- 2. Open MSTPCE.1.4.0-1005\ACID\Isolation\Scripts\Isolation2\_S1.sql in the Management Studio. When prompted, connect to the database server.
- 3. Ctrl-T to convert results to text.
- 4. Open MSTPCE.1.4.0-1005\ACID\Isolation\Scripts\Isolation2\_S2.sql in the Management Studio. When prompted, connect to the database server.
- 5. Ctrl-T to convert results to text.
- 6. Open MSTPCE.1.4.0-1005\ACID\Isolation\Scripts\Isolation2\_S3.sql in the Management Studio. When prompted, connect to the database server.
- 7. Ctrl-T to convert results to text.
- 8. Open MSTPCE.1.4.0-1005\ACID\Isolation\Scripts\Isolation2\_S4.sql in the Management Studio. When prompted, connect to the database server.
- 9. Ctrl-T to convert results to text.
- 10. Execute Isolation2 S1.
- 11. Scroll to the bottom of the Results window of Isolation2\_S1 and record the "Holding Summary Quantity" and the "Trade ID Returned."
- 12. Copy the Customer Account Used from the Results window of Isolation2\_S1 to the @acct\_id variable near the top of Isolation2\_S2.
- 13. Copy the Symbol Used from the Results window of Isolation2\_S1 to the @symbol variable near the top of Isolation2\_S2.
- 14. Execute Isolation2 S2.
- 15. Scroll to the bottom of the Results window of Isolation2\_S2 and record the Trade ID Returned.
- 16. Copy the Trade ID Used in the Isolation2\_S1 results window to the @trade\_id variable near the top of Isolation2\_S3.
- 17. Copy the Trade ID Used in the Isolation2\_S2 results window to the @trade\_id variable near the top of Isolation2\_S4.
- 18. Execute Isolation2\_S3 and then immediately execute Isolation2\_S4. Note that the SQL code and the instrumented stored procedure will do the appropriate pausing as required in the specification

### **Verification of Isolation Test #2 (P2 Test in Read-Write)**

- 1. Record the "Holding Summary After First Execution of Trade Result Frame 1": value of HS\_QTY. This is found near the top of the results window of Isolation 2S3.
- 2. Record the "Holding Summary After Second Execution of Trade Result Frame 1": value of HS\_QTY. This is found near the top of the results window of Isolation2\_S3. This value should match the value returned in step 1 above.
- 3. Record the "Holding Summary After Trade Result Frame 1": value of HS\_QTY. This is found near the top of the results window of Isolation2\_S4. This value should match the value returned in step 1 above.
- The Trade-Result in S4 ran to completion. The Trade-Result in S3 was selected as a deadlock victim.

#### **Execution of Isolation Test #3 (P1 Test in Read-Write)**

The isolation tests require that you use the SQL Server Management Studio. You are required to copy values from one session to another and the Management Studio facilitates this. The instructions below assume that you are using the Management Studio.

- 1. Open the SQL Server Management Studio.
- 2. Open MSTPCE.1.4.0-1005\ACID\Isolation\Scripts\Isolation3\_S1.sql in the Management Studio. When prompted, connect to the database server.
- 3. Ctrl-T to convert results to text.
- 4. Open MSTPCE.1.4.0-1005\ACID\Isolation\Scripts\Isolation3\_S2.sql in the Management Studio. When prompted, connect to the database server.
- 5. Ctrl-T to convert results to text.
- 6. Open MSTPCE.1.4.0-1005\ACID\Isolation\Scripts\Isolation3\_S3.sql in the Management Studio. When prompted, connect to the database server.
- 7. Ctrl-T to convert results to text.

- 8. Execute Isolation3\_S1. This script will initiate the Customer Position and execute two Trade Orders for the remainder of this isolation test to access.
- 9. Scroll to the bottom of the Results window of Isolation3\_S1 and record the "Customer ID Used" and the "Customer Account Balance."
- 10. Copy the first Trade ID Returned from Isolation3\_S1 to the top of Isoaltion3\_S2.sql.
- 11. Copy the Customer Account Used from Isolation3\_S1 to the top of Isolation3\_S2.sql.
- 12. Copy the second Trade ID Returned from Isolation3\_S1 to the top of Isolation3\_S3.sql.
- 13. Copy the Customer Account Used from Isolation3\_S1 to the top of Isolation3\_S3.sql.
- 14. Execute Isolation3\_S2, then immediately execute Isolation3\_S3. Note that the SQL code and the instrumented stored procedure will do the appropriate pausing as required in the specification.
- 15. Scroll to the bottom of the Results window of Isolation3\_S2 and record the Customer Account Balance and the Settlement Amount.
- 16. Scroll to the bottom of the Results window of Isolation3\_S3 and record the Customer Account Balance and the Settlement Amount.

#### **Verification of Isolation Test #3 (P1 Test in Read-Write)**

- 1. Record the Customer Account Balance from the bottom of the Results window of Isolation 3S1.
- 2. Record the Customer Account Balance and the Settlement Amount from the bottom of the Results window of Isolation 3 S2.
- 3. Record the Customer Account Balance and the Settlement Amount from the bottom of the Results window of Isolation 3 S3.
- 4. Since the Trade Result in Isolation3\_S3 blocks until Isolation3\_S2 completes, you may verify the results as follows:
  - a. CA\_BAL (from Isolation3\_S1) + Settlement Amount (from Isolation3\_S2) + Settlement Amount (from Isolation3\_S3) = Customer Account Balance (from Isolation3\_S3)

#### **Execution of Isolation Test #4 (P1 Test in Read-Only)**

The isolation tests require that you use the SQL Server Management Studio. You are required to copy values from one session to another and the Management Studio facilitates this. The instructions below assume that you are using the Management Studio.

- 1. Open the SQL Server Management Studio.
- 2. Open MSTPCE.1.4.0-1005\ACID\Isolation\Scripts\Isolation4\_S1.sql in the Management Studio. When prompted, connect to the database server.
- 3. Ctrl-T to convert results to text.
- 4. Open MSTPCE.1.4.0-1005\ACID\Isolation\Scripts\Isolation4\_S2.sql in the Management Studio. When prompted, connect to the database server.
- 5. Ctrl-T to convert results to text.
- $6. \quad Open \ MSTPCE.1.4.0-1005 \\ A CID \\ Isolation \\ Scripts \\ Isolation \\ 4\_S3.sql \ in \ the \ Management \ Studio. \\ When \ prompted, \ connect \ to \ the \ database \ server.$
- 7. Ctrl-T to convert results to text.
- 8. Execute Isolation4\_S1. This script will initiate the Customer Position and execute a Trade Order for the remainder of this isolation test to access.
- 9. Scroll to the bottom of the Results window of Isolation4\_S1 and record the "Customer ID Used," "Customer Account Used," "Customer Account Balance," and the "Trade ID Returned."
- 10. Copy the "Customer Account Used" from the Results window of Isolation4\_S1 to the @acct\_id variable near the top of Isolation4\_S2.
- 11. Copy the "Trade ID Returned" from the Results window of Isolation4\_S1 to the @trade\_id variable near the top of Isolation4\_S2.
- 12. Copy the "Customer ID" from the Results window of Isolation4\_S1 to the @cust\_id variable near the top of Isolation4\_S3.
- 13. Copy the "Customer Account Used" from the Results window of Isolation4\_S1 to the @acct\_id variable near the top of Isolation4\_S3.

14. Execut Isolation4\_S2 and after a few seconds to make sure Isolation4\_S2 has started execute Isolation4\_S3.

### **Verification of Isolation Test #4 (P1 Test in Read-Only)**

- 1. Record the Customer Account Balance from the bottom of the Results window of Isolation S1.
- 2. Record the Customer Account Balance and the Settlement Amount from the bottom of the Results window of Isolation 4 S2.
- 3. Record the Customer Account Balance from the bottom of the Results window of Isolation4\_S3.
- 4. Since the Customer Position in Isolation4\_S3 blocks until Isolation4\_S2 completes, you may verify the results as follows:
  - a. CA\_BAL (from Isolation4\_S1) + Settlement Amount (from Isolation4\_S2) = Customer Account Balance (from Isolation4\_S3)

## **Durability Requirements**

The tested system must guarantee durability: the ability to preserve the effects of committed transactions and ensure database consistency after recovery from any one of the failures listed in Clauses 7.5.2.2, 7.5.2.3 and 7.5.2.4.

- *V* Permanent irrecoverable failure of any single durable medium
- V Instantaneous interruption (system crash/system hang) in processing that requires system reboot to recover
- *v* Failure of all or part of memory (loss of contents)
- *V* Loss of all external power to the SUT for an indefinite time period (power failure). This must include at least all portions of the SUT that participate in the database portions of Transactions.

### **Durability Test for Data Accessibility**

This benchmark result used Redundancy Level 1.

To prove Redundancy Level 1, the following steps were successfully performed. The test for Redundancy Level 1 is the test for Permanent Irrecoverable Failure of any single Durable Medium.

- 1. Determine the current number of completed trades in the database by running: select count(\*) as count1 from SETTLEMENT
- 2. Start submitting Transactions and ramp up to the Durability Throughput Requirements (as defined in Clause 7.5.3) and satisfy those requirements for at least 5 minutes.
- 3. Induce the failure described for the redundancy level being demonstrated. In this case fail a disk in a database data array. Then wait 20 minutes or so to allow Durability Throughput Requirements to be met again, and then fail a disk in the database log array. Transactions should continue processing since the database log array has mirrored drives and the database data array uses RAID-5.
- 4. Begin the necessary recovery process, by replacing the failed drives in the database log array and the database data array. A rebuild on each replaced drive should start automatically.
- 5. Continue running the Driver for 20 minutes.
- 6. Terminate the run gracefully from the Driver.
- 7. Retrieve the new number of completed trades in the database by running: *select count(\*) as count2 from SETTLEMENT*
- 8. Compare the number of executed Trade-Result Transactions on the Driver to (count2 count1). Verify that (count2 count1) is equal to the number of successful Trade-Result Transaction records in the Driver log file.
- 9. Allow recovery process to complete as needed.

Following is a graph of the measured throughput versus elapsed time that must be reported for the run portions of the Data Accessibility tests:

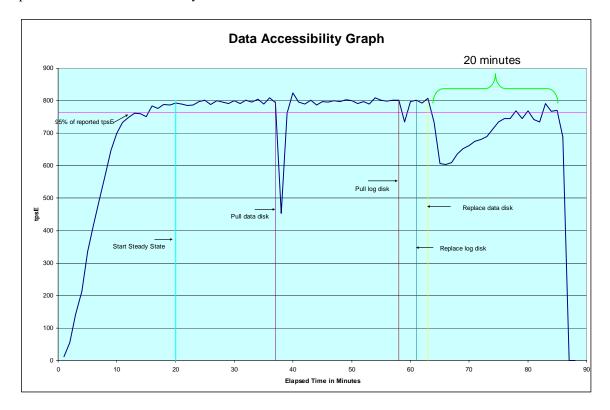


Figure 7-1. Data Accessibility Graph

### **Durability Test Procedure for Catastrophic Failures**

The tests for "Instantaneous interrupt," "Failure of all or part of memory," and "Loss of external power to the SUT" were combined.

Note: A UPS has been priced for the log controller.

The following steps were successfully performed to meet the Durability Throughput Requirements of Clause 7.5.3:

- 1. Determine the current number of completed trades in the database by running: select count(\*) as count1 from SETTLEMENT
- 2. Start submitting Transactions and ramp up to the Durability Throughput Requirements (as defined in Clause 7.5.3) and satisfy those requirements for at least 20 minutes.
- 3. Induce all of the Catastrophic failures, in Clause 7.5.2.2, 7.5.2.3 and 7.5.2.4, by pulling the power cords from the x3950 M2. At the same time remove the power cord from the back of the two x3500s to fail the Tier A machines at the same time as the Tier B machine.
- 4. Stop the Driver.
- 5. Re-power and restart the x3950 M2. Re-power and restart the x3500s.
- 6. On the x3950 M2 when Windows has started run StartSQLdashX.bat to start SQL Server and database recovery. SQL Server writes timestamps out to the errorlog when it is started. This timestamp can be used as the time when Business Recovery starts (see Clause 7.5.6.4).
- 7. Once the SUT will accept Transactions, start submitting Transactions and ramp up to a Durability Throughput Requirements (as defined in Clause 7.5.3) and satisfy those requirements for at least 20 minutes.
- 8. Note this time as the end of Business Recovery (see Clause 7.5.6.6).

- 9. Terminate the Driver gracefully.
- 10. Verify that no errors were reported by the Driver during steps 7 through 9.
- 11. Retrieve the new number of completed trades in the database by running: *select count(\*) as count2 from SETTLEMENT*
- 12. Compare the number of completed Trade-Result Transactions on the Driver to (count2 count1). Verify that (count2 count1) is greater than or equal to the aggregate number of successful Trade-Result Transaction records in the Driver log file for the runs performed in step 2 and step 7. If there is an inequality, the SETTLEMENT table must contain additional records and the difference must be less than or equal to the maximum number of Transactions that can be simultaneously in-flight from the Driver to the SUT. This number is specific to the implementation of the Driver and configuration settings at the time of the crash.
- 13. Verify consistency conditions as specified in Clause 7.3.1.1.

The Business Recovery Time was 01:16:16.

Following is a graph of the measured throughput versus elapsed time that must be reported for the run portions of the Business Recover Time test:

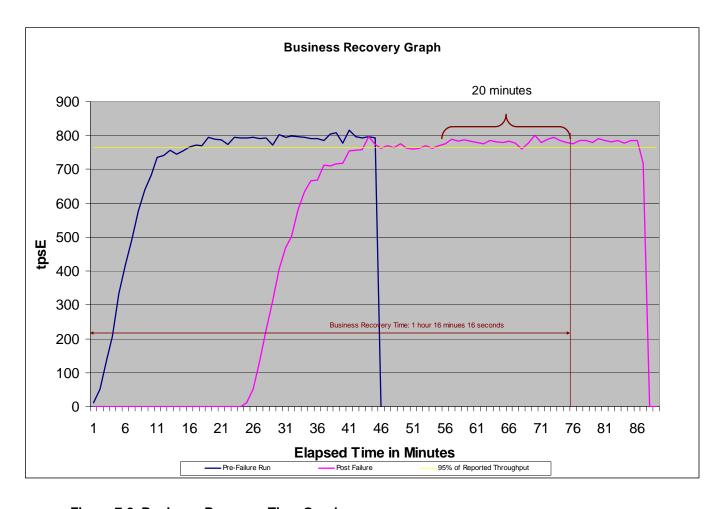


Figure 7-2. Business Recovery Time Graph

# **Clause 8 – Pricing Related Items**

## **60-Day Space**

Details of the 60-Day Space computations (see Clause 8.2.2) along with proof that the database is configured to sustain a Business Day of growth (see Clause 6.6.6.1) must be reported.

**Table 8-1 Disk Space Requirements** 

Customers Used	410,000	Performance	90.4	TpsE					
	Initial Rows	Data (KB)	Index size (KB)	Extra 5% (KB)	Total + 5% (KB)	After run (KB)	Growth (KB)	Bus. Day Growth (KB)	Req. Add. (KB)
BROKER	4,100	232	472 1,364,808	35 32,359,408	739	952 668,942,512	248	451	451
CASH_TRANSACTION	6,518,041,632	645,823,360			679,547,576		21,754,344	39,476,758	39,476,758
CHARGE	15	8	8	1		16	-	-	1
COMMISSION_RATE	240	16	16	2		32	20.252.075	25.051.007	25.051.007
SETTLEMENT TRADE	7,084,800,000 7,084,800,000	347,681,608 785,724,056	733,984 421,139,480	17,420,780 60,343,177	365,836,372 1,267,206,713	368,778,568 1,228,407,552	20,362,976	36,951,897 39,095,084	36,951,897 39,095,084
TRADE_HISTORY	17,003,516,752	487,556,168	1,271,232	24,441,370	513,268,770	491,205,208	2,377,808	4,314,916	4,314,916
TRADE_REQUEST	17,003,516,752	487,556,168	1,2/1,232	24,441,370	513,268,770	491,205,208 17,976	17,976	4,314,916	4,314,916 32,621
		-		52		1,040			
TRADE_TYPE	5	8	1,032	32	1,092	1,040	-	-	52
Customer File Group  ACCOUNT PERMISSION	2,911,059	291,224	1,640	14,643	307,507	292,864			14,643
CUSTOMER	410,000	69,512	1,640	4,378	91,930	292,864 87,608	- 56	102	4,378
	2,050,000							30	
CUSTOMER_ACCOUNT CUSTOMER TAXRATE	2,050,000 820,000	190,424 17,152	226,112 392	20,827 877	437,363 18,421	416,552 17,736	16 192	349	20,827 877
HOLDING	362,811,389	19,338,680	14,340,800	1,683,974	35,363,454	49,908,384	16,228,904	29,449,958	29,449,958
HOLDING_HISTORY	9,494,782,870	345,264,944	179,935,232	26,260,009	551,460,185	527,821,512	2,621,336	4,756,836	4,756,836
HOLDING_HISTORY HOLDING SUMMARY	20,397,513	693,808	2,720	34,826	731,354	1,395,216	698,688	1,267,882	1,267,882
WATCH ITEM	41,009,250	1,126,856	4,320	56,559	1,187,735	1,393,216	376	1,267,882	56,559
WATCH_ITEM WATCH LIST	41,009,230	1,126,836	4,320 8,536	940	1,187,733	1,131,332	3/6	- 083	940
	410,000	10,236	6,530	940	19,732	18,792	-	-	940
Market File Group COMPANY	205,000	44,632	12,352	2,849	59,833	57,016	32	59	2,849
COMPANY_COMPETITOR	615,000	16,592	13,616	1,510	31,718	30,208	32	39	1,510
DAILY_MARKET	366,509,250	18,834,120	7,984,640	1,340,938	28,159,698	26,820,520	1.760	3,194	1,340,938
EXCHANGE	300,309,230	18,834,120	7,564,040	1,340,538	28,139,098	20,820,320	1,700	3,194	1,340,938
FINANCIAL	4,100,000	482,496	1,520	24,201	508,217	484,448	432	784	24,201
INDUSTRY	4,100,000	402,490	40	24,201		48	432	764	24,201
LAST_TRADE	280,850	13.120	392	676	14.188	26,648	13,136	23.838	23,838
NEWS ITEM	410,000	45,156,832	672	2,257,875	47,415,379	45,157,544	40	73	2,257,875
NEWS_XREF	410,000	10,272	384	533	11,189	10,656	-	-	533
SECTOR	12	8	24	2		32	_	-	2
SECURITY	280,850	44,240	19,488	3,186	66,914	63,752	24	44	3,186
STATUS_TYPE	5	8	8	1	17	16	_	-	1
Misc File Group									
ADDRESS	615,004	35,512	408	1,796	37,716	35,976	56	102	1,796
TAXRATE	320	24	16	2		56	16	30	30
ZIP CODE	14,741	488	168	33	689	656	-	-	33
TOTALS (KB)		2,698,426,672	627,082,560	166,275,462	3,491,784,694				
Initial Database Size (MB)		3,247,568	3,171 GB						
Db/Filegroups	LUN Count	Partition Size(KB)	MB allocated	MB Loaded	MB Required				
	0	-	-	-	-	ok			
growing_fg	22	189,440,000	4,070,000	3,174,679	3,326,385	OK			
0 0-0	0	-		-	-	OK			
fixed_fg	22	4,300,800	92,400	72,888	76,555	OK OK			
Settlements	12,760,070				•	1			
Initial Growing Space (MB)	3,174,679					•			
Final Growing Space (MB)		Data LUNS	22	Initial Log size (MB)	869	Log LUNS	1		
Delta (MB)		Disks per LUN		Final Log size (MB)	131,852	Log Disks	16		
Data Space per Trade (MB)		Disk Capacity (MB)		Log Growth (MB)	130,983	Disk Capacity (MB)	69,495		
1 Day Data Growth (MB)		RAID5 overhead		Log Growth/trade (MB)	0.010265045568		50%		
60 Day Space (MB)		Total Space (MB)		1 Day log space (MB)	237,689		555,958		

**Table 8-1. Ordering and Pricing Information** 

Description	Part Number	Order Date	Availability Date	Order Method	Price Verification
IBM 8Gb FC Single-Port PCIe HBA for IBM System x	42D0485	7-8-08	7-15-08	See Note 1	See Note 2
IBM 8Gb FC Dual-Port PCIe HBA for IBM System x	42D0494	7-8-08	7-15-08	See Note 1	See Note 2
Microsoft SQL Server 2008 Enterprise x64 Edition	N/A	8-30-08	8-30-08	See Note 3	See Note 3

Note 1: IBM – 1-888-746-7426, ext. 5821

Note 2: This component is not immediately orderable. For price verification before order date, call 1-888-746-7426, ext. 5821.

Note 3: This component is not immediately orderable. For price verification before order date, refer to the price quote at the end of this FDR.

## **Auditor's Attestation Letter**

The Auditor's Attestation Letter, which indicates compliance, must be included in the Report.

The auditor's Attestation Letter is on the next two pages.





Benchmark Sponsor: Celia Schreiber, Manager

Modular Systems and Blade Performance Analysis and Benchmarking

IBM Systems and Technology Group

3039 Cornwallis Road

RTP, NC 27709

April 10, 2008

I verified the TPC Benchmark<sup>TM</sup> E performance of the following configuration:

Platform: IBM System x3950 M2

Operating system: Microsoft Windows Server 2008 Enterprise x64 Edition
Database Manager: Microsoft SQL Server 2008 Enterprise x64 Edition

The results were:

CPU's Speed	Memory	Disks	Trade-Result 90% Response Time	tpsE			
	Tier B, S	Server: IBM System x3	950 M2				
8 x Intel Xeon X7350 (2.93GHz)	256 GB (2 x 4 MB L2)	528 x 73.4 GB 15K 4Gbps FC 16 x 73.4 GB 15K SAS	0.18 Seconds	804.00			
	Tier A, Two Clients: IBM System x3500						
1 x Intel Xeon E5440 (2.83 GHz)	2 GB	2 x 73 GB 15K Hot Swap SAS	n/a	n/a			

In my opinion, these performance results were produced in compliance with the TPC requirements for the benchmark.

The following 12 verification items were given special attention:

- All EGen components were verified to be v1.4.0.
- The transactions were correctly implemented.
- The database was properly scaled and populated for 410,000 customers.

- The mandatory network between the driver and the SUT was configured.
- The ACID properties were met.
- Input data was generated according to the specified percentages.
- The reported response times were correctly measured.
- All 90% response times were under the specified maximums.
- The measurement interval was representative of steady state conditions.
- The reported measurement interval was 120 minutes.
- The 60 day storage requirement was correctly computed.
- The system pricing was verified for major components and maintenance.

#### Additional Audit Notes:

The measured system included (144) 73.4 GB disks drives and (384) 36.4 GB disk drives that were substituted by (384) 73.4 GB disks, in the priced configuration. Based on the specifications of these disks and on I/O data collected during testing, it is my opinion that this substitution has no significant effect on performance.

Respectfully Yours,

Doug Johnson, Auditor

François Raab, President

## **Supporting Files Index Table**

The **Supporting Files Index** file can be found in the *SupportingFiles* directory (SupportingFiles.doc).

Clause	Description	Pathname
	Disk Configuration	SupportingFiles/Introduction/Hardware/dataDisks.txt SupportingFiles/Introduction/Hardware/DS4800_EXP810diagram.ppt SupportingFiles/Introduction/Hardware/DS4800_setup.script SupportingFiles/Introduction/Hardware/firstDS4800.cfg SupportingFiles/Introduction/Hardware/formats.cmd SupportingFiles/Introduction/Hardware/input.txt SupportingFiles/Introduction/Hardware/mkmp.cmd SupportingFiles/Introduction/Hardware/secondDS4800.cfg SupportingFiles/Introduction/Hardware/secondDS4800.cfg SupportingFiles/Introduction/Hardware/StorageSetup.doc SupportingFiles/Introduction/Hardware/thirdDS4800.cfg
	Tier B/x3950 M2 Configuration	SupportingFiles/Introduction/Hardware/TierB_x3950M2_setup.txt
Introduction	TierA/x3500 setup	SupportingFiles/Introduction/Hardware/TierA_x3500_setup.txt
	Database Tunable Parameters	SupportingFiles/Introduction/software/runconfig.sql SupportingFiles/Introduction/software/startSQL.bat SupportingFiles/Introduction/software/stopSQL.bat
	Checkpoint Scripts	SupportingFiles/Introduction/software/runregularcheckpoints.bat SupportingFiles/Introduction/software/checkpoint.bat SupportingFiles/Introduction/software/checkpoint.sql
	Tier A Scripts	SupportingFiles/Introduction/software/StartTpce_sprucewood1.bat SupportingFiles/Introduction/software/StartTpce_sprucewood2.bat
	OS Tunable Parameters	SupportingFiles/Introduction/software/TierB_OSTune.doc SupportingFiles/Introduction/software/x3950M2_TierB_SysInfo.txt SupportingFiles/Introduction/software/sprucewood1_TierA_sysinfo.txt SupportingFiles/Introduction/software/sprucewood2_TierA_sysinfo.txt
Clause 2	Table creation scripts	SupportingFiles/Clause2/DDL/Create_Check_Constraints_Fixed.sql SupportingFiles/Clause2/DDL/Create_Check_Constraints_Fixed.sql SupportingFiles/Clause2/DDL/Create_Check_Constraints_Growing.sql SupportingFiles/Clause2/DDL/Create_FK_Constraints_Scaling.sql SupportingFiles/Clause2/DDL/Create_FK_Constraints.sql SupportingFiles/Clause2/DDL/Create_Tables_Fixed.sql SupportingFiles/Clause2/DDL/Create_Tables_Growing.sql SupportingFiles/Clause2/DDL/Create_Tables_Scaling.sql SupportingFiles/Clause2/DDL/Create_Tables_Scaling_Flat.sql SupportingFiles/Clause2/DDL/Create_TPCE_Types.sql SupportingFiles/Clause2/DDL/Drop_FK_Constraints.sql SupportingFiles/Clause2/DDL/Drop_Tables_Fixed.sql SupportingFiles/Clause2/DDL/Drop_Tables_Fixed.sql SupportingFiles/Clause2/DDL/Drop_Tables_Growing.sql SupportingFiles/Clause2/DDL/Drop_Tables_Scaling.sql
	Index creation scripts	SupportingFiles/Clause2/DDL/Create_Clustered_Indexes_Fixed.sql SupportingFiles/Clause2/DDL/Create_Clustered_Indexes_Growing.sql

SupportingFiles/Clause2/DDL/Create\_Clustered\_Indexes\_Scaling.sql SupportingFiles/Clause2/DDL/Create\_NC\_Indexes\_Fixed.sql

	SupportingFiles/Clause2/DDL/Create_NC_Indexes_Growing.sql
	SupportingFiles/Clause2/DDL/Create_NC_Indexes_Scaling.sql
	SupportingFiles/Clause2/DML/BrokerVolume.sql
	SupportingFiles/Clause2/DML/CustomerPosition.sql
	SupportingFiles/Clause2/DML/DataMaintenance.sql
	SupportingFiles/Clause2/DML/Get_Next_T_ID.sql
	SupportingFiles/Clause2/DML/MarketFeed.sql
Load Transaction	SupportingFiles/Clause2/DML/MarketWatch.sql
Frames	SupportingFiles/Clause2/DML/SecurityDetail.sql
	SupportingFiles/Clause2/DML/Trade_Cleanup.sql
	SupportingFiles/Clause2/DML/TradeLookup.sql
	SupportingFiles/Clause2/DML/TradeOrder.sql
	SupportingFiles/Clause2/DML/TradeResult.sql
	SupportingFiles/Clause2/DML/TradeStatus.sql
	SupportingFiles/Clause2/DML/TradeUpdate.sql
	SupportingFiles/Clause2/backup.sql
	SupportingFiles/Clause2/backupAged2.sql
	SupportingFiles/Clause2/backupAgedDev2.sql
	SupportingFiles/Clause2/backupdev.sql
	SupportingFiles/Clause2/Count_Customers.sql
	SupportingFiles/Clause2/create_database.sql
	SupportingFiles/Clause2/Create_DM_Audit_Table.sql
	SupportingFiles/Clause2/Create_TID_Ranges_Tables.sql
	SupportingFiles/Clause2/Create_Timer_Table.sql
	SupportingFiles/Clause2/Database_Options_1.sql
	SupportingFiles/Clause2/Database_Options_2.sql
	SupportingFiles/Clause2/Drop_and_Create_TPCE_INFO.sql
a	SupportingFiles/Clause2/End_Load_Timer.sql
Create Database	SupportingFiles/Clause2/Install_Load_Timer_Proc.sql
	SupportingFiles/Clause2/Load_TPCE_Info.sql
	SupportingFiles/Clause2/MSTPCE Database Setup Reference.pdf
	SupportingFiles/Clause2/remove_database.sql
	SupportingFiles/Clause2/restore.sql
	SupportingFiles/Clause2/restoreAged2.sql
	SupportingFiles/Clause2/runconfig.sql
	SupportingFiles/Clause2/setup_tpce.vbs
	SupportingFiles/Clause2/SQL_Server_Configuration.sql
	SupportingFiles/Clause2/StartSQL.bat
	SupportingFiles/Clause2/tempdb.sql
	SupportingFiles/Clause2/TPCE_Setup.cmd
	SupportingFiles/Clause2/Version.sql
Dotobose Cross	SupportingFiles/Clause2/audit_scripts/Space/SPFiles.sql
Database Space Scripts	SupportingFiles/Clause2/audit_scripts/Space/SPLog.sql
r	SupportingFiles/Clause2/audit_scripts/Space/SPUsed.sql
	SupportingFiles/Clause2/audit_scripts/database/Create_DB_Audit_Tables.sql
Database Audit	SupportingFiles/Clause2/audit_scripts/database/DB_Check.sql
Scripts	SupportingFiles/Clause2/audit_scripts/database/DB_Primary_Key_Check.sql
	SupportingFiles/Clause2/audit_scripts/database/DB_Tables.sql

		SupportingFiles/Clause2/audit_scripts/database/Drop_DB_Audit_Tables.sql
		SupportingFiles/Clause2/audit_scripts/database/Insert_Duplicates_Tests.sql
		SupportingFiles/Clause2/Audit_Scripts/Database/Referential_Integrity_Tests.sql
		SupportingFiles/Clause3/BrokerVolume.sql
		SupportingFiles/Clause3/CustomerPosition.sql
		SupportingFiles/Clause3/DataMaintenance.sql
		SupportingFiles/Clause3/Get_Next_T_ID.sql
		SupportingFiles/Clause3/MarketFeed.sql
		SupportingFiles/Clause3/MarketWatch.sql
Clause 3	Transaction Frames	SupportingFiles/Clause3/SecurityDetail.sql
		SupportingFiles/Clause3/Trade_Cleanup.sql
		SupportingFiles/Clause3/TradeLookup.sql
		SupportingFiles/Clause3/TradeOrder.sql
		SupportingFiles/Clause3/TradeResult.sql
		SupportingFiles/Clause3/TradeStatus.sql
		SupportingFiles/Clause3/TradeUpdate.sql
		SupportingFiles/Clause3/BaseServer/BaseServer.cpp
		•
		SupportingFiles/Clause3/BaseServer/BaseServer.h
	BaseServer	SupportingFiles/Clause3/BaseServer/BaseServer.vcproj
		SupportingFiles/Clause3/BaseServer/stdafx.cpp
		SupportingFiles/Clause3/BaseServer/stdafx.h
		SupportingFiles/Clause3/BaseServer/SUTServersLocals.h
		SupportingFiles/Clause3/SUT_CE_Server/CEServer.cpp
		SupportingFiles/Clause3/SUT_CE_Server/CEServer.h
		SupportingFiles/Clause3/SUT_CE_Server/CEServerMain.cpp
		SupportingFiles/Clause3/SUT_CE_Server/PortDefinitions.h
		SupportingFiles/Clause3/SUT_CE_Server/stdafx.cpp
	SUT_CE_Server	SupportingFiles/Clause3/SUT_CE_Server/stdafx.h
		SupportingFiles/Clause3/SUT_CE_Server/SUT_CE_Server.vcproj
		SupportingFiles/Clause3/SUT_CE_Server/SUTServer.ncb
		SupportingFiles/Clause3/SUT_CE_Server/SUTServer.sln
		SupportingFiles/Clause3/SUT_CE_Server/SUTServer.suo
		SupportingFiles/Clause3/SUT_CE_Server/SUTStructs.h
		SupportingFiles/Clause3/SUT_MEE_Server/MEEServer.cpp
		11 0 11
		SupportingFiles/Clause3/SUT_MEE_Server/MEEServer.h SupportingFiles/Clause3/SUT_MEE_Server/MEEServerMain.cpp
	SUT_MEE_Server	
		SupportingFiles/Clause3/SUT_MEE_Server/stdafx.cpp
		SupportingFiles/Clause3/SUT_MEE_Server/stdafx.h
		SupportingFiles/Clause3/SUT_MEE_Server/SUT_MEE_Server.vcproj
		SupportingFiles/Clause3/TransactionsSP/BrokerVolumeDB_SP.cpp
		SupportingFiles/Clause3/TransactionsSP/BrokerVolumeDB_SP.h
		SupportingFiles/Clause3/TransactionsSP/CheckpointDB_SP.cpp
		SupportingFiles/Clause3/TransactionsSP/CheckpointDB_SP.h
		SupportingFiles/Clause3/TransactionsSP/CustomerPositionDB_SP.cpp
		SupportingFiles/Clause3/TransactionsSP/CustomerPositionDB_SP.h
	TransactionsSP	SupportingFiles/Clause3/TransactionsSP/DataMaintenanceDB_SP.cpp
	11ansacuons5P	SupportingFiles/Clause3/TransactionsSP/DataMaintenanceDB_SP.h
		SupportingFiles/Clause3/TransactionsSP/MarketFeedDB_SP.cpp
		SupportingFiles/Clause3/TransactionsSP/MarketFeedDB_SP.h
		SupportingFiles/Clause3/TransactionsSP/MarketWatchDB_SP.cpp
		SupportingFiles/Clause3/TransactionsSP/MarketWatchDB_SP.h
	<u> </u>	Supporting, near Change of Transactions of Antarect Watering D. In

	1	SupportingFiles/Clause3/TransactionsSP/SecurityDetailDB_SP.cpp
		SupportingFiles/Clause3/TransactionsSP/SecurityDetailDB_SP.h
		SupportingFiles/Clause3/TransactionsSP/stdafx.cpp
		SupportingFiles/Clause3/TransactionsSP/stdafx.h
		SupportingFiles/Clause3/TransactionsSP/TradeLookupDB_SP.cpp
		SupportingFiles/Clause3/TransactionsSP/TradeLookupDB_SP.h
		SupportingFiles/Clause3/TransactionsSP/TradeOrderDB_SP.cpp
		SupportingFiles/Clause3/TransactionsSP/TradeOrderDB_SP.h
		SupportingFiles/Clause3/TransactionsSP/TradeResultDB_SP.cpp
		SupportingFiles/Clause3/TransactionsSP/TradeResultDB_SP.h
		SupportingFiles/Clause3/TransactionsSP/TradeStatusDB_SP.cpp
		SupportingFiles/Clause3/TransactionsSP/TradeStatusDB_SP.h
		SupportingFiles/Clause3/TransactionsSP/TradeUpdateDB_SP.cpp
		SupportingFiles/Clause3/TransactionsSP/TradeUpdateDB_SP.h
		SupportingFiles/Clause3/TransactionsSP/TransactionsSP.vcproj
		SupportingFiles/Clause3/TransactionsSP/TxnHarnessDBBase.cpp
	1	SupportingFiles/Clause3/TransactionsSP/TxnHarnessDBBase.h
	1	SupportingFiles/Clause3/TransactionsSP/TxnHarnessDBConn.cpp
		SupportingFiles/Clause3/TransactionsSP/TxnHarnessDBConn.h
		SupportingFiles/Clause3/TxnHarness/TxnHarness.vcproj
		SupportingFiles/Clause3/TxnHarness/TxnHarness_stdafx.cpp
	TymUomass	
	TxnHarness	SupportingFiles/Clause3/TxnHarness/TxnHarness_stdafx.h
		SupportingFiles/Clause3/TxnHarness/TxnHarnessSendToMarket.cpp
		SupportingFiles/Clause3/TxnHarness/TxnHarnessSendToMarket.h
Clause 4	No Requirements	
	No EGen modifications	
	No EGenLoader extensions	
	EGenDriver	SupportingFiles/Clause5/245Kcust_2MEEs_v1.4.0.xml
	Configuration	SupportingFiles/Clause5/run84_wholeRun_TxnReportE.xls
		SupportingFiles/Clause5/EGenLoaderFlags.txt
		SupportingFiles/Clause5/EGenLoaderFrom1To13000.log
		SupportingFiles/Clause5/EGenLoaderFrom13001To27000.log
		SupportingFiles/Clause5/EGenLoaderFrom27001To41000.log
		SupportingFiles/Clause5/EGenLoaderFrom41001To54000.log
		SupportingFiles/Clause5/EGenLoaderFrom54001To68000.log
Clause 5	1	SupportingFiles/Clause5/EGenLoaderFrom68001To82000.log
Clause 3	1	SupportingFiles/Clause5/EGenLoaderFrom82001To95000.log
	1	SupportingFiles/Clause5/EGenLoaderFrom95001To109000.log
	EC 1	
	EGenLoader Parameters	SupportingFiles/Clause5/EGenLoaderFrom109001To123000.log
	1 arameters	SupportingFiles/Clause5/EGenLoaderFrom123001To136000.log
		SupportingFiles/Clause5/EGenLoaderFrom136001To150000.log
		SupportingFiles/Clause5/EGenLoaderFrom150001To164000.log
		SupportingFiles/Clause5/EGenLoaderFrom164001To177000.log
		SupportingFiles/Clause5/EGenLoaderFrom177001To191000.log
		Supporting ites/Ciauses/EdenEoader Foint / 7001 To 171000.iog
		SupportingFiles/Clause5/EGenLoaderFrom191001To205000.log
		•
		SupportingFiles/Clause5/EGenLoaderFrom191001To205000.log
		SupportingFiles/Clause5/EGenLoaderFrom191001To205000.log SupportingFiles/Clause5/EGenLoaderFrom205001To218000.log

		SupportingFiles/Clause5/EGenLoaderFrom259001To273000.log
		SupportingFiles/Clause5/EGenLoaderFrom273001To287000.log
		•
		SupportingFiles/Clause5/EGenLoaderFrom287001To300000.log
		SupportingFiles/Clause5/EGenLoaderFrom300001To314000.log
		SupportingFiles/Clause5/EGenLoaderFrom314001To328000.log
		SupportingFiles/Clause5/EGenLoaderFrom328001To341000.log
		SupportingFiles/Clause5/EGenLoaderFrom341001To355000.log
		SupportingFiles/Clause5/EGenLoaderFrom355001To369000.log
		SupportingFiles/Clause5/EGenLoaderFrom369001To382000.log
		SupportingFiles/Clause5/EGenLoaderFrom382001To396000.log
		SupportingFiles/Clause5/EGenLoaderFrom396001To410000.log
Clause 6	EGenValidate Output	SupportingFiles/Clause6/EGenValidate.txt
		SupportingFiles/Clause7/AcidProcs/AcidProc.cmd
		SupportingFiles/Clause7/AcidProcs/Scripts/AcidProc.vbs
		SupportingFiles/Clause7/AcidProcs/Scripts/CustomerPosition_Iso3.sql
		SupportingFiles/Clause7/AcidProcs/Scripts/CustomerPosition_Iso4.sql
		SupportingFiles/Clause7/AcidProcs/Scripts/Drop_SPROC.sql
		SupportingFiles/Clause7/AcidProcs/Scripts/Remove_AcidProcs.vbs
		SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_C.sql
		SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_Iso1_1.sql
		SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_Iso1_2.sql
Cl 7	Scripts of ACID procedures	SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_Iso2.sql
Clause 7		SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_Iso3.sql
		SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_Iso4.sql
		SupportingFiles/Clause7/AcidProcs/Scripts/TradeOrder_RB.sql
		SupportingFiles/Clause7/AcidProcs/Scripts/TradeResult_Iso1_1.sql
		SupportingFiles/Clause7/AcidProcs/Scripts/TradeResult_Iso1_2.sql
		SupportingFiles/Clause7/AcidProcs/Scripts/TradeResult_Iso2_1.sql
		SupportingFiles/Clause7/AcidProcs/Scripts/TradeResult_Iso2_2.sql
		SupportingFiles/Clause7/AcidProcs/Scripts/TradeResult_Iso3.sql
		SupportingFiles/Clause7/AcidProcs/Scripts/TradeResult_Iso4.sql
		SupportingFiles/Clause7/AcidProcs/Remove_AcidProcs.cmd
	ACID procedures output	SupportingFiles/Clause7/AcidProcs/AcidProc.out
		SupportingFiles/Clause7/Atomicity/Atomicity.cmd
		SupportingFiles/Clause7/Atomicity/Scripts/Atomicity_C.sql
	Atomicity Scripts	SupportingFiles/Clause7/Atomicity/Scripts/Atomicity_RB.sql
		SupportingFiles/Clause7/Atomicity/Scripts/atom.vbs
		SupportingFiles/Clause7/Atomicity/Atomicity_C.out
	Atomicity Output	SupportingFiles/Clause7/Atomicity/Atomicity_RB.out
		SupportingFiles/Clause7/Consistency/Consistency.cmd
	Consistency Scripts	SupportingFiles/Clause7/Consistency/Scripts/Consistency.sql
		SupportingFiles/Clause7/Consistency/Scripts/Consistency.vbs
		SupportingFiles/Clause7/Consistency/Consistency.after410Kload.txt
	Consistency Output	SupportingFiles/Clause7/Consistency/Consistency.afterBusinessRecovery.txt
		SupportingFiles/Clause7/Consistency/Consistency.afterMeasuredRun.txt
	Isolation Scripts	SupportingFiles/Clause7/Isolation/Scripts/Isolation1_S1.sql
		SupportingFiles/Clause7/Isolation/Seripts/Isolation1_S2.sql

 $Supporting Files/Clause 7/I solation/Scripts/I solation 1\_S3.sql$ 

	SupportingFiles/Clause7/Isolation/Scripts/Isolation1_S4.sql
	SupportingFiles/Clause7/Isolation/Scripts/Isolation2_S1.sql
	SupportingFiles/Clause7/Isolation/Scripts/Isolation2_S2.sql
	SupportingFiles/Clause7/Isolation/Scripts/Isolation2_S3.sql
	SupportingFiles/Clause7/Isolation/Scripts/Isolation2_S4.sql
	SupportingFiles/Clause7/Isolation/Scripts/Isolation3_S1.sql
	SupportingFiles/Clause7/Isolation/Scripts/Isolation3_S2.sql
	SupportingFiles/Clause7/Isolation/Scripts/Isolation3_S3.sql
	SupportingFiles/Clause7/Isolation/Scripts/Isolation4_S1.sql
	SupportingFiles/Clause7/Isolation/Scripts/Isolation4_S2.sql
	SupportingFiles/Clause7/Isolation/Scripts/Isolation4_S3.sql
	SupportingFiles/Clause7/Isolation/Isolation1_S1.rpt
	SupportingFiles/Clause7/Isolation/Isolation1_S2.rpt
	SupportingFiles/Clause7/Isolation/Isolation1_S3.rpt
	SupportingFiles/Clause7/Isolation/Isolation1_S4.rpt
	SupportingFiles/Clause7/Isolation/Isolation2_S1.rpt
	SupportingFiles/Clause7/Isolation/Isolation2_S2.rpt
	SupportingFiles/Clause7/Isolation/Isolation2_S3.rpt
Isolation Output	SupportingFiles/Clause7/Isolation/Isolation2_S4.rpt
	1 ** *
	SupportingFiles/Clause7/Isolation/Isolation3_S1.rpt
	SupportingFiles/Clause7/Isolation/Isolation3_S2.rpt
	SupportingFiles/Clause7/Isolation/Isolation3_S3.rpt
	SupportingFiles/Clause7/Isolation/Isolation4_S1.rpt
	SupportingFiles/Clause7/Isolation/Isolation4_S2.rpt
	SupportingFiles/Clause7/Isolation/Isolation4_S3.rpt
	SupportingFiles/Clause7/Durability/BusinessRecovery/BusinessRecoveryTime.txt
	SupportingFiles/Clause7/Durability/BusinessRecovery/BusinessRecoveryTimeGraph.xls
	SupportingFiles/Clause7/Durability/BusinessRecovery/Consistency.afterBusinessRecovery.txt
	SupportingFiles/Clause7/Durability/BusinessRecovery/CountSettlement.afterBusinessRecovery.txt
	SupportingFiles/Clause7/Durability/BusinessRecovery/CountSettlement.beforeBusinessRecovery.txt
	SupportingFiles/Clause7/Durability/BusinessRecovery/CountSettlement.sql
	SupportingFiles/Clause7/Durability/BusinessRecovery/SQLConsoleLog.BusinessRecoveryPart1.txt
	SupportingFiles/Clause7/Durability/BusinessRecovery/SQLConsoleLog.BusinessRecoveryPart2.txt
Durability Business	SupportingFiles/Clause7/Durability/BusinessRecovery/SteadyState10min1minSlide.xls
Recovery	SupportingFiles/Clause7/Durability/BusinessRecovery/TierA_sprucewood1.SystemEventLog.csv
, and the second	SupportingFiles/Clause7/Durability/BusinessRecovery/TierA_sprucewood2.SystemEventLog.csv
	SupportingFiles/Clause7/Durability/BusinessRecovery/TierA_splacewood2.systemEventLog.csv SupportingFiles/Clause7/Durability/BusinessRecovery/TierB_x3950M2.SystemEventLog.csv
	SupportingFiles/Clause7/Durability/BusinessRecovery/TierB_x3930W2.SystemEventLog.csv SupportingFiles/Clause7/Durability/BusinessRecovery/TxnReportE_20minAt95percent_part1.xls
	SupportingFiles/Clause7/Durability/BusinessRecovery/TxnReportE_20minAt95percent_part2.xls
	SupportingFiles/Clause7/Durability/BusinessRecovery/ TxnStepReport_part1.xlt
	SupportingFiles/Clause7/Durability/BusinessRecovery/ TxnStepReport_part2.xlt
	SupportingFiles/Clause7/Durability/BusinessRecovery/ TxnReportE_whole_run_part1.xls
	SupportingFiles/Clause7/Durability/BusinessRecovery/ TxnReportE_whole_run_part2.xls
D 133 D :	0 2 F1 /0 7/D 172 /D A 172 /O 30 31 346 D A 772 A
Durability Data	SupportingFiles/Clause7/Durability/DataAccessbility/CountSettlement.AfterDataAccessbility.txt
Durability Data Accessibility	SupportingFiles/Clause7/Durability/DataAccessbility/CountSettlement.BeforeDataAccessibility.txt
	1

Clause 8	60-Day Space Calculations	SupportingFiles/Clause8/tpce_space.xls SupportingFiles/Clause8/Seagate_ST336854.pdf SupportingFiles/Clause8/Seagate_ST373455.pdf
	ACID Procedures Document	SupportingFiles/Clause7/MSTPCE ACID Procedures.pdf
		SupportingFiles/Clause7/Durability/DataAccessbility/rebuiltDataDrive.bmp SupportingFiles/Clause7/Durability/DataAccessbility/rebuiltLogDrive.bmp SupportingFiles/Clause7/Durability/DataAccessbility/SQLConsoleLog.DataAccessbility.txt SupportingFiles/Clause7/Durability/DataAccessbility/TxnReportE_whole_run.xls SupportingFiles/Clause7/Durability/DataAccessbility/TxnReportE_5min_beforePulledDataDrive.xls SupportingFiles/Clause7/Durability/DataAccessbility/TxnReportE_5min_beforePulledLogDrive.xls

# Appendix A – Price Quotes

Microsoft Corporation
One Microsoft Way
Redmond, WA 98052-6399
Tel 425 882 8080
Fax 425 936 7329
http://www.micros

http://www.microsoft.com/



April 4, 2008

**IBM** Corporation Chris King 3079 Cornwallis Road Durham, NC 27709

Here is the information you requested regarding pricing for several Microsoft products to be used in conjunction with your TPC-E benchmark testing.

All pricing shown is in US Dollars (\$).

Part Number	Description	Unit Price	Quantity	Price
	SQL Server 2008 Enterprise x64 Edition Per Processor License Discount Schedule: Open Program - Level C Unit Price reflects a 6% discount from the retail unit price of \$24,999.	\$23,432	8	\$187,456
P72-03168	Windows Server 2008 Enterprise Edition (x64) Server License with 25 CALs Discount Schedule: Open Program - Level C Unit Price reflects a 42% discount from the retail unit price of \$3,999.	\$2,310	1	\$2,310
P73-01972	Windows Server 2003 R2 Standard Edition Server License Only - No CALs Discount Schedule: Open Program - No Level Unit Price reflects a 28% discount from the retail unit price of \$999.	\$719	2	\$1,438
N/A	Microsoft Problem Resolution Services Professional Support (1 Incident)	\$245	1	\$245

Windows Server 2008 and Windows Server 2003 are currently orderable through Microsoft's normal distribution channels. A list of Microsoft's resellers can be found at

http://www.microsoft.com/products/info/render.aspx?view=22&typ e=mnp&content=22/licensing

SQL Server 2008 will be orderable and available by August 30, 2008.

Defect support is included in the purchase price. Additional support is available from Microsoft PSS on an incident by incident basis at \$245 per call. This quote is valid for the next 90 days.

If we can be of any further assistance, please contact Jamie Reding at (425) 703-0510 or jamiere@microsoft.com.

Reference ID: PEchki0804040000008445. Please include this Reference ID in any correspondence regarding this price quote.



## **Shopping Cart**

Print

Qty. Product Description		Savings	Total Price
	D-Link DGS-2208 10/100/1000Mbps 8-Port Desktop Green Ethernet Switch - Retail Item #: N82E16833127082 Return Policy: Limited 30-Day Return Policy  Select An Optional Extended Warranty Plan	\$10.00 Mail-in Rebate	\$54.49
	powercom KIN-1500AP 1500VA 900 Watts UPS - Retail Item #: N82E16842106115 Return Policy: Standard Return Policy Select An Optional Extended Warranty Plan	-\$15.00 Instant	\$149.99 \$134.99
	BELKIN A3L791-10-BLK 10 ft. Cat 5E Black RJ45 CAT5e Patch Cable - Retail Item #: N82E16812106332 Return Policy: Standard Return Policy		\$4.49
'		Subtotal:	\$193.97
	Calculate Shipping  Zip Code: UPS Guaranteed 3 Day Service		\$0.00
Redeem Gift Certificates  Claim Code: Security Code:		Gift Certificates:	\$0.00
Apply Promo C	code	Promo Code:	\$0.00

<sup>\*</sup> Above total does not include shipping or taxes. Please input zip code to calculate your grand total.

Print

<u>Policy & Agreement</u> | <u>Privacy Policy</u> | © 2000-2008 Newegg Inc. All rights reserved.







## Protect Your Data - Grow Your Business

 To: Chris King, IBM Corporation
 From: Alan Powers
 QUOTE #: DS4800\_040708

 Attention:
 Phone: (248)223-1020 x344
 DATE: April 7, 2008

Phone: Fax: (248)223-1026

Fax: Email: apowers@compsat.com
Email:

			List Price		Compsat Discounted Price	
			(per unit)	(quantity x unit price)	(per unit)	(quantity x unit price)
Part No.	<u>Description</u>	<u>Qty</u>	US Dollar	US Dollar	US Dollar	US Dollar
<b>IBM System</b>	n Storage DS4800					
1815-82A	IBM System Storage DS4800 Midrange Disk Subsystem	3	\$53,995	\$161,985.00	\$37,796.50	\$113,389.50
41C5953	3 YR onsite repair 24x7x4 hour (DS4800)	3	\$3,200	\$9,600.00	\$2,816.00	\$8,448.00
1812-81A	IBM TotalStorage DS4000 EXP810 Storage Exp. Unit	34	\$6,000	\$204,000.00	\$4,200.00	\$142,800.00
10N3651	3 YR onsite repair 24x7x4 hour (EXP810)	34	\$960	\$32,640.00	\$844.80	\$28,723.20
40K6816	4Gbps FC 73.4GB 15K Hot-Swap HDD	528	\$1,301	\$686,928.00	\$1,040.80	\$549,542.40
			TOTAL =	\$1,095,153	TOTAL =	\$842,903.10

23.03%

25330 Telegraph Road / Suite 200 Raleigh Officentre / Southfield, Michigan 48034 Phone: 248-223-1020 / Fax: 248-223-1026 / www.compsat.com

#### NOTE:

<sup>-</sup> This quote may include Compsat Technology consulting and configuration charges.

<sup>-</sup> Mfg. pricing is out of our control and could change without notice.